

UNITED STATES DISTRICT COURT
DISTRICT OF MASSACHUSETTS

USDC Docket Number: 11cv10230-MLW
USCA Docket Number: 21-1069

Arkansas Teacher Retirement System

v.

State Street Corporation et al

CLERK'S SUPPLEMENTAL CERTIFICATE OF THE RECORD ON APPEAL

I, Robert M. Farrell, Clerk of the United States District Court for the District of Massachusetts, do hereby certify that the annexed ____ paper documents x electronic documents:

Main Documents:

Document Numbers: ECF No. 688 - MEMORADNUM OF LAW

Other Documents:

Sealed Records:

Document Numbers: _____

Ex parte Records:

Document Numbers: _____

are to be included with the record on appeal in the above entitled case.

In testimony whereof, I hereunto set my hand and affix the seal of this Court on
JUNE 1, 2021.

ROBERT M. FARRELL
Clerk of Court

By: /s/MATTHEW A. PAINE
Deputy Clerk

Receipt of the documents in the above entitled case is hereby acknowledged this date:
_____.

Deputy Clerk, US Court of Appeals

APPEAL, CONSOLIDATED, LEAD, STAYED

**United States District Court
District of Massachusetts (Boston)
CIVIL DOCKET FOR CASE #: 1:11-cv-10230-MLW**

Arkansas Teacher Retirement System v. State Street
Corporation et al

Assigned to: Judge Mark L. Wolf

Demand: \$5,000,000

related Case: [1:11-cv-12049-MLW](#)

Case in other court: USCA for the First Circuit - Mandamus
Petition, 18-01651

USCA - First Circuit, 20-01365

USCA - First Circuit, 21-01069

Cause: 28:1332 Diversity-(Citizenship)

Date Filed: 02/10/2011

Date Terminated: 06/23/2014

Jury Demand: Plaintiff

Nature of Suit: 370 Other Fraud

Jurisdiction: Diversity

Special Master

Hon. Gerald E. Rosen

represented by **Elizabeth J. McEvoy**

Barrett & Singal, P.C.

One Beacon Street, Suite 1320

Boston, MA 02108-3113

617-720-5090

Fax: 617-720-5092

Email: emcevoy@hinckleyallen.com

ATTORNEY TO BE NOTICED

William F. Sinnott

Hinckley Allen

28 State Street

Boston, MA 02109

617-378-4159

Email: wsinnott@hinckleyallen.com

ATTORNEY TO BE NOTICED

Plaintiff

**Arkansas Teacher Retirement
System**

*on Behalf of Itself and All Others
Similarly Situated*

represented by **Garrett C. Bradley**

Thornton Law Firm

1 Lincoln Street, 25th Floor

Boston, MA 02111

617-720-1333

Fax: 617-720-2445

Email: gbradley@tenlaw.com

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Jonathan D. Selbin

Lieff Cabraser Heimann & Bernstein,

LLP

250 Hudson Street, 8th Floor

New York, NY 10013

(212) 355-9500

Email: jselbin@lchb.com

LEAD ATTORNEY

PRO HAC VICE

ATTORNEY TO BE NOTICED

Lawrence A. Sucharow

Labaton Sucharow LLP

140 Broadway

New York, NY 10005

212-907-0860

Email: lsucharow@labaton.com

LEAD ATTORNEY

PRO HAC VICE

ATTORNEY TO BE NOTICED

Nicole M. Zeiss

Labaton Sucharow LLP

140 Broadway

New York, NY 10005

(212) 907-0867

Email: nzeiss@labaton.com

LEAD ATTORNEY

PRO HAC VICE

ATTORNEY TO BE NOTICED

Richard M. Heimann

Lieff, Caraser, Heimann & Bernstein

LLP

275 Battery Street

30th Floor

San Francisco, CA 94111-3339

415-956-1000

Fax: 415-956-1008

Email: rheimann@lchb.com

LEAD ATTORNEY

PRO HAC VICE

ATTORNEY TO BE NOTICED

Daniel P. Chiplock

Lieff Cabraser Heimann & Bernstein,

LLP

250 Hudson Street

8th Floor

New York, NY 10013-1413

212-355-9500

Fax: 212-355-9592

Email: dchiplock@lchb.com
ATTORNEY TO BE NOTICED

David J. Goldsmith
Labaton Sucharow LLP
140 Broadway
New York, NY 10005
212-907-0777
Fax: 212-818-0477
Email: dgoldsmith@labaton.com
ATTORNEY TO BE NOTICED

Evan R. Hoffman
Thornton & Naumes LLP
30th Floor
100 Summer Street
Boston, MA 02110
617-720-1333
Email: ehoffman@tenlaw.com
ATTORNEY TO BE NOTICED

Joel H. Bernstein
Labaton Sucharow LLP
140 Broadway
New York, NY 10005
212-907-0700
Fax: 212-818-0477
ATTORNEY TO BE NOTICED

Michael A. Lesser
Thornton Law Firm LLP
100 Summer Street
30th Floor
Boston, MA 02110
617-720-1333
Fax: 617-720-2445
Email: mlesser@tenlaw.com
ATTORNEY TO BE NOTICED

Michael H. Rogers
Labaton Sucharow LLP
140 Broadway
New York, NY 10005
212-907-0700
Fax: 212-818-0477
Email: mrogers@labaton.com
ATTORNEY TO BE NOTICED

Michael P. Thornton
Thornton Law Firm LLP

One Lincoln Street, 13th Flr.
100 Summer Street
Boston, MA 02111
617-720-1333
Email: mthornton@tenlaw.com
ATTORNEY TO BE NOTICED

Paul J. Scarlato
Labaton Sucharow LLP
140 Broadway
New York, NY 10005
212-907-0700
ATTORNEY TO BE NOTICED

Robert L. Lieff
Lieff Cabraser Heimann & Bernstein,
LLP
275 Battery St., 9th Floor
San Francisco, CA 94111
415 956 1000
Email: rlieff@lchb.com
PRO HAC VICE
ATTORNEY TO BE NOTICED

Plaintiff

James Pehoushek-Stangeland

represented by **Laura R. Gerber**
Keller Rohrback LLP
Suite 3200
1201 Third Avenue
Seattle, WA 98101
206-623-1900
Fax: 206-623-3384
Email: lgerber@kellerrohrback.com
ATTORNEY TO BE NOTICED

Lynn Lincoln Sarko
Keller Rohrback L.L.P.
1201 3rd Avenue
Suite 3200
Seattle, WA 98101-3052
206-623-1900
Email: lsarko@kellerrohrback.com
ATTORNEY TO BE NOTICED

Plaintiff

**Andover Companies Employee
Savings and Profit Sharing Plan**

represented by **Laura R. Gerber**
(See above for address)
ATTORNEY TO BE NOTICED

Lynn Lincoln Sarko

(See above for address)

ATTORNEY TO BE NOTICED

V.

Defendant

State Street Corporation

represented by **Beth E. Bookwalter**

Wilmer Hale LLP

60 State Street

Boston, MA 02109

617-526-6000

Fax: 617-526-5000

Email:

beth.bookwalter@wilmerhale.com

ATTORNEY TO BE NOTICED

Daniel W. Halston

Wilmer Hale LLP

60 State Street

Boston, MA 02109

617-526-6654

Fax: 617-526-5000

Email: daniel.halston@wilmerhale.com

ATTORNEY TO BE NOTICED

Jeffrey B. Rudman

Wilmer Hale LLP

60 State Street

Boston, MA 02109

617-526-6912

Fax: 617-526-5000

ATTORNEY TO BE NOTICED

William H. Paine

Wilmer Hale LLP

60 State Street

Boston, MA 02109

617-526-6134

Fax: 617-526-5000

Email: william.paine@wilmerhale.com

ATTORNEY TO BE NOTICED

Defendant

State Street Bank & Trust Company

represented by **Beth E. Bookwalter**

(See above for address)

ATTORNEY TO BE NOTICED

Daniel W. Halston

(See above for address)

ATTORNEY TO BE NOTICED

Jeffrey B. Rudman

(See above for address)

*ATTORNEY TO BE NOTICED***William H. Paine**

(See above for address)

*ATTORNEY TO BE NOTICED***Defendant****State Street Global Markets, LLC**represented by **Beth E. Bookwalter**

(See above for address)

*ATTORNEY TO BE NOTICED***Daniel W. Halston**

(See above for address)

*ATTORNEY TO BE NOTICED***Jeffrey B. Rudman**

(See above for address)

*ATTORNEY TO BE NOTICED***William H. Paine**

(See above for address)

*ATTORNEY TO BE NOTICED***Amicus****Competitive Enterprise Institute
(CEI)**represented by **Gary S. Peeples**

Burch Porter & Johnson, PLLC

130 North Court Avenue

Memphis, TN 38103

901-524-5127

*LEAD ATTORNEY**PRO HAC VICE**ATTORNEY TO BE NOTICED***M. Frank Bednarz**

Hamilton Lincoln Law Institute -

Center for Class Action F

1145 E. Hyde Park Blvd. Apt. 3A

Chicago, IL 60615

801-706-2690

Email: frank.bednarz@gmail.com

*LEAD ATTORNEY**ATTORNEY TO BE NOTICED***Theodore H. Frank**

Hamilton Lincoln Law Institute

1629 K St NW, Suite 300

Washington, DC 20006

7032033848
Email: tfrank@gmail.com
LEAD ATTORNEY
PRO HAC VICE
ATTORNEY TO BE NOTICED

Amicus

Hamilton Lincoln Law Institute

represented by **M. Frank Bednarz**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Interested Party

Labaton Sucharow LLP

represented by **Joan A. Lukey**
Choate, Hall & Stewart LLP
Two International Place
100-150 Oliver Street
Boston, MA 02110
617-248-5000
Email: joan.lukey@choate.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Justin J. Wolosz
Choate, Hall & Stewart LLP
Two International Place
Boston, MA 02110
617-248-5000
Fax: 617-248-4000
Email: jwolosz@choate.com
ATTORNEY TO BE NOTICED

Richard M. Heimann
(See above for address)
ATTORNEY TO BE NOTICED

Stuart M. Glass
Choate Hall & Stewart LLP
Two International Place
100-150 International Place
Boston, MA 02110
617-248-4804
Fax: 617-248-4000
Email: sglass@choate.com
ATTORNEY TO BE NOTICED

Interested Party

Thornton Law Firm LLP
100 Summer Street
Suite 3000

represented by **Brian T. Kelly**
Nixon Peabody LLP
100 Summer Street

Boston, MA 02110
(617) 720-1333

Boston, MA 02110
617-345-1000
Email: bkelly@nixonpeabody.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Joshua C.H. Sharp
Nixon Peabody LLP
100 Summer Street
Boston, MA 02110
617-345-1135
Email: jsharp@nixonpeabody.com
ATTORNEY TO BE NOTICED

Richard M. Heimann
(See above for address)
ATTORNEY TO BE NOTICED

Interested Party

Arnold Henriquez
*on behalf of the Waste Mangement
Retirement Savings Plan and all other
similarly situated plans*

represented by **Carl S. Kravitz**
Zuckerman Spaeder, LLP
1800 M Street, NW
Washington, DC 20036
202-778-1873
Email: ckraivtz@zuckerman.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Catherine M. Campbell
Feinberg, Dumont & Brennan
177 Milk Street, Suite 300
Boston, MA 02109
617-338-1976
Fax: 617-338-7070
Email: cmc@fdb-law.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Dwight Bostwick
Zuckerman Spaeder, LLP
1800 M Street, NW
Washington, DC 20036
202-778-1882
Email: dbostwick@zuckerman.com
LEAD ATTORNEY
PRO HAC VICE
ATTORNEY TO BE NOTICED

Graeme Bush
Zuckerman Spaeder, LLP,

1800 M Street NW
Washington, DC 20036
202-778-1801
Email: gbush@zuckerman.com
LEAD ATTORNEY
PRO HAC VICE
ATTORNEY TO BE NOTICED

J. Brian McTigue
McTigue Law LLP
4530 Wisconsin Ave. NW
Washington, DC 20016
202-364-6900
Email: bmctigue@mctiguelaw.com
LEAD ATTORNEY
PRO HAC VICE
ATTORNEY TO BE NOTICED

James A. Moore
McTigue & Veis LLP
4530 Wisconsin Ave. NW
Washington, DC 20016
202-394-6900
Email: jmoore@mctiguelaw.com
LEAD ATTORNEY
PRO HAC VICE
ATTORNEY TO BE NOTICED

Jonathan G. Axelrod
Beins, Axelrod, P.C.
1625 Mass. Ave. NW
Washington, DC 20036
202-328-7222
Email: jaxelrod@beinsaxelrod.com
LEAD ATTORNEY
PRO HAC VICE
ATTORNEY TO BE NOTICED

Kimberly Keevers Palmer
Richardson, Patrick, Westbrook &
Brickman, LLC,
1017 Chuck Dawley Blvd.
(29464)
Post Office Box 1007,
Mount Pleasant, SC 29465
843 727 6500
Email: kkeevers@rpwb.com
LEAD ATTORNEY
PRO HAC VICE
ATTORNEY TO BE NOTICED

Michael R. Smith
Zuckerman Spaeder LLP
1800 M Street, NW
Suite 1000
Washington, DC 20036
(202) 778-1800
Email: msmith@zuckerman.com
LEAD ATTORNEY
PRO HAC VICE
ATTORNEY TO BE NOTICED

Renee J. Bushey
Feinberg, Campbell & Zack, P.C.
177 Milk Street
Suite 300
Boston, MA 02109
617-338-1976
Fax: 617-338-7070
Email: rjb@fczlaw.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Interested Party

Michael T. Cohn

represented by **Carl S. Kravitz**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Catherine M. Campbell
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Dwight Bostwick
(See above for address)
LEAD ATTORNEY
PRO HAC VICE
ATTORNEY TO BE NOTICED

Graeme Bush
(See above for address)
LEAD ATTORNEY
PRO HAC VICE
ATTORNEY TO BE NOTICED

J. Brian McTigue
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

James A. Moore
(See above for address)
ATTORNEY TO BE NOTICED

Interested Party

William R. Taylor

represented by **Carl S. Kravitz**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Catherine M. Campbell
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Dwight Bostwick
(See above for address)
LEAD ATTORNEY
PRO HAC VICE
ATTORNEY TO BE NOTICED

Graeme Bush
(See above for address)
LEAD ATTORNEY
PRO HAC VICE
ATTORNEY TO BE NOTICED

J. Brian McTigue
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

James A. Moore
(See above for address)
ATTORNEY TO BE NOTICED

Interested Party

Richard A. Sutherland

represented by **Carl S. Kravitz**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Catherine M. Campbell
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Dwight Bostwick
(See above for address)

LEAD ATTORNEY
PRO HAC VICE
ATTORNEY TO BE NOTICED

Graeme Bush
(See above for address)
LEAD ATTORNEY
PRO HAC VICE
ATTORNEY TO BE NOTICED

J. Brian McTigue
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

James A. Moore
(See above for address)
ATTORNEY TO BE NOTICED

Interested Party

**Lieff Cabraser Heimann &
Bernstein, LLP**

represented by **Richard M. Heimann**
(See above for address)
ATTORNEY TO BE NOTICED

Interested Party

Keller Rohrback L.L.P.

represented by **Lynn Lincoln Sarko**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Laura R. Gerber
(See above for address)
ATTORNEY TO BE NOTICED

Interested Party

Zucker Spaeder LLP

represented by **Laura R. Gerber**
(See above for address)
ATTORNEY TO BE NOTICED

Interested Party

McTigue Law, LLP

represented by **James A. Moore**
(See above for address)
ATTORNEY TO BE NOTICED

Interested Party

WilmerHale, LLP

Interested Party

Barret & Singal, P.C.

Interested Party

Zuckerman Spaeder, LLP

Email All Attorneys

Email All Attorneys and Additional Recipients

Date Filed	#	Docket Text
02/10/2011	1	COMPLAINT against All Defendants Filing fee: \$ 350, receipt number 0101-3265126 (Fee Status: Filing Fee paid), filed by Arkansas Teacher Retirement System. (Attachments: # 1 Civil Cover Sheet Civil Action Cover Sheet, # 2 Category Form)(Bradley, Garrett) (Entered: 02/10/2011)
02/10/2011		ELECTRONIC NOTICE of Case Assignment. Chief Judge Mark L. Wolf assigned to case. If the trial Judge issues an Order of Reference of any matter in this case to a Magistrate Judge, the matter will be transmitted to Magistrate Judge Marianne B. Bowler. (Gaudet, Jennifer) (Entered: 02/10/2011)
02/10/2011	2	Summons Issued as to State Street Bank & Trust Company, State Street Corporation, State Street Global Markets, LLC. Counsel receiving this notice electronically should download this summons, complete one for each defendant and serve it in accordance with Fed.R.Civ.P. 4 and LR 4.1. Summons will be mailed to plaintiff(s) not receiving notice electronically for completion of service. (Gaudet, Jennifer) (Entered: 02/10/2011)
03/29/2011	3	MOTION for Leave to Appear Pro Hac Vice for admission of David J. Goldsmith Filing fee: \$ 50, receipt number 0101-3335553 by Arkansas Teacher Retirement System. (Attachments: # 1 Affidavit)(Bradley, Garrett) (Entered: 03/29/2011)
03/29/2011	4	MOTION for Leave to Appear Pro Hac Vice for admission of Paul J. Scarlato Filing fee: \$ 50, receipt number 0101-3335579 by Arkansas Teacher Retirement System. (Attachments: # 1 Affidavit)(Bradley, Garrett) (Entered: 03/29/2011)
03/29/2011	5	MOTION for Leave to Appear Pro Hac Vice for admission of Michael H. Rogers Filing fee: \$ 50, receipt number 0101-3335595 by Arkansas Teacher Retirement System. (Attachments: # 1 Affidavit)(Bradley, Garrett) (Entered: 03/29/2011)
03/29/2011	6	MOTION for Leave to Appear Pro Hac Vice for admission of Joel H. Bernstein Filing fee: \$ 50, receipt number 0101-3335609 by Arkansas Teacher Retirement System. (Attachments: # 1 Affidavit)(Bradley, Garrett) (Entered: 03/29/2011)
04/07/2011	7	Assented to MOTION to Appoint Counsel <i>For the Proposed Class</i> by Arkansas Teacher Retirement System.(Bradley, Garrett) (Main Document 7 replaced on 6/13/2011) (Boyce, Kathy). (Additional attachment(s) added on 6/13/2011: # 1 Exhibit Proposed order for

		appointment of interim lead counsel) (Boyce, Kathy). Modified on 6/13/2011 to replace former document as the proposed order was included in the filing as one document. Proposed Order has been attached (Boyce, Kathy). (Entered: 04/07/2011)
04/07/2011	<u>8</u>	MEMORANDUM in Support re <u>7</u> Assented to MOTION to Appoint Counsel <i>For the Proposed Class</i> filed by Arkansas Teacher Retirement System. (Attachments: # <u>1</u> Affidavit Declaration of Garrett J. Bradley In Support of Motion, # <u>2</u> Exhibit A, # <u>3</u> Exhibit B, # <u>4</u> Exhibit C)(Bradley, Garrett) (Entered: 04/07/2011)
04/15/2011	<u>9</u>	NOTICE of Appearance by William H. Paine on behalf of State Street Bank & Trust Company, State Street Corporation, State Street Global Markets, LLC (Paine, William) (Entered: 04/15/2011)
04/15/2011	<u>10</u>	AMENDED COMPLAINT against All Defendants, filed by Arkansas Teacher Retirement System.(Bradley, Garrett) (Entered: 04/15/2011)
04/29/2011		Chief Judge Mark L. Wolf: ELECTRONIC ORDER entered granting <u>3</u> Motion for Leave to Appear Pro Hac Vice Added David J. Goldsmith. Attorneys admitted Pro Hac Vice must register for electronic filing. To register go to the Court website at www.mad.uscourts.gov. Select Case Information, then Electronic Filing (CM/ECF) and go to the CM/ECF Registration Form. (Boyce, Kathy) (Entered: 04/29/2011)
04/29/2011		Chief Judge Mark L. Wolf: ELECTRONIC ORDER entered granting <u>4</u> Motion for Leave to Appear Pro Hac Vice Added Paul J. Scarlato. Attorneys admitted Pro Hac Vice must register for electronic filing. To register go to the Court website at www.mad.uscourts.gov. Select Case Information, then Electronic Filing (CM/ECF) and go to the CM/ECF Registration Form. (Boyce, Kathy) (Entered: 04/29/2011)
04/29/2011		Chief Judge Mark L. Wolf: ELECTRONIC ORDER entered granting <u>5</u> Motion for Leave to Appear Pro Hac Vice Added Michael H. Rogers. Attorneys admitted Pro Hac Vice must register for electronic filing. To register go to the Court website at www.mad.uscourts.gov. Select Case Information, then Electronic Filing (CM/ECF) and go to the CM/ECF Registration Form. (Boyce, Kathy) (Entered: 04/29/2011)
04/29/2011		Chief Judge Mark L. Wolf: ELECTRONIC ORDER entered granting <u>6</u> Motion for Leave to Appear Pro Hac Vice Added Joel H. Bernstein. Attorneys admitted Pro Hac Vice must register for electronic filing. To register go to the Court website at www.mad.uscourts.gov. Select Case Information, then Electronic Filing (CM/ECF) and go to the CM/ECF Registration Form. (Boyce, Kathy) (Entered: 04/29/2011)
05/27/2011	<u>11</u>	NOTICE of Appearance by Jeffrey B. Rudman on behalf of State Street Bank & Trust Company, State Street Corporation, State Street Global Markets, LLC (Rudman, Jeffrey) (Entered: 05/27/2011)
05/27/2011	<u>12</u>	NOTICE of Appearance by Daniel W. Halston on behalf of State Street Bank & Trust Company, State Street Corporation, State Street Global Markets, LLC (Halston, Daniel) (Entered: 05/27/2011)

05/27/2011	13	NOTICE of Appearance by Beth E. Bookwalter on behalf of State Street Bank & Trust Company, State Street Corporation, State Street Global Markets, LLC (Bookwalter, Beth) (Entered: 05/27/2011)
05/27/2011	14	NOTICE of Appearance by Andrew R. Golden on behalf of State Street Bank & Trust Company, State Street Corporation, State Street Global Markets, LLC (Golden, Andrew) (Entered: 05/27/2011)
05/27/2011	15	NOTICE of Appearance by Adam Hornstine on behalf of State Street Bank & Trust Company, State Street Corporation, State Street Global Markets, LLC (Hornstine, Adam) (Entered: 05/27/2011)
05/27/2011	16	CORPORATE DISCLOSURE STATEMENT by State Street Bank & Trust Company, State Street Corporation, State Street Global Markets, LLC. (Hornstine, Adam) (Entered: 05/27/2011)
05/27/2011	17	Joint MOTION for Leave to File Excess Pages <i>for Memoranda of Law Relating to Defendants Motion to Dismiss</i> by Arkansas Teacher Retirement System, State Street Bank & Trust Company, State Street Corporation, State Street Global Markets, LLC.(Hornstine, Adam) (Entered: 05/27/2011)
06/03/2011	18	MOTION to Dismiss by State Street Bank & Trust Company, State Street Corporation, State Street Global Markets, LLC.(Hornstine, Adam) (Entered: 06/03/2011)
06/03/2011	19	MEMORANDUM in Support re 18 MOTION to Dismiss filed by State Street Bank & Trust Company, State Street Corporation, State Street Global Markets, LLC. (Hornstine, Adam) (Entered: 06/03/2011)
06/03/2011	20	DECLARATION re 18 MOTION to Dismiss by State Street Bank & Trust Company, State Street Corporation, State Street Global Markets, LLC. (Attachments: # 1 Exhibit A, # 2 Exhibit B Part 1, # 3 Exhibit B Part 2, # 4 Exhibit B Part 3, # 5 Exhibit B Part 4, # 6 Exhibit B Part 5, # 7 Exhibit B Part 6, # 8 Exhibit B Part 7, # 9 Exhibit C Part 1, # 10 Exhibit C Part 2, # 11 Exhibit C Part 3, # 12 Exhibit D, # 13 Exhibit E, # 14 Exhibit F, # 15 Exhibit G, # 16 Exhibit H, # 17 Exhibit I, # 18 Exhibit J, # 19 Exhibit K, # 20 Exhibit L, # 21 Exhibit M, # 22 Exhibit N, # 23 Exhibit O Part 1, # 24 Exhibit O Part 2)(Hornstine, Adam) (Entered: 06/03/2011)
06/07/2011	21	MOTION for Leave to Appear Pro Hac Vice for admission of Daniel P. Chiplock Filing fee: \$ 50, receipt number 0101-3441420 by Arkansas Teacher Retirement System. (Attachments: # 1 Affidavit of Garrett J. Bradley)(Bradley, Garrett) (Entered: 06/07/2011)
07/20/2011	22	MEMORANDUM in Opposition re 18 MOTION to Dismiss filed by Arkansas Teacher Retirement System. (Bernstein, Joel) (Entered: 07/20/2011)
07/20/2011	23	AFFIDAVIT of Joel H. Bernstein in Opposition re 18 MOTION to Dismiss filed by Arkansas Teacher Retirement System. (Attachments: # 1 Exhibit A)(Bernstein, Joel) (Entered: 07/20/2011)

08/08/2011	24	Notice of Supplemental Authorities re 18 MOTION to Dismiss (Attachments: # 1 Exhibit A)(Bernstein, Joel) (Entered: 08/08/2011)
08/19/2011	25	Assented to MOTION for Leave to File <i>A Reply Brief In Support Of Defendants' Motion To Dismiss</i> by State Street Bank & Trust Company, State Street Corporation, State Street Global Markets, LLC. (Attachments: # 1 Exhibit A)(Hornstine, Adam) (Entered: 08/19/2011)
10/14/2011		Chief Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 21 Motion for Leave to Appear Pro Hac Vice Added Daniel P. Chiplock. Attorneys admitted Pro Hac Vice must register for electronic filing. To register go to the Court website at www.mad.uscourts.gov. Select Case Information, then Electronic Filing (CM/ECF) and go to the CM/ECF Registration Form. (MacDonald, Gail) (Entered: 10/14/2011)
10/19/2011	26	AFFIDAVIT in Support re 21 MOTION for Leave to Appear Pro Hac Vice for admission of Daniel P. Chiplock Filing fee: \$ 50, receipt number 0101-3441420. (Bradley, Garrett) (Entered: 10/19/2011)
12/16/2011		DOCKETING ERROR PLEASE DISREGARD NOTICE. (Entered: 12/16/2011)
12/16/2011		Reopen Document 25 Assented to MOTION for Leave to File <i>A Reply Brief In Support Of Defendants' Motion To Dismiss</i> , 17 Joint MOTION for Leave to File Excess Pages for <i>Memoranda of Law Relating to Defendants Motion to Dismiss</i> Reason: Docketing error. (Hohler, Daniel) (Entered: 12/16/2011)
01/11/2012	27	Chief Judge Mark L. Wolf: ENDORSED ORDER entered granting 25 Motion for Leave to File Document. "ALLOWED." ; Counsel using the Electronic Case Filing System should now file the document for which leave to file has been granted in accordance with the CM/ECF Administrative Procedures. Counsel must include - Leave to file granted on (date of order)- in the caption of the document. (Hohler, Daniel) (Entered: 01/12/2012)
01/12/2012	28	Chief Judge Mark L. Wolf: MEMORANDUM AND ORDER entered. (Hohler, Daniel) (Entered: 01/12/2012)
01/12/2012		ELECTRONIC NOTICE Setting Hearing on Motion 18 MOTION to Dismiss : Motion Hearing set for 2/24/2012 03:00 PM in Courtroom 10 before Chief Judge Mark L. Wolf. (Hohler, Daniel) (Entered: 01/12/2012)
01/12/2012	29	REPLY to Response to 18 MOTION to Dismiss filed by State Street Bank & Trust Company, State Street Corporation, State Street Global Markets, LLC. (Hornstine, Adam) (Entered: 01/12/2012)
02/15/2012	30	Second Notice of Supplemental Authorities re 18 MOTION to Dismiss (Attachments: # 1 Exhibit A)(Bernstein, Joel) (Entered: 02/15/2012)
02/16/2012		ELECTRONIC NOTICE Canceling Hearing. The hearing scheduled 2/24/2012 has been CANCELED. No new hearing date has been set. (Hohler, Daniel) (Entered: 02/16/2012)

02/28/2012	31	Response by State Street Bank & Trust Company, State Street Corporation, State Street Global Markets, LLC to 30 Notice of Supplemental Authorities . (Hornstine, Adam) (Entered: 02/28/2012)
04/13/2012		ELECTRONIC NOTICE Setting Hearing on Motion 18 MOTION to Dismiss : Motion Hearing set for 5/8/2012 11:00 AM in Courtroom 10 before Chief Judge Mark L. Wolf. (Hohler, Daniel) (Entered: 04/13/2012)
04/30/2012	32	MOTION for Leave to Appear Pro Hac Vice for admission of Robert L. Lieff Filing fee: \$ 100, receipt number 0101-3922151 by Arkansas Teacher Retirement System. (Attachments: # 1 Affidavit Affidavit of Robert L. Lieff, # 2 Certificate of Service)(Bradley, Garrett) (Entered: 04/30/2012)
05/08/2012		Chief Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 32 Motion for Leave to Appear Pro Hac Vice Added Robert L. Lieff. Attorneys admitted Pro Hac Vice must register for electronic filing if the attorney does not already have an ECF account in this district. To register go to the Court website at www.mad.uscourts.gov. Select Case Information, then Electronic Filing (CM/ECF) and go to the CM/ECF Registration Form. (MacDonald, Gail) (Entered: 05/08/2012)
05/08/2012		Clerk's Notes for proceedings held before Chief Judge Mark L. Wolf: Motion Hearing held on 5/8/2012 re 18 MOTION to Dismiss filed by State Street Global Markets, LLC, State Street Corporation, State Street Bank & Trust Company. Court is in session. Court hears argument on motions to dismiss. Court is in recess. Court is back in session. State Street Bank Global Markets is dismissed without prejudice. Motion to dismiss against State Street Corporation is allowed. Motion to dismiss denied as to State Street Bank & Trust. Court provides rationale of decision. Separate Order to issue. Lobby conference held. Court is in recess. (Court Reporter: Richard Romanow at bulldog@richromanow.com .) (Attorneys present: Various) (Hohler, Daniel) (Entered: 05/09/2012)
05/08/2012	33	Chief Judge Mark L. Wolf: ORDER entered granting in part and denying in part 18 Motion to Dismiss For the reasons described in detail in court on May 8, 2012, it is hereby ORDERED that: 1. Defendants' Motion to Dismiss (Docket No. 18) is ALLOWED to the extent that the claims against defendant State Street Corporation are DISMISSED and, by agreement of the parties, the claims against defendant State Street Global Markets, LLC are DISMISSED without prejudice. The Motion to Dismiss is DENIED with regard to the claims against defendant State Street Bank & Trust Company. 2. By July 13, 2012, representatives of the parties and their counsel shall meet at least once to discuss the possibility of settling this case; report, jointly if possible but separately if necessary, concerning whether they have reached an agreement to do so; and, if not, report whether they both wish to engage in mediation, either privately or before a magistrate judge. 3. If case is not settled and there is no agreement to engage in mediation, by August 30, 2012, the parties shall respond to the attached Notice of Scheduling Conference. 4. If

		necessary, a scheduling conference shall be held on September 18, 2012, at 3:00 p.m. Representatives of the parties with settlement authority shall attend. (Attachments: # 1 Notice of Scheduling Conference) (Hohler, Daniel) (Entered: 05/09/2012)
05/09/2012	34	NOTICE of Scheduling Conference Scheduling Conference set for 9/18/2012 03:00 PM in Courtroom 10 before Chief Judge Mark L. Wolf. See attached notice.(Hohler, Daniel) (Entered: 05/09/2012)
05/15/2012	35	Assented to MOTION for Extension of Time to June 12, 2012 to File Answer re 10 Amended Complaint by State Street Bank & Trust Company.(Hornstine, Adam) (Entered: 05/15/2012)
05/16/2012		Chief Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 35 Motion for Extension of Time to Answer All Defendants. Response due 6/12/2012 (Hohler, Daniel) (Entered: 05/16/2012)
05/17/2012	36	Transcript of Motion to Dismiss Hearing held on May 8, 2012, before Chief Judge Mark L. Wolf. The Transcript may be purchased through the Court Reporter, viewed at the public terminal, or viewed through PACER after it is released. Court Reporter Name and Contact Information: Richard Romanow at bulldog@richromanow.com Redaction Request due 6/7/2012. Redacted Transcript Deadline set for 6/18/2012. Release of Transcript Restriction set for 8/15/2012. (Scalfani, Deborah) (Entered: 05/17/2012)
05/17/2012		NOTICE is hereby given that an official transcript of a proceeding has been filed by the court reporter in the above-captioned matter. Counsel are referred to the Court's Transcript Redaction Policy, available on the court website at http://www.mad.uscourts.gov/attorneys/general-info.htm (Scalfani, Deborah) (Entered: 05/17/2012)
06/07/2012	37	Assented to MOTION for Extension of Time to 9/13/2012 to File Answer re 10 Amended Complaint by State Street Bank & Trust Company.(Hornstine, Adam) (Entered: 06/07/2012)
06/11/2012		Chief Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 37 Motion for Extension of Time to Answer All Defendants. (Hohler, Daniel) (Entered: 06/11/2012)
07/13/2012	38	Joint MOTION to Seal <i>Joint Status Report</i> by State Street Bank & Trust Company.(Golden, Andrew) (Entered: 07/13/2012)
07/13/2012	39	NOTICE OF MANUAL FILING by State Street Bank & Trust Company <i>Joint Status Report</i> (Golden, Andrew) (Entered: 07/13/2012)
07/13/2012	40	SEALED DOCUMENT: Joint Status Report (referred to in document 38). (MacDonald, Gail) (Entered: 07/16/2012)
07/30/2012	41	Chief Judge Mark L. Wolf: ENDORSED ORDER entered denying 38 Motion to Seal. The Joint Motion to File Status Report Under Seal (Docket No. 38) is hereby denied and the report shall be unsealed. The parties shall, by August 30, 2012, inform the court of the date of the mediation, or explain the efforts that have been made to schedule it and

		request a brief extension. However, if a date has not been agreed upon, they shall also then respond to the Notice of Scheduling Conference. (Hohler, Daniel) Modified on 7/31/2012 (Hohler, Daniel). (Entered: 07/31/2012)
08/17/2012	42	STATUS REPORT by State Street Bank & Trust Company. (Golden, Andrew) (Entered: 08/17/2012)
08/21/2012	43	ELECTRONIC NOTICE Canceling Hearing. The Scheduling Conference previously scheduled for 09/18/2012 is canceled. No new hearing date has been set. The parties shall report on the results of the mediation by by 11/2/2012.(Hohler, Daniel) (Entered: 08/21/2012)
08/22/2012	44	MOTION for Leave to Appear Pro Hac Vice for admission of Lawrence A. Sucharow Filing fee: \$ 100, receipt number 0101-4082250 by Arkansas Teacher Retirement System. (Attachments: # 1 Affidavit) (Bradley, Garrett) (Entered: 08/22/2012)
08/31/2012	45	Assented to MOTION for Extension of Time to November 9, 2012 to File Answer re 10 Amended Complaint by State Street Bank & Trust Company.(Hornstine, Adam) (Entered: 08/31/2012)
09/12/2012	46	Chief Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 45 Motion for Extension of Time to Answer (Hohler, Daniel) (Entered: 09/12/2012)
09/14/2012	47	Chief Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 44 Motion for Leave to Appear Pro Hac Vice Added Lawrence A. Sucharow. Attorneys admitted Pro Hac Vice must register for electronic filing if the attorney does not already have an ECF account in this district. To register go to the Court website at www.mad.uscourts.gov. Select Case Information, then Electronic Filing (CM/ECF) and go to the CM/ECF Registration Form. (Hohler, Daniel) (Entered: 09/14/2012)
11/02/2012	48	Assented to MOTION for Extension of Time to 11/30/2012 to File Answer re 10 Amended Complaint by State Street Bank & Trust Company.(Hornstine, Adam) (Entered: 11/02/2012)
11/02/2012	49	Letter/request (non-motion) from all parties . (Rudman, Jeffrey) (Entered: 11/02/2012)
11/02/2012	50	STATUS REPORT by Arkansas Teacher Retirement System, State Street Bank & Trust Company. (Rudman, Jeffrey) (Entered: 11/02/2012)
11/08/2012	51	ELECTRONIC NOTICE of Hearing. As requested by the parties, a status conference is set for 11/15/2012 02:00 PM in Courtroom 10 before Chief Judge Mark L. Wolf in civil matters 1:11-cv-12049-MLW, 1:11-cv-10230-MLW, 1:12-cv-11698-MLW. The parties shall file a report jointly if possible, but separately if necessary, as to the items to be addressed at the status conference by 11/13/2012. Associated Cases: 1:11-cv-12049-MLW, 1:11-cv-10230-MLW, 1:12-cv-11698-MLW (Hohler, Daniel) (Entered: 11/08/2012)

11/08/2012	52	Chief Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 48 Motion for Extension of Time to Answer All Defendants. (Hohler, Daniel) (Entered: 11/08/2012)
11/13/2012	53	NOTICE of Appearance by Michael A. Lesser on behalf of Arkansas Teacher Retirement System (Attachments: # 1 Certificate of Service) (Lesser, Michael) (Entered: 11/13/2012)
11/13/2012	54	NOTICE of Appearance by Michael P. Thornton on behalf of Arkansas Teacher Retirement System (Attachments: # 1 Certificate of Service) (Thornton, Michael) (Entered: 11/13/2012)
11/13/2012	55	NOTICE of Appearance by Evan R. Hoffman on behalf of Arkansas Teacher Retirement System (Attachments: # 1 Certificate of Service) (Hoffman, Evan) (Entered: 11/13/2012)
11/13/2012	56	STATUS REPORT by Arkansas Teacher Retirement System, State Street Bank & Trust Company. (Rudman, Jeffrey) (Entered: 11/13/2012)
11/14/2012	57	Joint MOTION for Protective Order <i>and Stipulation</i> by Arkansas Teacher Retirement System, State Street Bank & Trust Company. (Rudman, Jeffrey) (Entered: 11/14/2012)
11/15/2012	60	ELECTRONIC Clerk's Notes for proceedings held before Chief Judge Mark L. Wolf: Status Conference held on 11/15/2012. Status conference held. (Court Reporter: Richard Romanow at bulldog@richromanow.com.) Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Hohler, Daniel) (Entered: 11/19/2012)
11/16/2012	58	Joint MOTION for Protective Order <i>and Stipulation</i> by Arkansas Teacher Retirement System, State Street Bank & Trust Company. (Rudman, Jeffrey) (Entered: 11/16/2012)
11/16/2012	59	Joint MOTION to Stay by Arkansas Teacher Retirement System, State Street Bank & Trust Company.(Rudman, Jeffrey) (Entered: 11/16/2012)
11/19/2012	61	Chief Judge Mark L. Wolf: ORDER entered granting 58 Motion for Protective Order. (MacDonald, Gail) (Entered: 11/20/2012)
11/19/2012	62	Chief Judge Mark L. Wolf: ORDER entered granting 59 Motion to Stay. (MacDonald, Gail) (Entered: 11/20/2012)
11/19/2012	63	Chief Judge Mark L. Wolf: ELECTRONIC ORDER entered. ORDER consolidating cases for pre-trial purposes. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW (MacDonald, Gail) (Entered: 11/20/2012)
11/29/2012	64	Transcript of Lobby Conference held on November 15, 2012, before Chief Judge Mark L. Wolf. The Transcript may be purchased through the Court Reporter, viewed at the public terminal, or viewed through PACER after it is released. Court Reporter Name and Contact Information: Richard Romanow at bulldog@richromanow.com Redaction Request due 12/20/2012. Redacted Transcript Deadline set for

		12/31/2012. Release of Transcript Restriction set for 2/27/2013. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Scalfani, Deborah) (Entered: 11/29/2012)
11/29/2012	65	NOTICE is hereby given that an official transcript of a proceeding has been filed by the court reporter in the above-captioned matter. Counsel are referred to the Court's Transcript Redaction Policy, available on the court website at http://www.mad.uscourts.gov/attorneys/general-info.htm Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Scalfani, Deborah) (Entered: 11/29/2012)
11/18/2013	66	Joint MOTION to Stay by State Street Bank & Trust Company, State Street Corporation.(Rudman, Jeffrey) (Entered: 11/18/2013)
12/26/2013	70	Judge Mark L. Wolf: ENDORSED ORDER entered granting (66) Motion to Stay in case 1:11-cv-10230-MLW "ALLOWED AND SO ORDERED. the parties asshall by June 1, 2014 inform the court whether the stay to that date should be extended and, if not, propose a schedule for this case." Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Hohler, Daniel) (Entered: 12/26/2013)
05/30/2014	71	Joint MOTION to Stay <i>and Stipulation</i> by State Street Bank & Trust Company.(Halston, Daniel) (Entered: 05/30/2014)
06/21/2014	72	Judge Mark L. Wolf: ORDER entered. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Hohler, Daniel) (Entered: 06/23/2014)
06/23/2014	73	Judge Mark L. Wolf: ORDER OF ADMINISTRATIVE CLOSING entered. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Hohler, Daniel) (Entered: 06/23/2014)
08/26/2014	74	NOTICE of Change of Address or Firm Name by Evan R. Hoffman (Hoffman, Evan) (Entered: 08/26/2014)
01/21/2015	75	Joint MOTION to Stay by State Street Bank & Trust Company. (Hornstine, Adam) (Entered: 01/21/2015)
06/06/2016	76	Joint Letter (non-motion) from parties in connection with resolving claims. (Franklin, Yvonne) (Entered: 06/06/2016)
06/06/2016	77	ELECTRONIC NOTICE of Hearing. Status Conference set for 6/23/2016 01:00 PM in Courtroom 10 before Judge Mark L. Wolf. The parties shall file a status report, joint if possible, by June 15, 2016, to update the court as to any motion for preliminary approval of the class action settlement as referenced in 76 Letter. (Bartlett, Timothy) (Entered: 06/06/2016)
06/08/2016	78	MOTION to Withdraw as Attorney <i>Adam Hornstine</i> by State Street Bank & Trust Company, State Street Corporation, State Street Global Markets, LLC.(Halston, Daniel) (Entered: 06/08/2016)
06/15/2016	79	Letter/request (non-motion). (Bartlett, Timothy) (Entered: 06/15/2016)

06/17/2016	80	Letter/request (non-motion). (Bartlett, Timothy) (Entered: 06/17/2016)
06/21/2016	81	STATUS REPORT (<i>Joint</i>) by Arkansas Teacher Retirement System. (Sucharow, Lawrence) (Entered: 06/21/2016)
06/23/2016	82	Electronic Clerk's Notes for proceedings held before Judge Mark L. Wolf: Status Conference held on 6/23/2016. Order to follow. (Court Reporter: Kelly Mortellite at mortellite@gmail.com.) (Attorneys present: Goldsmith, Bradley, Chiplock, Paine, Halston) (Bartlett, Timothy) (Entered: 06/24/2016)
06/24/2016	83	Judge Mark L. Wolf: ORDER entered. (Bartlett, Timothy) (Entered: 06/24/2016)
06/24/2016	84	ELECTRONIC NOTICE of Hearings, per 83 ORDER: Hearing set for 8/8/2016 03:00 PM in Courtroom 10 before Judge Mark L. Wolf. Hearing set for 10/25/2016 02:00 PM in Courtroom 10 before Judge Mark L. Wolf. (Bartlett, Timothy) (Entered: 06/24/2016)
07/01/2016	85	Transcript of Status Conference held on June 23, 2016, before Judge Mark L. Wolf. The Transcript may be purchased through the Court Reporter, viewed at the public terminal, or viewed through PACER after it is released. Court Reporter Name and Contact Information: Kelly Mortellite at mortellite@gmail.com Redaction Request due 7/22/2016. Redacted Transcript Deadline set for 8/1/2016. Release of Transcript Restriction set for 9/29/2016. (Scalfani, Deborah) (Entered: 07/01/2016)
07/01/2016	86	NOTICE is hereby given that an official transcript of a proceeding has been filed by the court reporter in the above-captioned matter. Counsel are referred to the Court's Transcript Redaction Policy, available on the court website at http://www.mad.uscourts.gov/attorneys/general-info.htm (Scalfani, Deborah) (Entered: 07/01/2016)
07/12/2016	87	MOTION for Leave to Appear Pro Hac Vice for admission of Nicole M. Zeiss Filing fee: \$ 100, receipt number 0101-6199025 by Arkansas Teacher Retirement System. (Attachments: # 1 Affidavit of Garrett J. Bradley)(Bradley, Garrett) (Entered: 07/12/2016)
07/12/2016	88	Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 87 Motion for Leave to Appear Pro Hac Vice Added Nicole M. Zeiss. Attorneys admitted Pro Hac Vice must register for electronic filing if the attorney does not already have an ECF account in this district. To register go to the Court website at www.mad.uscourts.gov. Select Case Information, then Electronic Filing (CM/ECF) and go to the CM/ECF Registration Form. (Franklin, Yvonne) (Entered: 07/12/2016)
07/26/2016	89	SETTLEMENT AGREEMENT <i>Stipulation and Agreement of Settlement</i> by Arkansas Teacher Retirement System. (Sucharow, Lawrence) (Entered: 07/26/2016)
07/26/2016	90	MOTION for Settlement <i>PLAINTIFFS ASSENTED-TO MOTION FOR PRELIMINARY APPROVAL OF PROPOSED CLASS SETTLEMENT,</i>

		<i>PRELIMINARY CERTIFICATION OF SETTLEMENT CLASS, AND APPROVAL OF PROPOSED FORM AND MANNER OF CLASS NOTICE AND REQUEST FOR ORAL ARGUMENT</i> by Arkansas Teacher Retirement System. (Attachments: # <u>1</u> Proposed Preliminary Approval Order)(Sucharow, Lawrence) (Entered: 07/26/2016)
07/26/2016	<u>91</u>	MEMORANDUM in Support re <u>90</u> MOTION for Settlement <i>PLAINTIFFS ASSENTED-TO MOTION FOR PRELIMINARY APPROVAL OF PROPOSED CLASS SETTLEMENT, PRELIMINARY CERTIFICATION OF SETTLEMENT CLASS, AND APPROVAL OF PROPOSED FORM AND MANNER OF CLASS NOTICE AND REQUEST FOR ORAL ARGUMENT</i> filed by Arkansas Teacher Retirement System. (Sucharow, Lawrence) (Entered: 07/26/2016)
07/26/2016	<u>92</u>	AFFIDAVIT of LAWRENCE A. SUCHAROW in Support re <u>90</u> MOTION for Settlement <i>PLAINTIFFS ASSENTED-TO MOTION FOR PRELIMINARY APPROVAL OF PROPOSED CLASS SETTLEMENT, PRELIMINARY CERTIFICATION OF SETTLEMENT CLASS, AND APPROVAL OF PROPOSED FORM AND MANNER OF CLASS NOTICE AND REQUEST FOR ORAL ARGUMENT</i> filed by Arkansas Teacher Retirement System. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B) (Sucharow, Lawrence) (Entered: 07/26/2016)
08/08/2016	96	Electronic Clerk's Notes for proceedings held before Judge Mark L. Wolf: Preliminary Class Settlement Conference held on 8/8/2016. Court certified proposed class for settlement purposes. Final Class Settlement hearing set November 2, 2016 at 2 p.m. (Court Reporter: Kelly Mortellite at mortellite@gmail.com) (Attorneys present: Goldsmith, Thornton, Chiplock, Kravitz, McTigue, Paine, Halston) (Bartlett, Timothy) (Entered: 08/11/2016)
08/08/2016	98	ELECTRONIC NOTICE of Hearing. Final Approval Hearing set for 11/2/2016 02:00 PM in Courtroom 10 before Judge Mark L. Wolf. (Bartlett, Timothy) (Entered: 08/11/2016)
08/09/2016	<u>93</u>	Transcript of Hearing held on August 8, 2016, before Judge Mark L. Wolf. The Transcript may be purchased through the Court Reporter, viewed at the public terminal, or viewed through PACER after it is released. Court Reporter Name and Contact Information: Kelly Mortellite at mortellite@gmail.com Redaction Request due 8/30/2016. Redacted Transcript Deadline set for 9/9/2016. Release of Transcript Restriction set for 11/7/2016. (Scalfani, Deborah) (Entered: 08/09/2016)
08/09/2016	94	NOTICE is hereby given that an official transcript of a proceeding has been filed by the court reporter in the above-captioned matter. Counsel are referred to the Court's Transcript Redaction Policy, available on the court website at http://www.mad.uscourts.gov/attorneys/general-info.htm (Scalfani, Deborah) (Entered: 08/09/2016)
08/10/2016	<u>95</u>	Proposed Document(s) submitted by Arkansas Teacher Retirement System. Document received: Proposed Revised Preliminary Approval Order and Class Notices. (Attachments: # <u>1</u> Exhibit A - Revised

		Preliminary Approval Order, # 2 Exhibit B - Redline of Revised Preliminary Approval Order, # 3 Exhibit C - Revised Long-Form Notice, # 4 Exhibit D - Redline of Revised Long-Form Notice, # 5 Exhibit E - Revised Summary Notice, # 6 Exhibit F - Redline of Revised Summary Notice)(Sucharow, Lawrence) (Entered: 08/10/2016)
08/11/2016	97	Judge Mark L. Wolf: ORDER entered. (Final Approval Hearing set for 11/2/2016 02:00 PM in Courtroom 10 before Judge Mark L. Wolf.) (Bartlett, Timothy) (Entered: 08/11/2016)
09/15/2016	99	MEMORANDUM OF LAW by State Street Bank & Trust Company, State Street Corporation, State Street Global Markets, LLC. (Paine, William) (Entered: 09/15/2016)
09/15/2016	100	Assented to MOTION for Settlement <i>Final Approval of Proposed Class Settlement and Plan of Allocation and Final Certification of Settlement Class</i> by Arkansas Teacher Retirement System.(Sucharow, Lawrence) (Entered: 09/15/2016)
09/15/2016	101	Assented to MOTION for Leave to File Excess Pages <i>regarding Memorandum of Law in Support of Plaintiffs' Assented-to Motion for Final Approval of Proposed Class Settlement</i> by Arkansas Teacher Retirement System. (Attachments: # 1 Proposed Memorandum of Law in Support of Plaintiffs' Assented-to Motion for Final Approval of Proposed Class Settlement and Plan of Allocation and Final Certification of Settlement Class)(Sucharow, Lawrence) (Entered: 09/15/2016)
09/15/2016	102	MOTION for Attorney Fees , <i>Payment of Litigation Expenses, and Payment of Service Awards to Plaintiffs</i> by Arkansas Teacher Retirement System.(Sucharow, Lawrence) (Entered: 09/15/2016)
09/15/2016	103	Assented to MOTION for Leave to File Excess Pages <i>regarding Memorandum of Law in Support of Lead Counsel's Motion for an Award of Attorneys' Fees</i> by Arkansas Teacher Retirement System. (Attachments: # 1 Proposed Memorandum of Law in Support of Lead Counsel's Motion for an Award of Attorneys' Fees, Payment of Litigation Expenses, and Payment of Service Awards to Plaintiffs) (Sucharow, Lawrence) (Entered: 09/15/2016)
09/15/2016	104	DECLARATION re 100 Assented to MOTION for Settlement <i>Final Approval of Proposed Class Settlement and Plan of Allocation and Final Certification of Settlement Class</i> , 102 MOTION for Attorney Fees , <i>Payment of Litigation Expenses, and Payment of Service Awards to Plaintiffs</i> by Arkansas Teacher Retirement System. (Attachments: # 1 Exhibit 1, # 2 Exhibit 2, # 3 Exhibit 3, # 4 Exhibit 4, # 5 Exhibit 5, # 6 Exhibit 6, # 7 Exhibit 7, # 8 Exhibit 8, # 9 Exhibit 9, # 10 Exhibit 10, # 11 Exhibit 11, # 12 Exhibit 12, # 13 Exhibit 13, # 14 Exhibit 14, # 15 Exhibit 15, # 16 Exhibit 16, # 17 Exhibit 17, # 18 Exhibit 18, # 19 Exhibit 19, # 20 Exhibit 20, # 21 Exhibit 21, # 22 Exhibit 22, # 23 Exhibit 23, # 24 Exhibit 24, # 25 Exhibit 25, # 26 Exhibit 26, # 27 Exhibit 27, # 28 Exhibit 28, # 29 Exhibit 29, # 30 Exhibit 30, # 31 Exhibit 31, # 32 Exhibit 32)(Sucharow, Lawrence) (Entered: 09/15/2016)

09/16/2016	105	Letter/request (non-motion) from Atty. Hoffman, for the Plaintiffs'. Courtesy copy of listed documents have been delivered to chambers. (Franklin, Yvonne) (Entered: 09/16/2016)
10/19/2016	106	STATUS REPORT (<i>Defendant's Statement Reporting Status of Class Action Settlement</i>) by State Street Bank & Trust Company, State Street Corporation, State Street Global Markets, LLC. (Paine, William) (Entered: 10/19/2016)
10/21/2016	107	ELECTRONIC NOTICE Canceling Hearing. Hearing 10/25/2016 is terminated. (Hohler, Daniel) (Entered: 10/21/2016)
10/21/2016	108	MEMORANDUM in Support re 102 MOTION for Attorney Fees , <i>Payment of Litigation Expenses, and Payment of Service Awards to Plaintiffs</i> , 100 Assented to MOTION for Settlement <i>Final Approval of Proposed Class Settlement and Plan of Allocation and Final Certification of Settlement Class Reply Memorandum of Law in Further Support of (A) Plaintiffs Assented-to Motion for Final Approval of Proposed Class Settlement and Plan of Allocation and Final Certification of Settlement Class and (B) Lead Counsels Motion for an Award of Attorneys Fees, Payment of Litigation Expenses, and Payment of Service Awards to Plaintiffs</i> filed by Arkansas Teacher Retirement System. (Attachments: # 1 Text of Proposed Order Final Order and Judgment, # 2 Text of Proposed Order Approving Plan of Allocation, # 3 Text of Proposed Order Awarding Attorneys' Fees and Expenses) (Goldsmith, David) (Entered: 10/21/2016)
10/21/2016	109	AFFIDAVIT of Eric J. Miller re 102 MOTION for Attorney Fees , <i>Payment of Litigation Expenses, and Payment of Service Awards to Plaintiffs</i> , 108 Memorandum in Support of Motion,, 100 Assented to MOTION for Settlement <i>Final Approval of Proposed Class Settlement and Plan of Allocation and Final Certification of Settlement Class on Behalf of A.B. Data Regarding Mailing of Notice to Settlement Class Members and Requests for Exclusion</i> by Arkansas Teacher Retirement System. (Goldsmith, David) (Entered: 10/21/2016)
11/02/2016	110	Judge Mark L. Wolf: ORDER entered. JUDGMENT (Hohler, Daniel) (Entered: 11/02/2016)
11/02/2016	111	Judge Mark L. Wolf: ORDER entered. (Hohler, Daniel) (Entered: 11/02/2016)
11/02/2016	112	Judge Mark L. Wolf: ORDER entered. (Hohler, Daniel) (Entered: 11/02/2016)
11/02/2016	113	Electronic Clerk's Notes for proceedings held before Judge Mark L. Wolf: Motion Hearing held on 11/2/2016 re 102 MOTION for Attorney Fees , <i>Payment of Litigation Expenses, and Payment of Service Awards to Plaintiffs</i> filed by Arkansas Teacher Retirement System, 100 Assented to MOTION for Settlement <i>Final Approval of Proposed Class Settlement and Plan of Allocation and Final Certification of Settlement Class</i> filed by Arkansas Teacher Retirement System. (Court Reporter: Kelly

		Mortellite at mortellite@gmail.com.)(Attorneys present: various) (Hohler, Daniel) (Entered: 11/02/2016)
11/07/2016	114	Transcript of Hearing held on November 2, 2016, before Judge Mark L. Wolf. The Transcript may be purchased through the Court Reporter, viewed at the public terminal, or viewed through PACER after it is released. Court Reporter Name and Contact Information: Kelly Mortellite at mortellite@gmail.com Redaction Request due 11/28/2016. Redacted Transcript Deadline set for 12/8/2016. Release of Transcript Restriction set for 2/6/2017. (Scalfani, Deborah) (Entered: 11/07/2016)
11/07/2016	115	NOTICE is hereby given that an official transcript of a proceeding has been filed by the court reporter in the above-captioned matter. Counsel are referred to the Court's Transcript Redaction Policy, available on the court website at http://www.mad.uscourts.gov/attorneys/general-info.htm (Scalfani, Deborah) (Entered: 11/07/2016)
11/10/2016	116	Letter/request (non-motion) from David J. Goldsmith <i>to the Court</i> . (Goldsmith, David) (Entered: 11/10/2016)
02/06/2017	117	Judge Mark L. Wolf: ORDER entered. MEMORANDUM AND ORDER.(Bono, Christine) (Entered: 02/06/2017)
02/06/2017	118	ELECTRONIC NOTICE of Hearing.Hearing set for 3/7/2017 10:00 AM in Courtroom 10 before Judge Mark L. Wolf. See D.E. 117 .(Bono, Christine) (Entered: 02/06/2017)
02/16/2017	119	MOTION Plaintiff James Pehoushek-Stangeland for Leave to Participate Telephonically at Hearing re 117 Memorandum & ORDER [<i>Unopposed</i>] by James Pehoushek-Stangeland, Andover Companies Employee Savings and Profit Sharing Plan. (Attachments: # 1 Text of Proposed Order)(Sarko, Lynn) (Entered: 02/16/2017)
02/17/2017	120	Judge Mark L. Wolf: "ALLOWED. Mr. Pehoushek-Stangeland may participate by telephone."ORDER entered granting 119 Motion (Bono, Christine) (Entered: 02/17/2017)
02/17/2017	121	MOTION for Leave to Appear Pro Hac Vice for admission of Richard M. Heimann Filing fee: \$ 100, receipt number 0101-6499996 by Arkansas Teacher Retirement System. (Attachments: # 1 Affidavit Evan R. Hoffman)(Hoffman, Evan) (Entered: 02/17/2017)
02/17/2017	122	AFFIDAVIT of Richard M. Heimann in Support re 121 MOTION for Leave to Appear Pro Hac Vice for admission of Richard M. Heimann Filing fee: \$ 100, receipt number 0101-6499996 filed by Arkansas Teacher Retirement System. (Hoffman, Evan) (Entered: 02/17/2017)
02/17/2017	123	Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 121 Motion for Leave to Appear Pro Hac Vice Added Richard M. Heimann. Attorneys admitted Pro Hac Vice must register for electronic filing if the attorney does not already have an ECF account in this district. To register go to the Court website at www.mad.uscourts.gov. Select Case Information, then Electronic Filing (CM/ECF) and go to the

		CM/ECF Registration Form. (Franklin, Yvonne) (Entered: 02/17/2017)
02/17/2017	124	NOTICE of Appearance by Ellen R. Tanowitz on behalf of Competitive Enterprise Institute (Tanowitz, Ellen) Modified on 2/17/2017 to correct docket text (Franklin, Yvonne). (Entered: 02/17/2017)
02/17/2017	125	MOTION for Leave to Appear Pro Hac Vice for admission of Theodore H. Frank Filing fee: \$ 100, receipt number 0101-6500785 by Competitive Enterprise Institute. (Attachments: # 1 Affidavit of Theodore Frank, # 2 Exhibit 1 to Affidavit,)(Tanowitz, Ellen) Modified on 2/17/2017 to correct docket text (Franklin, Yvonne). Modified on 2/21/2017 (Paine, Matthew). (Entered: 02/17/2017)
02/17/2017	126	MOTION Motion for Leave to File Amicus Curiae Response to Court's Order of February 6 and For Leave to Participate as Guardian ad Litem for Class or Amicus in Front of Special Master by Competitive Enterprise Institute. (Attachments: # 1 Exhibit Proposed Brief) (Tanowitz, Ellen) Modified on 2/17/2017 to correct docket text (Franklin, Yvonne). (Entered: 02/17/2017)
02/17/2017	127	MEMORANDUM in Support re 126 MOTION Motion for Leave to File Amicus Curiae Response to Court's Order of February 6 and For Leave to Participate as Guardian ad Litem for Class or Amicus in Front of Special Master filed by Competitive Enterprise Institute. (Tanowitz, Ellen) Modified on 2/17/2017 to correct docket text (Franklin, Yvonne). (Entered: 02/17/2017)
02/17/2017	128	MEMORANDUM OF LAW by Arkansas Teacher Retirement System to 117 Memorandum & ORDER. (Heimann, Richard) (Entered: 02/17/2017)
02/17/2017	129	BRIEF by Labaton Sucharow LLP <i>Consenting to Appointment of Special Master and Proposing Appointment of Co-Special Master.</i> (Attachments: # 1 Exhibit A - Phillips Biography, # 2 Exhibit B - Phillips Declaration) (Lukey, Joan) (Entered: 02/17/2017)
02/17/2017	130	NOTICE of Appearance by Brian T. Kelly on behalf of Thornton Law Firm LLP (Kelly, Brian) (Entered: 02/17/2017)
02/17/2017	131	BRIEF by Thornton Law Firm LLP <i>Consenting to Appointment of Special Master and Concurring with Proposal Filed as [Dkt. 129].</i> (Kelly, Brian) (Entered: 02/17/2017)
02/18/2017	132	NOTICE of Appearance by Joan A. Lukey on behalf of Labaton Sucharow LLP (Lukey, Joan) (Main Document 132 replaced on 2/21/2017) (Paine, Matthew). (Entered: 02/18/2017)
02/21/2017	133	Judge Mark L. Wolf: "It is hereby ORDERED that class counsel shall, by February 27, 2017, respond to the Competitive Enterprise Institute's Center for Class Action Fairness's Motion for Leave to File Amicus Curiae Response to Court's Order of February 6 and for Leave to Participate as Guardian Ad Litem for Class or Amicus in Front of

		Special Master (Docket No. 126). Any reply shall be filed by March 2, 2017." ORDER entered. (Bono, Christine) (Entered: 02/21/2017)
02/21/2017	134	CORPORATE DISCLOSURE STATEMENT by Competitive Enterprise Institute identifying other Affiliate Center for Class Action Fairness for Competitive Enterprise Institute. (Franklin, Yvonne) (Entered: 02/21/2017)
02/21/2017	135	Notice of correction to docket made by Court staff. Correction: D.E. #125, Attachment #3 incorrectly filed because: Corporate disclosure removed and filed correctly as a separate event and docket text corrected. (Entered: 02/21/2017)
02/21/2017	136	Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 125 Motion for Leave to Appear Pro Hac Vice Added Theodore H. Frank. Attorneys admitted Pro Hac Vice must register for electronic filing if the attorney does not already have an ECF account in this district. To register go to the Court website at www.mad.uscourts.gov. Select Case Information, then Electronic Filing (CM/ECF) and go to the CM/ECF Registration Form. (Franklin, Yvonne) (Entered: 02/21/2017)
02/22/2017	137	RESPONSE TO COURT ORDER Filed 2/17/17 by Arnold Henriquez Zuckerman Spaeder LLP's Response to the Court's February 6, 2017 Memorandum and Order. (Carl Kravitz) re 117 Memorandum & Order. (Franklin, Yvonne) Modified on 2/22/2017 to refile response in lead case. (Entered: 02/22/2017)
02/22/2017	138	RESPONSE TO COURT ORDER Filed 2/20/17 by Michael T. Cohn, Arnold Henriquez, Richard A. Sutherland, William R. Taylor re 117 Memorandum & ORDER. (Attachments: # 1 Declaration of J. Brian McTigue, # 2 Exhibit 1, # 3 Exhibit 2) Modified on 2/22/2017 to refile response in lead case. (Franklin, Yvonne). (Entered: 02/22/2017)
02/22/2017	139	RESPONSE TO COURT ORDER Filed 2/21/17 by Arnold Henriquez (CORRECTED)Zuckerman Spaeder LLP's Response to the Court's February 6, 2017 Memorandum and Order (Carl Kravitz) re 117 Memorandum & Order. Modified on 2/22/2017 to refile response in lead case. (Entered: 02/22/2017)
02/22/2017	140	RESPONSE TO COURT ORDER by Arnold Henriquez Filed 2/21/17 to the Court's February 6, 2017 Memorandum and Order (Catherine Campbell) re 117 Memorandum & Order. Modified on 2/22/2017 to refile response in lead case. (Franklin, Yvonne). (Entered: 02/22/2017)
02/22/2017	141	RESPONSE TO COURT ORDER by Arnold Henriquez (Jonathan Axelrod) re 117 Memorandum & Order. Modified on 2/22/2017 to refile response in lead case. (Franklin, Yvonne). (Entered: 02/22/2017)
02/22/2017	142	RESPONSE TO COURT ORDER by Arkansas Teacher Retirement System re 117 Memorandum & ORDER filed February 6, 2017. (Palmer, Kimberly) (Entered: 02/22/2017)

02/23/2017	144	MOTION FOR LEAVE TO REQUEST AN ORDER PERMITTING Henriquez PLAINTIFFS TO ATTEND THE MARCH 7, 2017 HEARING TELEPHONICALLY [UNOPPOSED] by Michael T. Cohn, Arnold Henriquez, Richard A. Sutherland, William R. Taylor. (Attachments: # 1 Text of Proposed Order)(McTigue, J.) (Entered: 02/23/2017)
02/27/2017	145	Opposition re 126 MOTION Motion for Leave to File Amicus Curiae Response to Court's Order of February 6 and For Leave to Participate as Guardian ad Litem for Class or Amicus in Front of Special Master filed by Labaton Sucharow LLP. (Lukey, Joan) (Entered: 02/27/2017)
02/27/2017	146	MEMORANDUM in Opposition re 126 MOTION Motion for Leave to File Amicus Curiae Response to Court's Order of February 6 and For Leave to Participate as Guardian ad Litem for Class or Amicus in Front of Special Master filed by Arnold Henriquez. (Kravitz, Carl) (Entered: 02/27/2017)
02/27/2017	147	Opposition re 126 MOTION Motion for Leave to File Amicus Curiae Response to Court's Order of February 6 and For Leave to Participate as Guardian ad Litem for Class or Amicus in Front of Special Master [<i>joining in Opposition filed by Labaton Sucharow LLP 145</i>] filed by Lief Cabraser Heimann & Bernstein, LLP. (Heimann, Richard) (Entered: 02/27/2017)
02/27/2017	148	RESPONSE to Motion re 126 MOTION Motion for Leave to File Amicus Curiae Response to Court's Order of February 6 and For Leave to Participate as Guardian ad Litem for Class or Amicus in Front of Special Master filed by Andover Companies Employee Savings and Profit Sharing Plan, James Pehoushek-Stangeland. (Sarko, Lynn) (Entered: 02/27/2017)
02/27/2017	149	Opposition re 126 MOTION Motion for Leave to File Amicus Curiae Response to Court's Order of February 6 and For Leave to Participate as Guardian ad Litem for Class or Amicus in Front of Special Master [<i>joining in Opposition filed by Labaton Sucharow, LLP, ECF No. 145</i>] filed by Arkansas Teacher Retirement System. (Palmer, Kimberly) (Entered: 02/27/2017)
02/27/2017	150	NOTICE by Thornton Law Firm LLP of Joinder to [<i>ECF No. 145</i>] Labaton Sucharow LLP's Opposition to Competitive Enterprise Institute's Center for Class Action Fairness's Motion for Leave to File Amicus Curiae Response to Court's Order of February 6 and for Leave to Participate as Guardian Ad Litem for Class or Amicus in Front of Special Master [<i>ECF No. 126</i>] (Kelly, Brian) (Entered: 02/27/2017)
02/27/2017	151	NOTICE of Appearance by M. Frank Bednarz on behalf of Competitive Enterprise Institute (CEI) (Bednarz, M.) (Entered: 02/27/2017)
02/28/2017	152	Judge Mark L. Wolf: "This motion with regard to Arnold Henriquez is hereby DENIED. This motion with regard to the other plaintiffs is hereby DENIED without prejudice to possible reconsideration if, by March 2, 2017, affidavits, as required by Local Rule 7.1(b)(1), are filed,

		with letters from each plaintiff's treating physician."ORDER entered denying 144 Motion (Bono, Christine) (Entered: 02/28/2017)
03/02/2017	154	REPLY to Response to 126 MOTION Motion for Leave to File Amicus Curiae Response to Court's Order of February 6 and For Leave to Participate as Guardian ad Litem for Class or Amicus in Front of Special Master filed by Competitive Enterprise Institute (CEI). (Bednarz, M.) (Entered: 03/02/2017)
03/02/2017	155	MOTION for Reconsideration re 152 Order on Motion for Miscellaneous Relief, <i>MOTION FOR PARTIAL RECONSIDERATION FOR LEAVE TO REQUEST AN ORDER PERMITTING PLAINTIFFS RICHARD A. SUTHERLAND AND WILLIAM R. TAYLOR TO ATTEND THE MARCH 7, 2017 HEARING TELEPHONICALLY</i> by Michael T. Cohn, Arnold Henriquez, Richard A. Sutherland, William R. Taylor. (Attachments: # 1 Declaration of J. Brian McTigue, # 2 Exhibit A - Physician's Letter)(McTigue, J.) (Entered: 03/02/2017)
03/02/2017	156	MEMORANDUM in Support re 155 MOTION for Reconsideration re 152 Order on Motion for Miscellaneous Relief, <i>MOTION FOR PARTIAL RECONSIDERATION FOR LEAVE TO REQUEST AN ORDER PERMITTING PLAINTIFFS RICHARD A. SUTHERLAND AND WILLIAM R. TAYLOR TO ATTEND THE MARCH 7, 2017 HEARIN</i> filed by Michael T. Cohn, Arnold Henriquez, Richard A. Sutherland, William R. Taylor. (McTigue, J.) (Entered: 03/02/2017)
03/03/2017	157	Second AFFIDAVIT in Support re 155 MOTION for Reconsideration re 152 Order on Motion for Miscellaneous Relief, <i>MOTION FOR PARTIAL RECONSIDERATION FOR LEAVE TO REQUEST AN ORDER PERMITTING PLAINTIFFS RICHARD A. SUTHERLAND AND WILLIAM R. TAYLOR TO ATTEND THE MARCH 7, 2017 HEARIN</i> filed by Michael T. Cohn, Arnold Henriquez, Richard A. Sutherland, William R. Taylor. (Attachments: # 1 Exhibit A Physicians Note, # 2 Exhibit B Physicians Note)(McTigue, J.) (Entered: 03/03/2017)
03/03/2017	158	Judge Mark L. Wolf: "ALLOWED. As Mr. Taylor is not scheduled for knee surgery on March 7, 2017, he as well as Mr. Sutherland shall participate by telephone. The Clerk will provide their counsel with the telephone number to be called." ORDER entered granting 155 Motion for Reconsideration (Bono, Christine) (Entered: 03/03/2017)
03/06/2017	160	NOTICE of Appearance by Justin J. Wolosz on behalf of Labaton Sucharow LLP (Wolosz, Justin) (Main Document 160 replaced on 3/6/2017) (Franklin, Yvonne). (Entered: 03/06/2017)
03/06/2017	161	MOTION for Leave to Appear Pro Hac Vice for admission of Jonathan D. Selbin Filing fee: \$ 100, receipt number 0101-6521097 by Arkansas Teacher Retirement System. (Attachments: # 1 Affidavit Jonathn D. Selbin, # 2 Affidavit Evan R. Hoffman)(Hoffman, Evan) (Entered: 03/06/2017)
03/06/2017	162	Judge Mark L. Wolf: "The court has noticed that there is an incorrect cite toUnited States v. Sampson on page 12 of the February 6,

		2017Memorandum and Order. Attached is an amended version with the correct citation, United States v. Sampson, 148 F. Supp. 3d 75,85-88 (D. Mass. 2015)." ORDER entered. re 117 Memorandum & ORDER (Bono, Christine) (Entered: 03/06/2017)
03/06/2017	163	Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 161 Motion for Leave to Appear Pro Hac Vice Added Jonathan D. Selbin. Attorneys admitted Pro Hac Vice must register for electronic filing if the attorney does not already have an ECF account in this district. To register go to the Court website at www.mad.uscourts.gov. Select Case Information, then Electronic Filing (CM/ECF) and go to the CM/ECF Registration Form. (Franklin, Yvonne) (Entered: 03/06/2017)
03/06/2017	166	MOTION for Leave to File <i>Surreply to Competitive Enterprise Institute's Motion for Leave to File Amicus Curiae Response to Court's Order of February 6 and for Leave to Participate as Guardian Ad Litem for Class or Amicus in Front of Special Master</i> by Lief Cabraser Heimann & Bernstein, LLP. (Attachments: # 1 Exhibit A to Motion - [Proposed] Surreply, # 2 Declaration of Richard M. Heimann in Support of Motion for Leave to File Surreply, # 3 Exhibit A to Heimann Declaration, # 4 Exhibit B to Heimann Declaration, # 5 Exhibit C to Heimann Declaration, # 6 Exhibit D to Heimann Declaration)(Heimann, Richard) (Entered: 03/06/2017)
03/06/2017	167	Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 166 Motion for Leave to File Document ; Counsel using the Electronic Case Filing System should now file the document for which leave to file has been granted in accordance with the CM/ECF Administrative Procedures. Counsel must include - Leave to file granted on (date of order)- in the caption of the document. (Bono, Christine) (Entered: 03/06/2017)
03/06/2017	168	SUR-REPLY to Motion re 126 MOTION Motion for Leave to File Amicus Curiae Response to Court's Order of February 6 and For Leave to Participate as Guardian ad Litem for Class or Amicus in Front of Special Master [<i>Leave to file granted on March 6, 2017</i>] filed by Lief Cabraser Heimann & Bernstein, LLP. (Heimann, Richard) (Entered: 03/06/2017)
03/06/2017	169	CERTIFICATE OF SERVICE pursuant to LR 5.2 by Lief Cabraser Heimann & Bernstein, LLP re 168 Sur-Reply to Motion, . (Heimann, Richard) (Entered: 03/06/2017)
03/07/2017	170	Judge Mark L. Wolf: "ALLOWED (and signed on 3/5/17) for the purpose of allowing Mr. Frank to appear as amicus on March 7, 2017, and in the future if authorized by the Court." ENDORSED ORDER entered. re 125 MOTION for Leave to Appear Pro Hac Vice for admission of Theodore H. Frank Filing fee: \$ 100, receipt number 0101-6500785 filed by Competitive Enterprise Institute (CEI) (Bono, Christine) Modified on 3/13/2017 (Franklin, Yvonne). (Entered: 03/07/2017)

03/07/2017	171	Electronic Clerk's Notes for proceedings held before Judge Mark L. Wolf: Hearing re Special Master Appointment and related issues held on 3/7/2017. (Court Reporter: Catherine Handel at hhcatherine2@yahoo.com.)(Attorneys present: Sucharow, Lukey, Wolosz, Chiplock, Heimann, Bradley, G., Bradley, M., Kelly, Kravitz, Campbell, McTigue, Axelrod, Palmer, Sarko, Payne, Halston, Frank) (Bono, Christine) (Entered: 03/07/2017)
03/08/2017	172	Judge Mark L. Wolf: "1. The Competitive Enterprise Institute's Motion for Leave to File Amicus Curiae Response to the Court's Order of February 6 (Docket No. 126) is ALLOWED. The Competitive Enterprise Institute's Motion for Leave to Participate as Guardian ad Litem for the Class or Amicus in Front of the Special Master (Docket No. 126) is taken under advisement. 2. Class counsel shall, by March 13, 2017, file a motion memorializing their March 7, 2017 oral motion for relief from final judgment under Federal Rule of Civil Procedure 60(b). The Rule 60 (b) motion is taken under advisement. 3. Class counsel shall, by March 13, 2017, file a proposed notice to be sent to the class describing the issues that have emerged and the events that have occurred since the court ordered awards of attorneys' fees, expenses, and service awards at the November 2, 2017 hearing. The notice shall advise the class that the final judgment has been reopened, describe how the relevant records are available for review, and provide 45 days for any class member to object to the awards previously made. Class counsel shall explain to the court how this notice will be distributed in a manner comparable to the notice of the preliminary approval of the class settlement. 4. Labaton Sucharow LLP and Thornton Law Firm LLP's motion to appoint Retired Judge Layn Phillips as co-special master (Docket Nos. 129 and 131) is DENIED. 5. McTigue Law's motion to appoint Retired Judge James Rosenbaum as special master (Docket No. 138) is WITHDRAWN. To the extent, if any, that they were not withdrawn, McTigue Law's objections to the scope of the special master's duties and to the appointment of Retired Judge Gerald Rosen as special master are DENIED. 6. Class counsel shall, by March 13, 2017, identify which firm or firms will serve as liaison counsel to the special master. 7. Class counsel shall order the transcript of the March 7, 2017 hearing." ORDER entered. (Bono, Christine) (Entered: 03/08/2017)
03/08/2017	173	Judge Mark L. Wolf: ORDER entered. MEMORANDUM AND ORDER. (Bono, Christine) (Entered: 03/08/2017)
03/08/2017	174	AMICUS BRIEF filed by Competitive Enterprise Institute (CEI) <i>with leave to file granted March 8, 2017</i> 172 . (Bednarz, M.) (Entered: 03/08/2017)
03/10/2017	175	RECEIPT: Receipt # 580004 for monies received on 03/08/2017 in amount of \$ \$2,000,000.00, re: 173 Memorandum & ORDER.. (Adam, Lucien) (Entered: 03/10/2017)
03/13/2017	176	Transcript of Hearing held on March 7, 2017, before Judge Mark L. Wolf. The Transcript may be purchased through the Court Reporter, viewed at the public terminal, or viewed through PACER after it is

		released. Court Reporter Name and Contact Information: Catherine Handel at hhcatherine2@yahoo.com Redaction Request due 4/3/2017. Redacted Transcript Deadline set for 4/13/2017. Release of Transcript Restriction set for 6/12/2017. (Scalfani, Deborah) (Entered: 03/13/2017)
03/13/2017	177	NOTICE is hereby given that an official transcript of a proceeding has been filed by the court reporter in the above-captioned matter. Counsel are referred to the Court's Transcript Redaction Policy, available on the court website at http://www.mad.uscourts.gov/attorneys/general-info.htm (Scalfani, Deborah) (Entered: 03/13/2017)
03/13/2017	178	MOTION Pursuant to Fed. R. Civ. P. 60(b)(1) For Relief From Order Awarding Fees, Expenses, and Service Awards by Labaton Sucharow LLP.(Lukey, Joan) (Entered: 03/13/2017)
03/13/2017	179	MEMORANDUM in Support re 178 MOTION Pursuant to Fed. R. Civ. P. 60(b)(1) For Relief From Order Awarding Fees, Expenses, and Service Awards filed by Labaton Sucharow LLP. (Lukey, Joan) (Entered: 03/13/2017)
03/13/2017	180	RESPONSE TO COURT ORDER by Labaton Sucharow LLP Submission With Respect to Proposed Supplemental Notice to the Settlement Class Regarding Attorneys' Fees, Litigation Expenses, and Service Awards. (Lukey, Joan) # 1 Exhibit A - Supplemental Notice of Further Proceedings, # 2 Exhibit B - Declaration of Erik Miller, # 3 Text of Proposed Order (Main Document 180 replaced on 3/15/2017) Modified on 3/15/2017 to separate exhibits from main document and refile correctly. (Franklin, Yvonne). (Main Document 180 replaced on 3/17/2017) (adminn,). (Attachment 1 replaced on 3/17/2017) (adminn,). (Attachment 1 replaced on 3/20/2017) (Bono, Christine). (Attachment 2 replaced on 3/20/2017) Modified on 3/20/2017 by request of counsel to correct document order, title and docket text(Franklin, Yvonne). Modified on 3/20/2017 (Franklin, Yvonne). (Entered: 03/13/2017)
03/13/2017	181	MOTION for Joinder Zuckerman Spaeder LLP's Joinder in Labaton Sucharow's Rule 60(b)(1) Motion Filed at the Court's Request to Confirm Its Continuing Jurisdiction Over the Fee Order by Arnold Henriquez.(Kravitz, Carl) (Entered: 03/13/2017)
03/13/2017	182	MOTION for Joinder in Labaton Sucharow's Rule 60(b)(1) Motion by Andover Companies Employee Savings and Profit Sharing Plan, James Pehoushek-Stangeland.(Sarko, Lynn) (Entered: 03/13/2017)
03/13/2017	183	MOTION for Joinder RPWB's Joinder in Labaton Sucharow's Rule 60(b)(1) Motion by Arnold Henriquez.(Palmer, Kimberly) (Entered: 03/13/2017)
03/13/2017	184	MOTION for Joinder IN LABATON SUCHAROWS RULE 60(b)(1) MOTION FILED AT THE COURTS REQUEST TO CONFIRM ITS CONTINUING JURISDICTION OVER THE FEE ORDER by Michael T. Cohn, Arnold Henriquez, Richard A. Sutherland, William R. Taylor. (McTigue, J.) (Entered: 03/13/2017)

03/20/2017	185	Notice of correction to docket made by Court staff. Correction: D.E. #180 corrected because: By request of counsel Ex. B pages were increased reducing entries from 5 to 4. No substantive information was changed in any way. (Franklin, Yvonne) (Entered: 03/20/2017)
03/20/2017	186	MOTION for Leave to File <i>Response to Labaton Sucharow LLP's Filings</i> by Competitive Enterprise Institute (CEI). (Attachments: # 1 Proposed Response to Labaton Sucharow LLP's Proposed Supplemental Notice 180 and its Motion Pursuant to Fed. R. Civ. P. 60(b)(1) 178 and Proposed Form of Notice)(Bednarz, M.) (Entered: 03/20/2017)
03/24/2017	187	Judge Mark L. Wolf: ORDER entered. MEMORANDUM AND ORDER (Bono, Christine) (Entered: 03/24/2017)
03/27/2017	188	RESPONSE TO COURT ORDER by Labaton Sucharow LLP re 187 Memorandum & ORDER . (Attachments: # 1 Exhibit Ex. A - Revised Proposed Supplemental Notice)(Lukey, Joan) (Entered: 03/27/2017)
03/27/2017	189	RESPONSE TO COURT ORDER by Competitive Enterprise Institute (CEI) re 187 Memorandum & ORDER . (Bednarz, M.) (Entered: 03/27/2017)
03/29/2017	190	Opposition re 186 MOTION for Leave to File <i>Response to Labaton Sucharow LLP's Filings</i> filed by Labaton Sucharow LLP. (Lukey, Joan) (Entered: 03/29/2017)
03/29/2017	191	ORDER of Special Master Entered. Limited Protective Order of the Special Master Relating to Attorney/Client Privileged and Work Product Documents and Information Being Provided to the Special Master. (Bono, Christine) (Entered: 03/30/2017)
03/31/2017	192	Judge Mark L. Wolf: MEMORANDUM & ORDER entered taking under advisement 178 Motion ; granting 186 Motion for Leave to File Document ; Counsel using the Electronic Case Filing System should now file the document for which leave to file has been granted in accordance with the CM/ECF Administrative Procedures. Counsel must include - Leave to file granted on (date of order)- in the caption of the document. (Attachments: # 1 Ex. A - Notice) (Bono, Christine) (Entered: 03/31/2017)
03/31/2017	193	Order of Special Master on a Motion. Motions terminated:. (Sinnott, William) (Entered: 03/31/2017)
04/01/2017	194	Objection by Labaton Sucharow LLP , <i>Lieff Cabraser Heimann & Bernstein, LLP, and Thornton Law Firm LLP to Proposed Appointment of John W. Toothman as Expert in Proceedings Before the Special Master Pursuant to the March 31, 2017 Order of the Court.</i> (Attachments: # 1 Exhibit A, # 2 Exhibit B, # 3 Exhibit C, # 4 Exhibit D, # 5 Exhibit E, # 6 Exhibit F, # 7 Exhibit G)(Lukey, Joan) (Entered: 04/01/2017)
04/03/2017	195	Emergency MOTION to Stay re 192 Order on Motion for Miscellaneous Relief,, Order on Motion for Leave to File, , Emergency MOTION for

		Reconsideration re 192 Order on Motion for Miscellaneous Relief,, Order on Motion for Leave to File, (Responses due by 4/17/2017) by Labaton Sucharow LLP.(Lukey, Joan) (Entered: 04/03/2017)
04/03/2017	196	MEMORANDUM in Support re 195 Emergency MOTION to Stay re 192 Order on Motion for Miscellaneous Relief,, Order on Motion for Leave to File, Emergency MOTION for Reconsideration re 192 Order on Motion for Miscellaneous Relief,, Order on Motion for Leave to File, filed by Labaton Sucharow LLP. (Lukey, Joan) (Entered: 04/03/2017)
04/05/2017	197	Judge Mark L. Wolf: "It is hereby ORDERED that the March 31, 2017 Order (Docket No. 192) is STAYED until the court rules on Labaton Sucharow's Emergency Motion for Stay of March 31, 2017 Memorandum and Order and for Limited Reconsideration Regarding Supplemental Notice to the Class (Docket No. 195)." ELECTRONIC ORDER entered. (Bono, Christine) (Entered: 04/05/2017)
04/06/2017	198	NOTICE of Withdrawal of Appearance by Andrew R. Golden (Golden, Andrew) (Entered: 04/06/2017)
04/06/2017	199	Objection to 193 Order of Special Master on a Motion by Labaton Sucharow LLP . (Lukey, Joan) (Entered: 04/06/2017)
04/11/2017	200	Judge Mark L. Wolf: MEMORANDUM AND ORDER entered granting in part and denying in part 195 Emergency Motion for Stay and Motion for Reconsideration. (Attachments: # 1 Exhibit A, # 2 Exhibit B) (Bono, Christine) (Entered: 04/11/2017)
04/25/2017	202	DECLARATION re 192 Order on Motion for Miscellaneous Relief,, Order on Motion for Leave to File, 200 Order on Motion to Stay, Order on Motion for Reconsideration <i>Declaration of Eric J. Miller on Behalf of A.B. Data, LTD. Regarding Mailing and Emailing of Supplemental Notice to Settlement Class Members and/or Their Counsel</i> by Arkansas Teacher Retirement System. (Goldsmith, David) (Entered: 04/25/2017)
04/26/2017	203	Judge Mark L. Wolf: ENDORSED ORDER entered. In view of the foregoing, it is hereby ORDERED that Labaton Sucharow LLP shall, by May 4, 2017, file one or more affidavits addressing: how, when, and by whom the email addresses were obtained; and why the representation that "the Firm [did] not have email addresses for class members" was not false or misleading. (Franklin, Yvonne) (Entered: 04/26/2017)
05/02/2017	204	Judge Mark L. Wolf: MEMORANDUM AND ORDER entered re: Docket Entry 199 . (Bono, Christine) (Entered: 05/02/2017)
05/04/2017	205	RESPONSE TO COURT ORDER by Labaton Sucharow LLP re 203 Order, . (Attachments: # 1 Exhibit A - Declaration of Nicole Zeiss, # 2 Exhibit B - Declaration of Eric Miller)(Lukey, Joan) (Entered: 05/04/2017)
05/25/2017	206	Judge Mark L. Wolf: ORDER entered. MEMORANDUM AND ORDER. (Bono, Christine) (Entered: 05/25/2017)
10/02/2017	207	Judge Mark L. Wolf: "The court has received the attached September 29,

		2017 letter from the Master, Retired United States District Judge Gerald Rosen. The Master requests an extension to December 15, 2017 to submit his Report and Recommendation pursuant to the March 8, 2017 Order. The court is satisfied that the Master has been working diligently and that the request is justified. It is, therefore, hereby ALLOWED." ORDER entered. (Attachments: # 1 Letter of Special Master)(Bono, Christine) (Entered: 10/02/2017)
10/24/2017	208	Judge Mark L. Wolf: ORDER entered. (Attachments: # 1 Exhibit Letter of Special Master)(Bono, Christine) (Entered: 10/24/2017)
11/02/2017	209	Assented to MOTION for Disbursement of Funds <i>PLAINTIFFS ASSENTED-TO MOTION FOR AUTHORIZATION TO DISTRIBUTE TO ELIGIBLE REGISTERED INVESTMENT COMPANY CLASS MEMBERS</i> by Arkansas Teacher Retirement System. (Attachments: # 1 Text of Proposed Order [PROPOSED] ORDER AUTHORIZING DISTRIBUTION TO RIC CLASS MEMBERS)(Goldsmith, David) (Entered: 11/02/2017)
11/02/2017	210	MEMORANDUM in Support re 209 Assented to MOTION for Disbursement of Funds <i>PLAINTIFFS ASSENTED-TO MOTION FOR AUTHORIZATION TO DISTRIBUTE TO ELIGIBLE REGISTERED INVESTMENT COMPANY CLASS MEMBERS</i> filed by Arkansas Teacher Retirement System. (Goldsmith, David) (Entered: 11/02/2017)
11/02/2017	211	DECLARATION re 209 Assented to MOTION for Disbursement of Funds <i>PLAINTIFFS ASSENTED-TO MOTION FOR AUTHORIZATION TO DISTRIBUTE TO ELIGIBLE REGISTERED INVESTMENT COMPANY CLASS MEMBERS DECLARATION OF ERIC J. MILLER ON BEHALF OF A.B. DATA, LTD. IN SUPPORT OF MOTION FOR AUTHORIZATION TO DISTRIBUTE TO ELIGIBLE REGISTERED INVESTMENT COMPANY CLASS MEMBERS</i> by Arkansas Teacher Retirement System. (Attachments: # 1 Exhibit 1, # 2 Exhibit 2, # 3 Exhibit 3, # 4 Exhibit 4, # 5 Exhibit 5, # 6 Exhibit 6)(Goldsmith, David) (Entered: 11/02/2017)
11/03/2017	212	NOTICE OF MANUAL FILING: Two courtesy copies of D.E. #209, #210, #211. (Franklin, Yvonne) (Entered: 11/03/2017)
12/13/2017	213	Judge Mark L. Wolf: ENDORSED ORDER entered (ALLOWED) granting 209 Motion for Disbursement of Funds (Attachments: # 1 Allowed Order) (Franklin, Yvonne) (Entered: 12/13/2017)
12/14/2017	214	Judge Mark L. Wolf: "The court has received the attached December 12, 2017 letter from the Master, Retired United States District Judge Gerald Rosen. The Master requests an extension to March 15, 2018 to submit his Report and Recommendation pursuant to the March 8, 2017 Order. The court continues to be satisfied that the Master has been working diligently and finds that the request is justified. It is, therefore, hereby ALLOWED." ORDER entered. (Attachments: # 1 Letter of Special Master)(Bono, Christine) (Entered: 12/14/2017)
02/06/2018	215	NOTICE of Withdrawal of Appearance by Ellen R. Tanowitz (Tanowitz,

		Ellen) (Entered: 02/06/2018)
03/01/2018	216	Judge Mark L. Wolf: ORDER entered. (Attachments: # 1 Special Master Letter)(Bono, Christine) (Entered: 03/01/2018)
04/23/2018	217	Judge Mark L. Wolf: "...[I]t is hereby ORDERED that: 1. The Master shall file his Report and Recommendation by May 14, 2018, and may request reasonable additional time to file the complete record of the related evidence. See Mar. 8, 2017 Order (Docket No. 173), 4, 11; Mar. 1, 2018 Order (Docket No. 216). 2. The Master's request for an additional \$800,000 is ALLOWED. Labaton Sucharow LLP shall, pursuant to paragraph 13 and 14 of the March 8, 2017 Order, pay \$800,000 to the Clerk of the United States District Court for the District of Massachusetts by May 11, 2018." ORDER entered. (Attachments: # 1 Special Master Letter)(Bono, Christine) (Entered: 04/23/2018)
04/25/2018	218	NOTICE of Appearance by Stuart M. Glass on behalf of Labaton Sucharow LLP (Glass, Stuart) (Entered: 04/25/2018)
05/14/2018	219	MOTION to Seal by Gerald E. Rosen.(Sinnott, William) (Entered: 05/14/2018)
05/14/2018		Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 219 Motion to Seal. (Bono, Christine) (Entered: 05/14/2018)
05/15/2018	220	Judge Mark L. Wolf: "Pursuant to the October 24, 2017 Order (Docket No. 208), the Special Master's Report and Recommendation and Executive Summary are hereby SEALED temporarily to permit the parties to propose redactions and the court to decide what redactions, if any, are justified." ENDORSED ORDER entered. re 219 MOTION to Seal filed by Gerald E. Rosen (Bono, Christine) (Entered: 05/15/2018)
05/15/2018	221	BRIEF by Labaton Sucharow LLP, Lief Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP <i>Customer Class Counsels' Reservation of Rights Regarding Payment to the Court on Friday, May 11, 2018.</i> (Wolosz, Justin) (Entered: 05/15/2018)
05/15/2018	222	MOTION for Clarification <i>or Modification of the Court's March 8, 2017 and March 1, 2018 Orders</i> by Labaton Sucharow LLP, Lief Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP.(Wolosz, Justin) (Entered: 05/15/2018)
05/16/2018	223	Judge Mark L. Wolf: "...[I]t is hereby ORDERED that: 1. The Lawyers shall obtain forthwith from Elizabeth McEvoy, Esq., counsel for the Master, electronic versions of Executive Summary, the Report and Recommendation, and the exhibits referenced in them. 2. The Lawyers shall, by May 31, 2018, file, under seal, any motion for redactions, with documents reflecting the proposed redactions, and supporting affidavits and memoranda in the manner described in this Memorandum. Copies of these submissions shall be served on the Master. Redacted versions of these submissions shall be filed for the public record. 3. After the court decides which, if any, redactions are appropriate, it will provide the Lawyers an opportunity to propose redactions to the rest of the record

		that are consistent with the court's rulings. 4. Any objections to the Report and Recommendation, or any requests to adopt or modify it, shall be filed no later than seven days after the court rules on the proposed redactions." ORDER entered. MEMORANDUM AND ORDER(Bono, Christine) (Entered: 05/16/2018)
05/17/2018	225	Judge Mark L. Wolf:"...[I]t is hereby ORDERED that State Street shall obtain these documents from counsel for the Master, Elizabeth McAvoy, Esq., and respond to the attached May 16, 2018 Memorandum and Order." ORDER entered. (Attachments: # 1 5/16/2018 Memorandum & Order)Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 05/17/2018)
05/17/2018	226	Judge Mark L. Wolf: "It is, therefore, hereby ORDERED that: 1. Customer Class Counsel shall confer concerning the Motion with all other counsel, including counsel for the Master, and report, by May 24, 2018, jointly if possible but separately if necessary, their respective views on the Motion. 2. All counsel shall also discuss and include in their report(s) their respective views concerning which motions, if any, should be subject to the pre-filing conference requirement of Local Rule 7.1(a)(2)." ORDER entered. MEMORANDUM AND ORDER. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 05/17/2018)
05/17/2018	227	Objection to 225 Order, by Labaton Sucharow LLP, Lief Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP <i>on an Emergency Basis</i> . (Lukey, Joan) (Entered: 05/17/2018)
05/17/2018	228	Judge Mark L. Wolf: "In view of this Motion, the May 17, 2018 Order concerning State Street (Docket No. 225) is hereby VACATED, at least temporarily. As the court may not be fully informed of relevant representations made by the Master to State Street, among others, it is hereby ORDERED that counsel for the parties and for the Master shall confer and, by May 24, 2018, report their respective views on the process proposed in this Motion and how to address any concerns concerning confidentiality of information State Street submitted that it or its counsel may have." ENDORSED ORDER entered. re (227 in 1:11-cv-10230-MLW) Objection filed by Lief Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP, Labaton Sucharow LLP Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 05/17/2018)
05/24/2018	229	MOTION to Set Revised Schedule for Requested Redactions and the Unsealing of the Special Master's Report and Recommendation by Labaton Sucharow LLP, Lief Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP, Keller Rorhback L.L.P., Zucker Spaeder LLP. (Attachments: # 1 Text of Proposed Order to Set Revised Schedule for Requested Redactions)(Lukey, Joan) (Entered: 05/24/2018)
05/24/2018	230	RESPONSE TO COURT ORDER by Labaton Sucharow LLP, Lief Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP re 226 Memorandum & ORDER,, . (Lukey, Joan) (Entered: 05/24/2018)

05/24/2018	231	REPORT of the Special Master by Gerald E. Rosen re 226 Memorandum & ORDER,... (Sinnott, William) (Entered: 05/24/2018)
05/25/2018	232	MOTION for Leave to File <i>Memorandum in Support of Motion for Clarification or Modification Regarding the Filing of All Documents Produced in Discovery with the Court</i> by Labaton Sucharow LLP, Lieff Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP. (Attachments: # 1 Exhibit A - Memorandum in Support of Motion for Clarification or Modification of the Court's March 8, 2017 and March 1, 2018 Orders to Eliminate the Requirement for the Master to File All Documents Produced in Discovery with the Court)(Lukey, Joan) (Entered: 05/25/2018)
05/25/2018	233	Judge Mark L. Wolf: "...[I]t is hereby ORDERED that: 1. As agreed by the parties, counsel for the Special Master shall provide the Report and Recommendations, Executive Summary, and exhibits to counsel for State Street Bank and Trust Company ("State Street") forthwith subject to the following conditions: Counsel for State Street at WilmerHale, LLP shall maintain these materials on an "attorneys' eyes only" basis, and shall not share the documents or the contents thereof with their client. The provision of these documents shall not constitute a waiver of the attorney-client privilege, work product, or any other privilege or protection. 2. The deadline for responding to the May 16, 2018 Memorandum and Order concerning proposed redactions (Docket No. 223) is extended to June 5, 2018, without prejudice to a possible further extension to June 11, 2018, as requested in the Motion. 3. A hearing on the Motion shall be held on May 30, 2018, at 2:00 p.m. Counsel for the Special Master shall attend. In addition, George Hopkins, Executive Director of Arkansas Teacher Retirement System ("ATRS"), and anyone else required to act for ATRS in this case shall attend. The Master's Report and Recommendations (Docket No. 224 under seal), including pages 89 to 124 and 368 to 371, and Executive Summary (Docket No. 224-1 under seal), including pages 25 to 29 and 50 to 51, raise questions concerning; whether ATRS properly discharged its duties as Lead Plaintiff, see, e.g., <i>Garbowski v. Tokai Pharma., Inc.</i> , 2018 WL 1370522 (D. Mass. 2018)(Wolf, D.J.); whether ATRS should be replaced as Lead Plaintiff; whether there is now a conflict between the interests of Customer Class Counsel^ and the class; and whether new class counsel should be appointed to provide independent advice to the class whether or not ATRS continues as Lead Plaintiff. Mr. Hopkins and any other representatives of ATRS shall be prepared to discuss these issues at the May 30, 2018 hearing. 4. The responses to the May 17, 2018 Order concerning Customer Class Counsel's Motion for Clarification (Docket No. 226} regarding the record to be filed by the Master shall also be addressed at the May 30, 2018 hearing." ORDER entered. MEMORANDUM AND ORDER. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 05/25/2018)
05/25/2018		ELECTRONIC NOTICE Setting Hearing on Motion (229 in 1:11-cv-10230-MLW) MOTION to Set Revised Schedule for Requested

		Redactions and the Unsealing of the Special Master's Report and Recommendation : Motion Hearing set for 5/30/2018 02:00 PM in Courtroom 10 before Judge Mark L. Wolf. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 05/25/2018)
05/27/2018	234	Assented to MOTION For Leave to Appear Telephonically at Hearing by Andover Companies Employee Savings and Profit Sharing Plan, James Pehoushek-Stangeland. (Attachments: # 1 Text of Proposed Order)(Gerber, Laura) (Entered: 05/27/2018)
05/29/2018	235	Judge Mark L. Wolf: ENDORSED ORDER entered granting 234 Motion. Dial-in instructions will be provided to Keller Rohrback, L.L.P. by the deputy clerk via e-mail. (Bono, Christine) (Entered: 05/29/2018)
05/30/2018	236	Electronic Clerk's Notes for proceedings held before Judge Mark L. Wolf: Motion Hearing held on 5/30/2018 re 229 MOTION to Set Revised Schedule for Requested Redactions and the Unsealing of the Special Master's Report and Recommendation filed by Lief Cabraser Heimann & Bernstein, LLP, Zucker Spaeder LLP, Keller Rorhback L.L.P., Thornton Law Firm LLP, Labaton Sucharow LLP. George Hopkins is sworn and gives testimony. It is Ordered that the parties shall order the transcript on an expedited basis. (Court Reporter: James Gibbons at jmsgibbons@yahoo.com.)(Attorneys present: Sinnott, McEvoy, Lukey, Wolosz, Heimann, Kelly, Halston, Paine, McTigue, Kravitz, Gerber) (Bono, Christine) (Entered: 05/31/2018)
05/31/2018	237	Judge Mark L. Wolf: ORDER entered. (Bono, Christine) (Entered: 05/31/2018)
05/31/2018	238	NOTICE of Appearance by Joshua C.H. Sharp on behalf of Thornton Law Firm LLP (Sharp, Joshua) (Entered: 05/31/2018)
05/31/2018	239	Joint MOTION to Receive Sealed Transcript by Keller Rorhback L.L.P., Labaton Sucharow LLP, Lief Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP, Zucker Spaeder LLP, McTigue Law, LLP, WilmerHale, LLP, Barret & Singal, P.C.. (Attachments: # 1 Text of Proposed Order to Receive Sealed Transcript)(Wolosz, Justin) (Entered: 05/31/2018)
06/01/2018	240	Judge Mark L. Wolf: ELECTRONIC ORDER entered ALLOWING 239 Motion to Receive Sealed Transcript. (Franklin, Yvonne) (Entered: 06/01/2018)
06/01/2018	241	NOTICE of Appearance by William F. Sinnott on behalf of Gerald E. Rosen (Sinnott, William) (Entered: 06/01/2018)
06/01/2018	242	NOTICE of Appearance by Elizabeth J. McEvoy on behalf of Gerald E. Rosen (McEvoy, Elizabeth) (Entered: 06/01/2018)
06/04/2018	243	Transcript of Motion Hearing held on May 30, 2018, before Judge Mark L. Wolf. The Transcript may be purchased through the Court Reporter, viewed at the public terminal, or viewed through PACER after it is

		released. Court Reporter Name and Contact Information: James Gibbons at jmsgibbons@yahoo.com Redaction Request due 6/25/2018. Redacted Transcript Deadline set for 7/5/2018. Release of Transcript Restriction set for 9/4/2018. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Scalfani, Deborah) (Entered: 06/04/2018)
06/04/2018	244	SEALED Transcript of Sidebar Conference held on May 30, 2018, before Judge Mark L. Wolf. Court Reporter Name and Contact Information: James Gibbons at jmsgibbons@yahoo.com Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Scalfani, Deborah) (Entered: 06/04/2018)
06/04/2018	245	SEALED Transcript of Lobby Conference held on May 30, 2018, before Judge Mark L. Wolf. Court Reporter Name and Contact Information: James Gibbons at jmsgibbons@yahoo.com Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Scalfani, Deborah) (Entered: 06/04/2018)
06/04/2018	246	NOTICE is hereby given that an official transcript of a proceeding has been filed by the court reporter in the above-captioned matter. Counsel are referred to the Court's Transcript Redaction Policy, available on the court website at http://www.mad.uscourts.gov/attorneys/general-info.htm Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Scalfani, Deborah) (Entered: 06/04/2018)
06/05/2018	247	MOTION Sealing of Work Product Information And Information Governed By The Court's November 2012 Protective Order by Thornton Law Firm LLP.(Sharp, Joshua) (Entered: 06/05/2018)
06/05/2018	248	MOTION re 237 Order <i>Henriquez ERISA Plaintiffs' Requested Redaction Categories for Special Master's Report and Recommendation</i> by Michael T. Cohn, Arnold Henriquez, Richard A. Sutherland, William R. Taylor. (Attachments: # 1 Exhibit A, # 2 Exhibit B, # 3 Exhibit C, # 4 Exhibit D, # 5 Exhibit E)(McTigue, J.) (Entered: 06/05/2018)
06/05/2018	249	MOTION Proposed Redaction Categories re 237 Order by Keller Rorhback L.L.P., Zucker Spaeder LLP.(Gerber, Laura) (Entered: 06/05/2018)
06/05/2018	250	MOTION Proposed Redaction Categories re 237 Order by Lieff Cabraser Heimann & Bernstein, LLP.(Heimann, Richard) (Entered: 06/05/2018)
06/05/2018	251	MOTION To seal document. by State Street Bank & Trust Company. (Attachments: # 1 Exhibit Redacted Motion)(Franklin, Yvonne) (Entered: 06/06/2018)
06/05/2018	252	SEALED UNREDACTED DOCUMENT RE. 251 by State Street. (Franklin, Yvonne) (Entered: 06/06/2018)
06/05/2018	254	SEALED MOTION To Redact and Retain under Seal by Labaton Sucharow LLP. (Attachments: # 1 Memorandum in Support of Motion, #

		2 Declaration of Jonathan Gardner in Support)(Franklin, Yvonne) (Entered: 06/06/2018)
06/05/2018	255	SEALED MOTION To Redact and/or Strike Statements in Special Master's Report by Labaton Sucharow LLP. (Attachments: # 1 Memorandum of Law to Redact and/or Strike)(Franklin, Yvonne) (Attachment 1 replaced with correct document 6/8/2018) (Franklin, Yvonne). (Entered: 06/06/2018)
06/06/2018	256	Judge Mark L. Wolf: "...[I]t is hereby ORDERED that Labaton shall file, by 9:00 a.m. on June 7, 2018, versions of its submissions with redactions consistent with the standards discussed in the May 16, 2018 Order in this case, see Docket No. 223, and any other jurisprudence which Labaton cites with its June 7, 2018 submissions. Any failure to submit a properly redacted version of the June 5, 2018 submissions may, among other things, result in the denial of Labaton's motion to impound." ORDER entered. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 06/06/2018)
06/06/2018	257	SEALED REDACTED DOCUMENT by Labaton. (Attachments: # 1 Declaration of Jonathan Gardner)(Franklin, Yvonne) (Entered: 06/06/2018)
06/06/2018	258	AFFIDAVIT of George Hopkins by Arkansas Teacher Retirement System. (Kearney, Kristen) (Entered: 06/06/2018)
06/06/2018	259	RESPONSE TO COURT ORDER by Barret & Singal, P.C., Keller Rorhback L.L.P., Labaton Sucharow LLP, Lieff Cabraser Heimann & Bernstein, LLP, McTigue Law, LLP, Thornton Law Firm LLP, WilmerHale, LLP, Zucker Spaeder LLP re 237 Order Regarding <i>Additional Documents from the Record</i> . (Wolosz, Justin) (Entered: 06/06/2018)
06/07/2018	260	MOTION to Seal <i>Special Master's Responses (Under Seal) to Various Motions of Plaintiffs' Counsel on Redaction and Related Issues</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 06/07/2018)
06/07/2018	261	SEALED DOCUMENT RE: ECF 256 by Labaton. (Attachments: Redacted Motion to Impound 6/5/18 filings # 1 Exhibit, Unredacted Response to Court Order of 6/6/18, # 2 Exhibit, Redacted Response to Court Order of 6/6/18, # 3 Exhibit, Redacted Motion to Redact or Strike Statements in Special Master Report, # 4 Exhibit, Redacted Memo in Support of Motion to Redact or Strike Statements)(Franklin, Yvonne) (Entered: 06/07/2018)
06/08/2018	263	MOTION for Extension of Time to June 12, 2018 <i>for Filing Response to Law Firms' Proposal for Categories for Redaction</i> by Gerald E. Rosen. (Sinnott, William) (Entered: 06/08/2018)
06/08/2018	264	Judge Mark L. Wolf: "This motion should have been filed before June 8, 2018, the day on which the submission had been ordered to be filed. It was the court's intention that the other parties have the Master's submission before filing the documents with their proposed redactions

		on June 11, 2018. Although the court does not intend to otherwise alter the existing schedule, as a practical matter it must allow this Motion and hereby does. In the future, a party shall file any request for an extension far enough in advance of a due date to respond properly if the Motion is DENIED." ENDORSED ORDER entered granting 263 Motion for Extension of Time (Bono, Christine) (Entered: 06/08/2018)
06/08/2018	265	Judge Mark L. Wolf: "...[I]t is hereby ORDERED that: 1. Labaton Sucharow LLP's ("Labaton's") Motion to Impound its June 5 Filings (Docket No. 261 under seal), which requests that the court seal Labaton's submissions made on June 5 and 7, 2018, is ALLOWED. Docket Nos. 257, 257-1, 261, 261-2, 261-3, and 261-4, which are sealed redacted versions of Labaton's June 5 and 7, 2018 submissions (Docket Nos. 254-1, 254-2, 253, 255, 255-1, 261-1 under seal), shall be made part of the public record. Labaton's Motion to Redact and Retain under Seal (Docket No. 254) shall also be made public. 2. State Street's Motion to Seal (Docket No. 251) their June 5, 2018 submission is ALLOWED. Docket No. 251, the Motion to Seal, and Docket No. 251-1, which is a sealed redacted version of State Street's June 5, 2018 submission (Docket No. 252 under seal), shall be made part of the public record." ORDER entered. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 06/08/2018)
06/08/2018		Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 251 Motion. See 265 for reference. (Bono, Christine) (Entered: 06/08/2018)
06/08/2018	266	MOTION for Extension of Time <i>of Deadlines Set Forth in the Court's May 31, 2018 Order</i> by State Street Bank & Trust Company, State Street Global Markets, LLC.(Paine, William) (Entered: 06/08/2018)
06/08/2018	267	MOTION to Impound its Motion to Strike Supplemental Report of Stephen Gillers and Related Portions of Master's Report and Recommendations, or, in the Alternative, to Allow Additional Expert Discovery by Labaton Sucharow LLP.(Bono, Christine) (Entered: 06/08/2018)
06/08/2018	268	SEALED MOTION to Strike Supplemental Report of Stephen Gillers and Related Portions of Master's Report and Recommendations, or, in the Alternative, to Allow Additional Expert Discovery by Labaton Sucharow LLP.(Bono, Christine) (Entered: 06/08/2018)
06/08/2018	269	MEMORANDUM in Support re 268 SEALED MOTION to Strike Supplemental Report of Stephen Gillers and Related Portions of Master's Report and Recommendations, or, in the Alternative, to Allow Additional Expert Discovery filed by Labaton Sucharow LLP. (Bono, Christine) (Entered: 06/08/2018)
06/08/2018	270	DECLARATION of Stuart M. Glass in Support re 268 SEALED MOTION to Strike Supplemental Report of Stephen Gillers and Related Portions of Master's Report and Recommendations, or, in the Alternative, to Allow Additional Expert Discovery by Labaton Sucharow LLP. (Bono, Christine) (Main Document 270 replaced on 6/8/2018)

		(Bono, Christine). (Additional attachment(s) added on 6/8/2018: # 1 Exhibit 1) (Bono, Christine). (Entered: 06/08/2018)
06/08/2018	271	SEALED DOCUMENT. REDACTED version of 268 , Motion of Labaton Sucharow LLP to Strike Supplemental Report of Stephen Gillers and Related Portions of Master's Report and Recommendations, or, in the Alternative, to Allow Additional Expert Discovery (Bono, Christine) (Entered: 06/08/2018)
06/08/2018	272	SEALED DOCUMENT. REDACTED version of 269 , Memo in Support of Labaton Sucharow LLP's Motion to Strike Supplemental Report of Stephen Gillers and Related Portions of Master's Report and Recommendations, or, in the Alternative, to Allow Additional Expert Discovery. (Bono, Christine) (Entered: 06/08/2018)
06/08/2018	273	SEALED DOCUMENT. REDACTED version of 270 Declaration of Stuart M. Glass in Support of Labaton Sucharow's Motion to Strike Supplemental Report of Stephen Gillers and Related Portions of Master's Report and Recommendations, or, in the Alternative, to Allow Additional Expert Discovery. (Attachments: # 1 Exhibit 1)(Bono, Christine) (Entered: 06/08/2018)
06/08/2018	274	MOTION to Impound Labaton Sucharow LLP's Motion Concerning Issue Raised at May 30 Hearing, by Labaton Sucharow LLP.(Bono, Christine) (Entered: 06/08/2018)
06/08/2018	275	SEALED MOTION, Labaton Sucharow LLP's Motion Concerning Issue Raised at May 30 Hearing, by Labaton Sucharow LLP.(Bono, Christine) (Entered: 06/08/2018)
06/08/2018	276	MEMORANDUM in Support re 275 SEALED MOTION, Labaton Sucharow LLP's Motion Concerning Issue Raised at May 30 Hearing, filed by Labaton Sucharow LLP. (Bono, Christine) (Entered: 06/08/2018)
06/09/2018	279	Judge Mark L. Wolf: ORDER entered denying (266) Motion for Extension of Time in case 1:11-cv-10230-MLW "It is hereby ORDERED that, in view of the Special Master's June 7, 2018 "pre-filing position" on the parties' proposed categories of redactions (Docket No. 262-1 under seal) and the importance of the June 22, 2018 hearing date, see Docket No. 237, 9, the Motion to Extend Deadlines (Docket No. 266) is DENIED." Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 06/09/2018)
06/11/2018	280	Judge Mark L. Wolf: ORDER entered. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 06/11/2018)
06/11/2018	282	MOTION Redaction of Special Master Report and Exhibits re 237 Order by Michael T. Cohn, Arnold Henriquez, McTigue Law, LLP, Richard A. Sutherland, William R. Taylor. (Attachments: # 1 Exhibit A (Under Seal))(Moore, James) (Entered: 06/11/2018)

06/11/2018	284	MOTION to Seal <i>Special Master's Motion Seeking Court Guidance</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 06/11/2018)
06/11/2018	286	Emergency MOTION to Supplement Labaton Sucharow's Proposed Redactions to the Master's Submission when the Clerk's Office Re-Opens on June 12, 2018 by Labaton Sucharow LLP. (Attachments: # 1 Text of Proposed Order Allowing Labaton Sucharow to Supplement its Proposed Redactions to the Master's Submission)(Wolosz, Justin) (Entered: 06/11/2018)
06/11/2018	291	SEALED MOTION: by State Street Bank & Trust Company. (Attachments: # 1 Exhibit Redacted Memorandum of Law, # 2 Exhibit Unredacted Memorandum of Law, # 3 Affidavit Daniel W. Halston)(# 4 Cover Letter) (Additional attachment(s) added on 6/14/2018: # 5 Exhibit Final Report and Recommendations, # 6 Exhibit 2, # 7 Exhibit 4, # 8 Exhibit 10, # 9 Exhibit 11, # 10 Exhibit 16, # 11 Exhibit 17, # 12 Exhibit 20, # 13 Exhibit 21, # 14 Exhibit 25, # 15 Exhibit 26, # 16 Exhibit 28, # 17 Exhibit 35, # 18 Exhibit 37) # 19 Exhibit 41, # 20 Exhibit 42, # 21 Exhibit 43, # 22 Exhibit 54, # 23 Exhibit 55, # 24 Exhibit 57, # 25 Exhibit 58, # 26 Exhibit 59, # 27 Exhibit 60, # 28 Exhibit 62, # 29 Exhibit 63, # 30 Exhibit 67, # 31 Exhibit 83, # 32 Exhibit 84, # 33 Exhibit 85, # 34 Exhibit 86, # 35 Exhibit 99, # 36 Exhibit 103, # 37 Exhibit 106, # 38 Exhibit 115, # 39 Exhibit 117, # 40 Exhibit 118) # 41 Exhibit 125, # 42 Exhibit 127, # 43 Exhibit 139, # 44 Exhibit 151, # 45 Exhibit 152, # 46 Exhibit 153, # 47 Exhibit 154, # 48 Exhibit 161, # 49 Exhibit 167, # 50 Exhibit 174, # 51 Exhibit 176, # 52 Exhibit 203, # 53 Exhibit 207, # 54 Exhibit 210, # 55 Exhibit 212, # 56 Exhibit 214, # 57 Exhibit 215, # 58 Exhibit 220, # 59 Exhibit 221, # 60 Exhibit 222, # 61 Exhibit 223, # 62 Exhibit 224, # 63 Exhibit 232 part 1, # 64 Exhibit 232 part 2, # 65 Exhibit 233 part 1, # 66 Exhibit 233 part 2) # 67 Exhibit 245, # 68 Exhibit 246, # 69 Exhibit 247, # 70 Exhibit 249, # 71 Exhibit 250, # 72 Exhibit 260, # 73 Exhibit 264 part 1, # 74 Exhibit 264 part 2, # 75 Exhibit 265 part 1, # 76 Exhibit 265 part 2, # 77 Exhibit 266) # 78 6/13 Cover Letter) Modified on 6/14/2018 to complete filing. (Franklin, Yvonne). (Attachment 2 replaced on 6/18/2018) (Franklin, Yvonne). (Entered: 06/12/2018)
06/12/2018		Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 286 Motion. (Bono, Christine) (Entered: 06/12/2018)
06/12/2018	292	SEALED DOCUMENT RE: Labaton's Response to 6/11/18 Memorandum and Order # 280 . (Franklin, Yvonne) (Entered: 06/12/2018)
06/12/2018	293	MOTION to Seal <i>Special Master's Response to the Law Firms' Various Motions Concerning Proposed Categories for Redaction</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 06/12/2018)
06/12/2018	294	NOTICE of Withdrawal of Appearance by Joel H. Bernstein (Bernstein, Joel) (Entered: 06/12/2018)
06/13/2018	296	MOTION for Extension of Time to June 21, 2018 <i>for Filing Response to</i>

		<i>Law Firms' Proposed Redactions and Objections to Unsealing Proposals</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 06/13/2018)
06/14/2018	298	Opposition re 296 MOTION for Extension of Time to June 21, 2018 <i>for Filing Response to Law Firms' Proposed Redactions and Objections to Unsealing Proposals</i> filed by Labaton Sucharow LLP. (Lukey, Joan) (Entered: 06/14/2018)
06/15/2018	300	Judge Mark L. Wolf: "...[I]t is hereby ORDERED that:1. Labaton's Motion to Impound its Motion Concerning Issues Raised at the May 30 Hearing (Docket No. 274) is WITHDRAWN.2. The transcript of the sidebar Conference held on May 30,2018 (Docket No. 244), Labaton's Motion Concerning Issues Raised at May 30 Hearing (Docket No. 275) and the memorandum in support of it (Docket No. 276), the June 11, 2018 Memorandum and Order(Docket No. 280), and Labaton's Response (Docket No. 292) are UNSEALED." ORDER entered. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW (Bono, Christine) (Entered: 06/15/2018)
06/15/2018		Judge Mark L. Wolf: ELECTRONIC ORDER entered withdrawing 274 Motion to Seal. See Order 300 . (Bono, Christine) (Entered: 06/15/2018)
06/15/2018		ELECTRONIC NOTICE of Hearing. Closed to the Public. See Order 237 . Hearing set for 6/22/2018 02:00 PM in Courtroom 10 before Judge Mark L. Wolf. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 06/15/2018)
06/19/2018	301	MOTION to Impound Customer Class Counsels' Memorandum and Supporting Declaration in Support of their Motion for an Accounting, and for Clarification that the Master's Role has Concluded by Labaton Sucharow LLP, Lieff Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP.(Lukey, Joan) (Entered: 06/19/2018)
06/19/2018	302	MOTION for an Accounting, and for Clarification that the Master's Role has Concluded by Labaton Sucharow LLP, Lieff Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP.(Lukey, Joan) (Entered: 06/19/2018)
06/19/2018	303	MOTION to Seal <i>Special Master's Motion for Filing Late Special Master's Response to the Law Firms' Proposed Redactions to the Special Master's Report and Recommendations</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 06/19/2018)
06/19/2018	304	MOTION to Seal <i>Special Master's Response to the Law Firms' Proposed Redactions to the Special Master's Report and Recommendations</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 06/19/2018)
06/19/2018	310	SEALED DOCUMENT RE: # 302 (UNREDACTED) Memorandum of Customer Class Counsel in Support. (Franklin, Yvonne) (Entered: 06/20/2018)
06/20/2018	311	MOTION to Seal <i>Special Master's Response to Motion to Strike Supplemental Gillers Report Filed Under Seal by Labaton Sucharow on</i>

		June 8, 2018 by Gerald E. Rosen.(Sinnott, William) (Entered: 06/20/2018)
06/20/2018	312	SEALED MOTION by State Street Bank & Trust Company. (Attachments: # 1 Exhibit State Streets Redacted Reply, # 2 Exhibit State Streets Unredacted Reply, # 3 Cover Letter)(Franklin, Yvonne) (Entered: 06/20/2018)
06/20/2018	313	SEALED DOCUMENT RE: 237 Labaton Sucharow's Reply to Special Master's Response. # 1 cover letter) (Franklin, Yvonne). (Entered: 06/21/2018)
06/21/2018	315	Judge Mark L. Wolf: "For the reasons that will be explained in a forthcoming Memorandum and Order, Labaton Sucharow, LLP's motion seeking my recusal pursuant to 28 U.S.C. 455(a) (Docket No. 275) is hereby DENIED because a reasonable person could not question my impartiality in this case." ORDER entered denying (275) Sealed Motion in case 1:11-cv-10230-MLW Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 06/21/2018)
06/21/2018	316	Judge Mark L. Wolf: "It is hereby ORDERED that: 1. The Master's Motion for Filing Late his Response to the Law Firm's Proposed Redactions to his Report and Recommendations (Under Seal)(Docket No. 305 under seal) is ALLOWED. 2. The Master shall, by June 22, 2018, file redacted versions of: his Response to the Law Firms' Various Motions Concerning Proposed Categories for Redaction (Under Seal) (Docket Nos. 295); his Response to the Law Firms' Proposed Redactions to the Special Master's Report and Recommendations (Under Seal) (Docket No. 306); his response to the proposed redactions to the Report and Recommendations' exhibits, which was previously ordered to be filed by 12:00 noon on June 21, 2018, see June 14, 2018 Sealed Order at 2, and his Response to Motion to Strike Supplemental Gillers Report Filed Under Seal by Labaton Sucharow on June 8, 2018 (Under Seal), for the public record." ORDER entered. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 06/21/2018)
06/21/2018	317	MOTION to Seal <i>Special Master's Response to the Law Firms' Proposed Redactions to the Executive Summary to the Special Master's Report and Recommendations</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 06/21/2018)
06/21/2018	318	MOTION to Seal <i>Special Master's Response to the Law Firms' Proposed Redactions to the First Set of Exhibits to the Report and Recommendations</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 06/21/2018)
06/21/2018	319	MOTION for Leave to Appear Pro Hac Vice for admission of Michael R Smith Filing fee: \$ 100, receipt number 0101-7196275 by Arnold Henriquez. (Attachments: # 1 Certification of Michael R Smith)(Kravitz, Carl) (Entered: 06/21/2018)

06/21/2018	320	MOTION for Leave to File <i>Reply to Special Master's Response to Motion to Strike Supplemental Gillers Report</i> by Labaton Sucharow LLP.(Lukey, Joan) (Entered: 06/21/2018)
06/21/2018	321	MOTION to Impound Proposed Reply to Special Master's Response to Motion to Strike Supplemental Gillers Report [Exhibit A to Labaton's Motion for Leave to File Reply to Special Master's Response to Motion to Strike Supplemental Gillers Report] by Labaton Sucharow LLP. (Lukey, Joan) (Entered: 06/21/2018)
06/21/2018	322	SEALED DOCUMENT RE: 321 by Labaton Sucharows' (UNREDACTED) Proposed Reply to Special Master's Response to Motion to Strike. (Franklin, Yvonne) (Entered: 06/21/2018)
06/21/2018	323	SEALED DOCUMENT RE: 321 Labaton Sucharows' (REDACTED) Proposed Reply to Special Master's Response to Motion to Strike. (Franklin, Yvonne) (Entered: 06/21/2018)
06/21/2018	324	Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 319 Motion for Leave to Appear Pro Hac Vice Added Michael R. Smith. Attorneys admitted Pro Hac Vice must register for electronic filing if the attorney does not already have an ECF account in this district. To register go to the Court website at www.mad.uscourts.gov. Select Case Information, then Electronic Filing (CM/ECF) and go to the CM/ECF Registration Form. (Franklin, Yvonne) (Entered: 06/21/2018)
06/21/2018	327	MOTION to Seal <i>Special Master's Letter Submitted to Court</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 06/21/2018)
06/21/2018	328	MOTION for Leave to File <i>Letter with Court (Under Seal)</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 06/21/2018)
06/21/2018	329	SEALED DOCUMENT RE: 327 Special Master's Letter (Attachments: # 1 Exhibit A-Unredacted)(Franklin, Yvonne) (Entered: 06/22/2018)
06/22/2018	331	Judge Mark L. Wolf: ORDER entered granting (178) Motion in case 1:11-cv-10230-MLW. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 06/22/2018)
06/22/2018	332	MOTION to Seal <i>Special Master's Response to the Law Firms' Proposed Redactions to the Second Set of Exhibits to the Report and Recommendations</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 06/22/2018)
06/22/2018	333	MOTION to Impound Exhibit 1 to Motion for Order Directing Master to Respond to Inquiry Regarding Ex Parte Communications with Court by Labaton Sucharow LLP.(Lukey, Joan) (Entered: 06/22/2018)
06/22/2018	334	MOTION for Order to Direct Master to Respond to Inquiry Regarding Ex Parte Communications with the Court by Labaton Sucharow LLP. (Lukey, Joan) (Entered: 06/22/2018)

06/22/2018	335	Judge Mark L. Wolf: "This motion is hereby ALLOWED. The June 21, 2018 letter (Docket No. 329-1) shall be sealed, without prejudice to possible unsealing. The attached redacted version of the letter (Docket No. 330-1) shall be made part of the public record." ELECTRONIC ORDER entered granting (327) Motion to Seal; granting (328) Motion for Leave to File Document. in case 1:11-cv-10230-MLW Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 06/22/2018)
06/22/2018	339	SEALED DOCUMENT RE: 295 . (REDACTED) Special Master's Response to Law Firms' Various Motions Concerning Proposed Categories for Redaction. (Franklin, Yvonne) (Entered: 06/25/2018)
06/22/2018	340	SEALED DOCUMENT RE: 306 . (REDACTED) Special Master's Response to the Law Firms' Proposed Redactions to the Special Master's Report and Recommendations. (Franklin, Yvonne) (Entered: 06/25/2018)
06/22/2018	341	SEALED DOCUMENT RE: 314 . (REDACTED) Special Master's Response to Motion to Strike Supplemental Gillers Report Filed by Labaton Sucharow. (Franklin, Yvonne) (Entered: 06/25/2018)
06/22/2018	342	SEALED DOCUMENT RE: 325 . (REDACTED) Special Master's Response to the Law firms' Proposed Redactions to the Executive Summary to Special Master's Report and Recommendations. (Franklin, Yvonne) (Entered: 06/25/2018)
06/22/2018	348	Electronic Clerk's Notes for proceedings held before Judge Mark L. Wolf: Hearing held on 6/22/2018. (Court Reporter: Kelly Mortellite at mortellite@gmail.com.)(Attorneys present: Sinnott, McEvoy, Lukey, Wolosz, Heimann, Kelly, Sharp, Smith, McTigue, Halston, Paine, Bookwalter, Copley) (Bono, Christine) (Entered: 06/26/2018)
06/25/2018	338	SEALED Transcript of Sealed Hearing held on June 22, 2018, before Judge Mark L. Wolf. Court Reporter Name and Contact Information: Kelly Mortellite at mortellite@gmail.com Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Scalfani, Deborah) (Entered: 06/25/2018)
06/25/2018	343	MOTION to Seal <i>Special Master's Letter Submitted to Court</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 06/25/2018)
06/25/2018	344	MOTION for Leave to File <i>Letter with Court (Under Seal)</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 06/25/2018)
06/25/2018	345	SEALED DOCUMENT RE: # 344 Special Master's Motion for Leave to File. (Attachments: # 1 Exhibit A-Unredacted, # 2 Exhibit A-Redacted) (Franklin, Yvonne) (Entered: 06/26/2018)
06/26/2018	349	MOTION of <i>Customer Class Counsel for Process Associated with Release of Report Before Release of Exhibits</i> by Labaton Sucharow LLP, Lief Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP. (Lukey, Joan) (Entered: 06/26/2018)

06/26/2018	350	MOTION to Impound the Opposition of Labaton Sucharow LLP to Special Masters Motion for Leave to File Letter with Court (Under Seal) by Labaton Sucharow LLP.(Lukey, Joan) (Entered: 06/26/2018)
06/26/2018	351	MOTION to Seal Statement of Professor Stephen Gillers' Availability for Additional Deposition Testimony And/Or Participation (Under Seal) by Gerald E. Rosen.(Sinnott, William) (Entered: 06/26/2018)
06/26/2018	353	SEALED DOCUMENT RE: # 350 Opposition of Labaton Sucharow to Special Master's Leave to File Letter. (Attachments: (UNREDACTED) # 1 (REDACTED))(Franklin, Yvonne) (Entered: 06/27/2018)
06/28/2018	356	Judge Mark L. Wolf: ORDER entered. MEMORANDUM AND ORDER. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 06/28/2018)
06/28/2018	357	REPORT of the Special Master. Special Master's Report and Recommendations (with redactions). (Attachments: # 1 Executive Summary)Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 06/28/2018)
06/28/2018	358	Judge Mark L. Wolf: ORDER entered. MEMORANDUM AND ORDER. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 06/28/2018)
06/28/2018		Judge Mark L. Wolf: ELECTRONIC ORDER entered denying 254 Sealed Motion. See Memorandum and Order 356 . (Bono, Christine) (Entered: 06/28/2018)
06/28/2018		Judge Mark L. Wolf: ELECTRONIC ORDER entered denying 255 Sealed Motion. See Memorandum and Order 356 . (Bono, Christine) (Entered: 06/28/2018)
06/28/2018	359	Objection by Labaton Sucharow LLP to Special Master's Report and Recommendations. (Lukey, Joan) (Entered: 06/28/2018)
06/28/2018	360	MOTION to Seal Unredacted Objections to Report and Recommendations by Thornton Law Firm LLP.(Sharp, Joshua) (Entered: 06/28/2018)
06/28/2018	361	Objection by Thornton Law Firm LLP to Special Master's Report and Recommendations [REDACTED]. (Sharp, Joshua) (Entered: 06/28/2018)
06/28/2018	362	AFFIDAVIT in Support re 359 Objection . (Attachments: # 1 Exhibit A, # 2 Exhibit B, # 3 Exhibit C, # 4 Exhibit D, # 5 Exhibit E, # 6 Exhibit F, # 7 Exhibit G, # 8 Exhibit H, # 9 Exhibit I, # 10 Exhibit J, # 11 Exhibit K, # 12 Exhibit L, # 13 Exhibit M, # 14 Exhibit N, # 15 Exhibit O, # 16 Exhibit P, # 17 Exhibit Q, # 18 Exhibit R, # 19 Exhibit S, # 20 Exhibit T, # 21 Exhibit U, # 22 Exhibit V, # 23 Exhibit W, # 24 Exhibit X, # 25 Exhibit Y)(Lukey, Joan) (Entered: 06/28/2018)
06/28/2018	363	Judge Mark L. Wolf: "...[I]t is hereby ORDERED that: 1. The request to clarify the record made in the Master's June 21, 2018 letter to the court (Docket No. 329-1) (under seal) is DENIED. 2. Labaton's Motion for

		Order Directing Master to Respond to Inquiry Regarding Ex Parte Communications With The Court (Docket No. 334) is DENIED." ORDER entered. (Bono, Christine) (Entered: 06/28/2018)
06/28/2018		Judge Mark L. Wolf: ELECTRONIC ORDER entered denying 334 Motion for Order. See Order, 363 . (Bono, Christine) (Entered: 06/28/2018)
06/28/2018	364	Judge Mark L. Wolf: ORDER entered. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 06/28/2018)
06/28/2018	365	MOTION to Impound Labaton Sucharow LLP's Objections to Special Master's Report and Recommendations and the Transmittal Declaration of Justin J. Wolosz in Support of Labaton Sucharow LLP's Objections to Special Master's Report and Recommendations by Labaton Sucharow LLP.(Lukey, Joan) (Entered: 06/28/2018)
06/29/2018	366	MOTION for Clarification by Gerald E. Rosen.(Sinnott, William) (Entered: 06/29/2018)
06/29/2018	367	Objection to 357 Report of the Special Master by Lief Cabraser Heimann & Bernstein, LLP . (Attachments: # 1 Appendix A, # 2 Appendix B, # 3 Appendix C)(Fineman, Steven) (Entered: 06/29/2018)
06/29/2018	368	DECLARATION re 357 Report of the Special Master by <i>William B. Rubenstein</i> by Lief Cabraser Heimann & Bernstein, LLP. (Fineman, Steven) (Entered: 06/29/2018)
06/29/2018	369	DECLARATION re 357 Report of the Special Master by <i>Steven E. Fineman</i> by Lief Cabraser Heimann & Bernstein, LLP. (Attachments: # 1 Exhibit A, # 2 Exhibit B, # 3 Exhibit C, # 4 Exhibit D, # 5 Exhibit E, # 6 Exhibit F, # 7 Exhibit G, # 8 Exhibit H, # 9 Exhibit I, # 10 Exhibit J, # 11 Exhibit K, # 12 Exhibit L, # 13 Exhibit M, # 14 Exhibit N, # 15 Exhibit O, # 16 Exhibit P)(Fineman, Steven) (Entered: 06/29/2018)
06/29/2018	372	Judge Mark L. Wolf: "This Motion is hereby ALLOWED and the Master's proposal is hereby ADOPTED." ENDORSED ORDER entered granting 366 Motion for Clarification (Bono, Christine) (Entered: 06/29/2018)
06/29/2018	373	MOTION To Impound One Exhibit to The Declaration Of Steven E. Fineman in Support of the Response and Objections of Lief Cabraser Heimann & Bernstein, LLP to the Special Masters Report and Recommendations re 369 Declaration, by Lief Cabraser Heimann & Bernstein, LLP.(Heimann, Richard) (Entered: 06/29/2018)
07/02/2018	374	Letter/request (non-motion) from Lief Cabraser Heimann & Bernstein, LLP . (Heimann, Richard) (Entered: 07/02/2018)
07/03/2018	376	MOTION to Seal <i>Special Master's Response to Customer Class Counsels' Motion for an Accounting, and For Clarification that the Master's Role Has Concluded Filed Under Seal on June 19, 2018 (Under Seal)</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 07/03/2018)

07/03/2018	377	SEALED DOCUMENT RE: 376 & 302 . (UNREDACTED) Special Master's Response to Customer Class Counsels' Motion for an Accounting, and for Clarification that the Master's Role has Concluded. (Franklin, Yvonne) (Entered: 07/05/2018)
07/05/2018	379	Objection to 357 Report of the Special Master by Labaton Sucharow LLP <i>Supplemental</i> . (Lukey, Joan) (Entered: 07/05/2018)
07/05/2018	380	Assented to MOTION for Extension of Time to <i>Comply with the Court's June 28, 2018 Order</i> by State Street Bank & Trust Company, State Street Global Markets, LLC.(Halston, Daniel) Modified on 7/9/2018 to correct event. (Franklin, Yvonne). (Entered: 07/05/2018)
07/06/2018	381	MOTION for Leave to File <i>Letter with Court (Under Seal)</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 07/06/2018)
07/06/2018	382	MOTION to Seal <i>Special Master's Letter Submitted to Court (Under Seal)</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 07/06/2018)
07/06/2018	383	SEALED DOCUMENT RE: 382 Special Master's Letter to Court. (Franklin, Yvonne) (Entered: 07/09/2018)
07/09/2018	384	Judge Mark L. Wolf: ELECTRONIC ORDER entered Granting 380 Motion for Extension of Time to File Response/Reply to Comply with the Court's June 28, 2018 Order by State Street Bank & Trust Company, State Street Global Markets, LLC.(Halston, Daniel). Responses due by 7/20/2018. (Franklin, Yvonne) (Entered: 07/09/2018)
07/09/2018	385	Judge Mark L. Wolf: ENDORSED ORDER entered ALLOWING 381 Motion for Leave to File Document ; Counsel using the Electronic Case Filing System should now file the document for which leave to file has been granted in accordance with the CM/ECF Administrative Procedures. Counsel must include - Leave to file granted on (date of order)- in the caption of the document.; DENYING 382 Motion to Seal. (Franklin, Yvonne) (Entered: 07/09/2018)
07/10/2018	386	MOTION to Seal Document <i>MOTION TO IMPOUND KELLER ROHRBACK'S NOTICE OF EXCEPTIONS TO ECF 359 AND ECF 361</i> by Andover Companies Employee Savings and Profit Sharing Plan, James Pehoushek-Stangeland.(Sarko, Lynn) (Entered: 07/10/2018)
07/10/2018	387	Objection to 359 Objection, 361 Objection by Andover Companies Employee Savings and Profit Sharing Plan, James Pehoushek-Stangeland <i>KELLER ROHRBACK'S NOTICE OF EXCEPTIONS TO ECF 359 AND ECF 361</i> . (Sarko, Lynn) (Entered: 07/10/2018)
07/11/2018		Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 386 Motion to Seal Document. (Bono, Christine) (Entered: 07/11/2018)
07/11/2018	388	USCA CASE OPENING NOTICE and ORIGINAL PROCEEDING docketed. Petition for a writ of mandamus filed by Petitioner Labaton Sucharow LLP. USCA Number Assigned 18-1651 (Paine, Matthew) (Entered: 07/12/2018)

07/12/2018	389	SEALED DOCUMENT RE: 386 Keller Rohrback's Notice of Exceptions to ECF 359 and ECF 361. (Franklin, Yvonne) (Entered: 07/12/2018)
07/12/2018	390	MOTION to Seal Document <i>Motion to Impound Zuckerman Spaeder's Notice of Exception to ECF 359, ECF 361 and ECF 367</i> by Arnold Henriquez.(Kravitz, Carl) (Entered: 07/12/2018)
07/12/2018	391	NOTICE by Arnold Henriquez <i>Zuckerman Spaeder LLP's Notice of Exception to ECF 359, ECF 361, and ECF 367 [REDACTED]</i> (Kravitz, Carl) Modified on 7/13/2018) Correction made to seal (unredacted) document due to filer error. (Franklin, Yvonne). (Entered: 07/12/2018)
07/13/2018	392	NOTICE by Arnold Henriquez <i>Zuckerman Spaeder LLP's Notice of Exception to ECF 359, ECF 361, and ECF 367 [REDACTED]</i> (Kravitz, Carl) (Entered: 07/13/2018)
07/13/2018	393	MOTION for Leave to File <i>Customer Class Counsels' Reply to Special Master's Response to their Motion for an Accounting, and for Clarification that the Master's Role has Concluded</i> by Labaton Sucharow LLP, Lieff Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP. (Attachments: # 1 Exhibit A)(Lukey, Joan) (Entered: 07/13/2018)
07/13/2018	394	MOTION to Impound <i>Customer Class Counsels' Reply to Special Master's Response to their Motion for an Accounting, and for Clarification that the Master's Role has Concluded</i> by Labaton Sucharow LLP, Lieff Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP.(Lukey, Joan) (Entered: 07/13/2018)
07/13/2018	395	MOTION to Stay <i>Pending Resolution of Petition for Writ of Mandamus</i> by Labaton Sucharow LLP.(Lukey, Joan) (Entered: 07/13/2018)
07/13/2018	396	MEMORANDUM in Support re 395 MOTION to Stay <i>Pending Resolution of Petition for Writ of Mandamus</i> filed by Labaton Sucharow LLP. (Lukey, Joan) (Entered: 07/13/2018)
07/13/2018	397	SEALED DOCUMENT RE: # 393 Exhibit A to <i>Customer Class Counsels' Motion for Leave to File Reply</i> . (Franklin, Yvonne) (Entered: 07/13/2018)
07/19/2018	398	NOTICE by Michael T. Cohn, Arnold Henriquez, McTigue Law, LLP, Richard A. Sutherland, William R. Taylor re 359 Objection, 361 Objection <i>McTigue Law LLP's Notice of Exceptions to the Objections of Labaton Sucharow LLP and Thornton Law Firm LLP to the Special Master's Report and Recommendation</i> (McTigue, J.) (Entered: 07/19/2018)
07/20/2018	399	NOTICE by Gerald E. Rosen <i>Special Master's Submission of the Final Redacted Exhibits to the Master's Report & Recommendations</i> (Sinnott, William) (Entered: 07/20/2018)
07/20/2018	400	Opposition re 395 MOTION to Stay <i>Pending Resolution of Petition for Writ of Mandamus</i> filed by Gerald E. Rosen. (Sinnott, William)

		(Entered: 07/20/2018)
07/23/2018	401	EXHIBIT re 399 Notice (Other) <i>Special Master's Submission of the Final Redacted Exhibits to the Master's Report & Recommendations</i> by Gerald E. Rosen. (Attachments: # 1 Exhibit 2, # 2 Exhibit 3, # 3 Exhibit 4, # 4 Exhibit 5, # 5 Exhibit 6, # 6 Exhibit 7, # 7 Exhibit 8, # 8 Exhibit 9, # 9 Exhibit 10, # 10 Exhibit 11, # 11 Exhibit 12, # 12 Exhibit 13, # 13 Exhibit 14, # 14 Exhibit 15, # 15 Exhibit 16, # 16 Exhibit 17, # 17 Exhibit 18, # 18 Exhibit 19, # 19 Exhibit 20, # 20 Exhibit 21, # 21 Exhibit 22, # 22 Exhibit 23, # 23 Exhibit 24, # 24 Exhibit 25, # 25 Exhibit 26, # 26 Exhibit 27, # 27 Exhibit 28, # 28 Exhibit 29, # 29 Exhibit 30, # 30 Exhibit 31, # 31 Exhibit 32, # 32 Exhibit 33, # 33 Exhibit 34, # 34 Exhibit 35, # 35 Exhibit 36, # 36 Exhibit 37, # 37 Exhibit 38, # 38 Exhibit 39, # 39 Exhibit 40, # 40 Exhibit 41, # 41 Exhibit 42, # 42 Exhibit 43, # 43 Exhibit 44, # 44 Exhibit 45, # 45 Exhibit 46, # 46 Exhibit 47, # 47 Exhibit 48, # 48 Exhibit 49, # 49 Exhibit 50, # 50 Exhibit 51, # 51 Exhibit 52, # 52 Exhibit 53, # 53 Exhibit 54, # 54 Exhibit 55, # 55 Exhibit 56, # 56 Exhibit 57, # 57 Exhibit 58, # 58 Exhibit 59, # 59 Exhibit 60, # 60 Exhibit 61, # 61 Exhibit 62, # 62 Exhibit 63, # 63 Exhibit 64, # 64 Exhibit 65, # 65 Exhibit 66, # 66 Exhibit 67, # 67 Exhibit 68, # 68 Exhibit 69, # 69 Exhibit 70, # 70 Exhibit 71, # 71 Exhibit 72, # 72 Exhibit 73, # 73 Exhibit 74, # 74 Exhibit 75, # 75 Exhibit 76, # 76 Exhibit 77, # 77 Exhibit 78, # 78 Exhibit 79, # 79 Exhibit 80, # 80 Exhibit 81, # 81 Exhibit 82, # 82 Exhibit 83, # 83 Exhibit 84, # 84 Exhibit 85, # 85 Exhibit 86, # 86 Exhibit 87, # 87 Exhibit 88, # 88 Exhibit 89, # 89 Exhibit 90, # 90 Exhibit 91, # 91 Exhibit 92, # 92 Exhibit 93, # 93 Exhibit 94, # 94 Exhibit 95, # 95 Exhibit 96, # 96 Exhibit 97, # 97 Exhibit 98, # 98 Exhibit 99, # 99 Exhibit 100, # 100 Exhibit 101, # 101 Exhibit 102, # 102 Exhibit 103, # 103 Exhibit 104, # 104 Exhibit 105, # 105 Exhibit 106, # 106 Exhibit 107, # 107 Exhibit 108, # 108 Exhibit 109, # 109 Exhibit 110, # 110 Exhibit 111, # 111 Exhibit 112, # 112 Exhibit 113, # 113 Exhibit 114, # 114 Exhibit 115, # 115 Exhibit 116, # 116 Exhibit 117, # 117 Exhibit 118, # 118 Exhibit 119, # 119 Exhibit 120, # 120 Exhibit 121, # 121 Exhibit 122, # 122 Exhibit 123, # 123 Exhibit 124, # 124 Exhibit 125, # 125 Exhibit 126, # 126 Exhibit 127, # 127 Exhibit 128, # 128 Exhibit 129, # 129 Exhibit 130, # 130 Exhibit 131, # 131 Exhibit 132, # 132 Exhibit 133, # 133 Exhibit 134, # 134 Exhibit 135, # 135 Exhibit 136, # 136 Exhibit 137, # 137 Exhibit 138, # 138 Exhibit 139, # 139 Exhibit 140, # 140 Exhibit 141, # 141 Exhibit 142, # 142 Exhibit 143, # 143 Exhibit 144, # 144 Exhibit 145, # 145 Exhibit 146, # 146 Exhibit 147, # 147 Exhibit 148, # 148 Exhibit 149, # 149 Exhibit 150, # 150 Exhibit 151, # 151 Exhibit 152, # 152 Exhibit 153, # 153 Exhibit 154, # 154 Exhibit 155, # 155 Exhibit 156, # 156 Exhibit 157, # 157 Exhibit 158, # 158 Exhibit 159, # 159 Exhibit 160, # 160 Exhibit 161, # 161 Exhibit 162, # 162 Exhibit 163, # 163 Exhibit 164, # 164 Exhibit 165, # 165 Exhibit 166, # 166 Exhibit 167, # 167 Exhibit 168, # 168 Exhibit 169, # 169 Exhibit 170, # 170 Exhibit 171, # 171 Exhibit 172, # 172 Exhibit 173, # 173 Exhibit 174, # 174 Exhibit 175, # 175 Exhibit 176, # 176 Exhibit 177, # 177 Exhibit 178, # 178 Exhibit 179, # 179 Exhibit 180, # 180 Exhibit 181, # 181 Exhibit 182, #

		<p>182 Exhibit 183, # 183 Exhibit 184, # 184 Exhibit 185, # 185 Exhibit 186, # 186 Exhibit 187, # 187 Exhibit 188, # 188 Exhibit 189, # 189 Exhibit 190, # 190 Exhibit 191, # 191 Exhibit 192, # 192 Exhibit 193, # 193 Exhibit 194, # 194 Exhibit 195, # 195 Exhibit 196, # 196 Exhibit 197, # 197 Exhibit 198, # 198 Exhibit 199, # 199 Exhibit 200, # 200 Exhibit 201, # 201 Exhibit 202, # 202 Exhibit 203, # 203 Exhibit 204, # 204 Exhibit 205, # 205 Exhibit 206, # 206 Exhibit 207, # 207 Exhibit 208, # 208 Exhibit 209, # 209 Exhibit 210 Part 1, # 210 Exhibit 210 Part 2, # 211 Exhibit 211, # 212 Exhibit 212 Part 1, # 213 Exhibit 212 Part 2, # 214 Exhibit 212 Part 3, # 215 Exhibit 213, # 216 Exhibit 214, # 217 Exhibit 215, # 218 Exhibit 216, # 219 Exhibit 217, # 220 Exhibit 218, # 221 Exhibit 219, # 222 Exhibit 220, # 223 Exhibit 221, # 224 Exhibit 222, # 225 Exhibit 223, # 226 Exhibit 224, # 227 Exhibit 225, # 228 Exhibit 226, # 229 Exhibit 227, # 230 Exhibit 228, # 231 Exhibit 229, # 232 Exhibit 230, # 233 Exhibit 231, # 234 Exhibit 232 Part 1, # 235 Exhibit 232 Part 2, # 236 Exhibit 232 Part 3, # 237 Exhibit 233 Part 1, # 238 Exhibit 233 Part 2, # 239 Exhibit 233 Part 3, # 240 Exhibit 233 Part 4, # 241 Exhibit 233 Part 5, # 242 Exhibit 234, # 243 Exhibit 235, # 244 Exhibit 236, # 245 Exhibit 237, # 246 Exhibit 238, # 247 Exhibit 239, # 248 Exhibit 240, # 249 Exhibit 241, # 250 Exhibit 242, # 251 Exhibit 243, # 252 Exhibit 244, # 253 Exhibit 245 Part 1, # 254 Exhibit 245 Part 2, # 255 Exhibit 245 Part 3, # 256 Exhibit 245 Part 4, # 257 Exhibit 246, # 258 Exhibit 247, # 259 Exhibit 248, # 260 Exhibit 249, # 261 Exhibit 250, # 262 Exhibit 251, # 263 Exhibit 252, # 264 Exhibit 253, # 265 Exhibit 254, # 266 Exhibit 255, # 267 Exhibit 256, # 268 Exhibit 257, # 269 Exhibit 258, # 270 Exhibit 259, # 271 Exhibit 260, # 272 Exhibit 261, # 273 Exhibit 262, # 274 Exhibit 263, # 275 Exhibit 264 Part 1, # 276 Exhibit 264 Part 2, # 277 Exhibit 265, # 278 Exhibit 266)(Sinnott, William) (Entered: 07/23/2018)</p>
07/25/2018	402	JUDGMENT of the USCA (18-1651) Petition for Mandamus: The petition is DENIED. (Paine, Matthew) (Entered: 07/25/2018)
07/25/2018	403	NOTICE by Labaton Sucharow LLP <i>of Filing of Expanded Exhibit 125</i> (Attachments: # 1 Exhibit 125 - Expanded)(Wolosz, Justin) (Entered: 07/25/2018)
07/26/2018		ELECTRONIC NOTICE Setting Hearing on Motion 302 MOTION for an Accounting, and for Clarification that the Master's Role has Concluded : Motion Hearing set for 8/9/2018 10:00 AM in Courtroom 10 before Judge Mark L. Wolf. (Bono, Christine) (Entered: 07/26/2018)
07/26/2018	404	Objection by Labaton Sucharow LLP <i>Second Supplemental to Special Master's Report and Recommendations</i> . (Lukey, Joan) (Entered: 07/26/2018)
07/26/2018	405	AFFIDAVIT in Support re 404 <i>Objection by Stuart M. Glass</i> . (Attachments: # 1 Exhibit A - Redacted, # 2 Exhibit B - Redacted, # 3 Exhibit C - Redacted, # 4 Exhibit D - Redacted, # 5 Exhibit E - Redacted)(Lukey, Joan) (Entered: 07/26/2018)
07/26/2018	406	MOTION to Impound Second Supplemental Objections to Special

		Master's Report and Recommendations and the Transmittal Declaration of Stuart M. Glass in Support of Labaton's Second Supplemental Objections by Labaton Sucharow LLP.(Lukey, Joan) (Entered: 07/26/2018)
07/27/2018	408	AFFIDAVIT in Support re 404 Objection [<i>CORRECTED</i>] by <i>Stuart M. Glass</i> . (Attachments: # 1 Exhibit A - New York Times Article, # 2 Exhibit B - Redacted, # 3 Exhibit C - Redacted, # 4 Exhibit D - Redacted, # 5 Exhibit E - Redacted)(Lukey, Joan) (Entered: 07/27/2018)
07/31/2018	409	MOTION to Seal <i>Special Master's Letter Submitted to Court (Under Seal)</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 07/31/2018)
07/31/2018	410	Judge Mark L. Wolf: "...[I]t is hereby ORDERED that: 1. The Lawyers are informed that the court may, if necessary, amend the Order appointing the Master to authorize him to respond to the objections to the Report and to address related issues. See Fed. R. Civ. P. 53(b) (4). 2. The Lawyers and the Master shall, by 12:00 noon on August 6, 2018, supplement their submissions to address the Court's authority to permit the Master to address objections to his Report and related issues. 3. CCAF shall, by 12:00 noon on August 6, 2018: (a) State whether it remains willing and able to serve as a guardian ad litem or amicus; (b) If so, the financial and other terms on which it proposes to serve; (c) Supplement its motion to participate (Docket No. 126) to address the current circumstances of the case; and (d) Respond to paragraph 2 hereinabove. 4. If CCAF still seeks a role in this case, any opposition to its request shall be filed by August 7, 2018." ORDER entered. MEMORANDUM AND ORDER(Bono, Christine) (Entered: 07/31/2018)
07/31/2018	411	SEALED DOCUMENT RE: 409 Letter Submitted to Court (under seal) (Franklin, Yvonne) (Entered: 07/31/2018)
08/01/2018	412	Judge Mark L. Wolf: "...[I]t is hereby ORDERED that: 1. The July 31, 2018 letter (Docket No. 411 under seal) is UNSEALED. 2. The Master shall provide this Order to anyone requesting documents or information from him." ORDER entered. MEMORANDUM AND ORDER. (Attachments: # 1 Special Master Letter)Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 08/01/2018)
08/01/2018	413	MOTION for Disclosure of <i>Certain Sealed Documents Necessary to Fully Respond to the Court's Order of July 31, 2018</i> by Competitive Enterprise Institute (CEI).(Bednarz, M.) (Entered: 08/01/2018)
08/03/2018	414	Judge Mark L. Wolf: "...[I]t is hereby ORDERED that: 1. CCAF's Motion for Disclosure of Certain Sealed Documents Necessary to Fully Respond to the Court's Order of July 31, 2018 (Docket No. 413) is ALLOWED in part. The motions to seal the memoranda relating to the Motion for Accounting and Clarification that the Special Master's Role Has Concluded (Docket Nos. 301, 376, 394) are DENIED. The memoranda concerning the Motion for Accounting and Clarification that

		the Special Master's Role Has Concluded (Docket Nos. 310, 377, and 397), the Master's June 25, 2018 letter (Docket No. 345-1) and Labaton's response (Docket No. 353) are UNSEALED. 2. The Master shall confer with Labaton and, by 4:00 p.m. on August 3, 2018, report whether the unredacted version of the Master's June 21, 2018 letter (Docket No. 329-1) should remain under seal. If either the Master or Labaton seeks to maintain the document under seal, both shall explain their positions. 3. The parties shall be prepared to address at the August 9, 2018 hearing whether there are other documents developed in the Master's investigation, or filed with the court under seal, that should now be made public." MEMORANDUM AND ORDER entered denying (376) Motion to Seal; denying (394) Motion ; granting in part and denying in part (413) Motion for Disclosure; denying (301) Motion in case 1:11-cv-10230-MLW Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 08/03/2018)
08/03/2018	415	MOTION to Seal <i>Special Master's First Submission of Documents to Supplement the Record (Under Seal)</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 08/03/2018)
08/03/2018	416	NOTICE by Gerald E. Rosen <i>Special Master's Response to the Court Concerning Unsealing Special Master's June 21, 2018 Letter to the Court</i> (Sinnott, William) (Entered: 08/03/2018)
08/03/2018	417	Judge Mark L. Wolf: "On August 3, 2018, the Court ordered the Master to confer with Labaton Sucharow, LLP ("Labaton") and report whether they agree that the unredacted version of the Master's June 21, 2018 letter to the court (Docket No. 329-1) should be made public. The Master and Labaton reported that they agree that the letter should be unsealed. See Docket No. 416. Therefore, it is hereby ORDERED that Docket No. 329-1 is UNSEALED." ORDER entered. MEMORANDUM AND ORDERAssociated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 08/06/2018)
08/06/2018	418	RESPONSE TO COURT ORDER by Lieff Cabraser Heimann & Bernstein, LLP re 410 Memorandum & ORDER,,,, . (Heimann, Richard) (Entered: 08/06/2018)
08/06/2018	419	MOTION for Extension of Time to 8/13/2018 to File <i>Supplement to its Motion to Participate Pursuant to the Court's Order of July 31, 2018 (Dkt. 410)</i> by Competitive Enterprise Institute (CEI).(Bednarz, M.) (Entered: 08/06/2018)
08/06/2018	420	RESPONSE TO COURT ORDER by Competitive Enterprise Institute (CEI) re 410 Memorandum & ORDER,,,, . (Attachments: # 1 Declaration of M. Frank Bednarz and Exhibits)(Bednarz, M.) (Entered: 08/06/2018)
08/06/2018	421	RESPONSE TO COURT ORDER by Labaton Sucharow LLP, Thornton Law Firm LLP re 410 Memorandum & ORDER,,,, . (Lukey, Joan) (Entered: 08/06/2018)
08/06/2018	422	NOTICE by Gerald E. Rosen <i>Special Master's Supplemental Response to</i>

		<i>Customer Class Counsel's Motion for Accounting, and Clarification that the Special Master's Role Has Concluded</i> (Sinnott, William) (Entered: 08/06/2018)
08/06/2018	423	SEALED DOCUMENT RE: # 415 Special Master's First Submission of Documents to Supplement the Record. (Attachments: # 1 Exhibit 411, # 2 Exhibit 414, # 3 Exhibit 417, # 4 Exhibit 421, # 5 Exhibit 432, # 6 Exhibit 435, # 7 Exhibit 437, # 8 Exhibit 442, # 9 Exhibit 7444, # 10 Exhibit 7447, # 11 Exhibit 7449, # 12 Exhibit 7450, # 13 Exhibit 7451, # 14 Exhibit 7453, # 15 Exhibit 7457, # 16 Exhibit 7476, # 17 Exhibit 7479, # 18 Exhibit 7482, # 19 Exhibit 7485, # 20 Exhibit 7486, # 21 Exhibit 7506, # 22 Exhibit 7568, # 23 Exhibit 7580, # 24 Exhibit 7585, # 25 Exhibit 7643, # 26 Exhibit 7756, # 27 Exhibit 7805, # 28 Exhibit 8581, # 29 Exhibit 0328, # 30 Exhibit 0419, # 31 Exhibit 0585, # 32 Exhibit 0586, # 33 Exhibit 0588, # 34 Exhibit 0589, # 35 Exhibit 0590, # 36 Exhibit 0623, # 37 Exhibit 0624, # 38 Exhibit 2641, # 39 Exhibit 2651, # 40 Exhibit 2697)(# 41 Exhibit 2712, # 42 Exhibit 2718, # 43 Exhibit 2719, # 44 Exhibit 2721, # 45 Exhibit 2724, # 46 Exhibit 2730, # 47 Exhibit 2733, # 48 Exhibit 2778, # 49 Exhibit 2779, # 50 Exhibit 2780, # 51 Exhibit 2781, # 52 Exhibit 2790, # 53 Exhibit 2791, # 54 Exhibit 2792, # 55 Exhibit 2793, # 56 Exhibit 2794, # 57 Exhibit 2795, # 58 Exhibit 2796, # 59 Exhibit 2797, # 60 Exhibit 2798, # 61 Exhibit 2799, # 62 Exhibit 2836, # 63 Exhibit 2837, # 64 Exhibit 2838, # 65 Exhibit 2862, # 66 Exhibit 5911, # 67 Exhibit 7107, # 68 Exhibit 7345, # 69 Exhibit 8711, # 70 Exhibit 8713, # 71 Exhibit 9526, # 72 Exhibit 9575) # 73 Exhibit 9576, # 74 Exhibit 9577, # 75 Exhibit 0324, # 76 Exhibit 0325, # 77 Exhibit 0328, # 78 Exhibit 0663, # 79 Exhibit 0669, # 80 Exhibit 0670, # 81 Exhibit 0671, # 82 Exhibit 0688, # 83 Exhibit 0690, # 84 Exhibit 0706, # 85 Exhibit 0852, # 86 Exhibit 0853, # 87 Exhibit 0854, # 88 Exhibit 0876, # 89 Exhibit 0988, # 90 Exhibit 0990, # 91 Exhibit 0993) # 92 Exhibit 1001, # 93 Exhibit 1003, # 94 Exhibit 1170, # 95 Exhibit 1174, # 96 Exhibit 1192, # 97 Exhibit 1193, # 98 Exhibit 1229, # 99 Exhibit 1239, # 100 Exhibit 1240, # 101 Exhibit 1245, # 102 Exhibit 1319, # 103 Exhibit 1328, # 104 Exhibit 1449, # 105 Exhibit 1452, # 106 Exhibit 1458, # 107 Exhibit 1463, # 108 Exhibit 1465, # 109 Exhibit 1471, # 110 Exhibit 1482, # 111 Exhibit 1590, # 112 Exhibit 1595, # 113 Exhibit 1597, # 114 Exhibit 1599, # 115 Exhibit 1603, # 116 Exhibit 1643, # 117 Exhibit 2444, # 118 Exhibit 3089, # 119 Exhibit 3096, # 120 Exhibit 3100, # 121 Exhibit 3187, # 122 Exhibit 3189, # 123 Exhibit 3193, # 124 Exhibit 3395, # 125 Exhibit 4765, # 126 Exhibit 5257, # 127 Exhibit 5448) # 128 Exhibit 35452, # 129 Exhibit 37418, # 130 Exhibit 39487, # 131 Exhibit 39491, # 132 Exhibit 39495, # 133 Exhibit 39499, # 134 Exhibit 39507, # 135 Exhibit 39509, # 136 Exhibit 39527, # 137 Exhibit 39534, # 138 Exhibit 39537, # 139 Exhibit 39538, # 140 Exhibit 39539, # 141 Exhibit 39541, # 142 Exhibit 39550, # 143 Exhibit 39555, # 144 Exhibit 39561, # 145 Exhibit 39572, # 146 Exhibit 39578, # 147 Exhibit 39585, # 148 Exhibit 39600, # 149 Exhibit 39602, # 150 Exhibit 39603, # 151 Exhibit 39636, # 152 Exhibit 39640, # 153 Exhibit 39642, # 154 Exhibit 39643, # 155 Exhibit 39644, # 156 Exhibit 39654, # 157 Exhibit 40118, # 158 Exhibit 40124, # 159 Exhibit 40132, # 160 Exhibit 40134, # 161 Exhibit 40182, # 162 Exhibit 40243,

		# 163 Exhibit 40246, # 164 Exhibit 40249, # 165 Exhibit 40259, # 166 Exhibit 40263, # 167 Exhibit 40271, # 168 Exhibit 40295, # 169 Exhibit 40308, # 170 Exhibit 40316, # 171 Exhibit 40331, # 172 Exhibit 40332, # 173 Exhibit 40335, # 174 Exhibit 40343, # 175 Exhibit 40348, # 176 Exhibit 40368, # 177 Exhibit 40369, # 178 Exhibit 40380, # 179 Exhibit 40382) # 180 Exhibit 40402, # 181 Exhibit 40411, # 182 Exhibit 40446, # 183 Exhibit 40498, # 184 Exhibit 40500, # 185 Exhibit 40501, # 186 Exhibit 40509, # 187 Exhibit 40519, # 188 Exhibit 40523-A, # 189 Exhibit 40526, # 190 Exhibit 40529, # 191 Exhibit 40532, # 192 Exhibit 40535, # 193 Exhibit 40556, # 194 Exhibit 40565, # 195 Exhibit 40570, # 196 Exhibit 40572, # 197 Exhibit 40578, # 198 Exhibit 40581, # 199 Exhibit 40583, # 200 Exhibit 41058, # 201 Exhibit 41097, # 202 Exhibit 41099, # 203 Exhibit 41105, # 204 Exhibit TLF-056495, # 205 Exhibit TLF-060550, # 206 Exhibit TLF-060551, # 207 Exhibit TLF-064148, # 208 Exhibit TLF-064322, # 209 Exhibit TLF-075400, # 210 Exhibit TLF-075402, # 211 Exhibit TLF-075782) # 212 Exhibit 40538, # 213 Exhibit 40543) Modified on 8/7/2018 (note 212 & 213 out of sequence) (Franklin, Yvonne). Modified on 8/7/2018 (Franklin, Yvonne). (Entered: 08/06/2018)
08/06/2018	424	NOTICE by Gerald E. Rosen <i>Special Master's Motion to Appear in Court at August 9, 2018 Hearing</i> (Sinnott, William) (Entered: 08/06/2018)
08/07/2018	425	Judge Mark L. Wolf: "As there is no objection to this motion and it may be useful for the Master to have the opportunity to confer with counsel during the hearing, the Motion is hereby ALLOWED." ENDORSED ORDER entered. (Bono, Christine) (Entered: 08/07/2018)
08/07/2018	426	RESPONSE TO COURT ORDER by Lieff Cabraser Heimann & Bernstein, LLP re 420 Response to Court Order . (Heimann, Richard) (Entered: 08/07/2018)
08/07/2018	427	Objection to 420 Response to Court Order by Labaton Sucharow LLP, Thornton Law Firm LLP . (Lukey, Joan) (Entered: 08/07/2018)
08/07/2018	428	AFFIDAVIT in Support re 427 Objection by <i>James W. Johnson</i> . (Lukey, Joan) (Entered: 08/07/2018)
08/07/2018	429	AFFIDAVIT in Support re 427 Objection by <i>Nicole M. Zeiss</i> . (Lukey, Joan) (Entered: 08/07/2018)
08/07/2018	430	Objection to 420 Response to Court Order by Andover Companies Employee Savings and Profit Sharing Plan, Michael T. Cohn, Arnold Henriquez, James Pehoushek-Stangeland, Richard A. Sutherland, William R. Taylor <i>Keller Rohrback LLP's and Zuckerman Spaeder LLP's Opposition To The Competitive Enterprise Institute's Center For Class Action Fairness's Request To Serve As Guardian Ad Litem For The Class</i> . (Gerber, Laura) (Entered: 08/07/2018)
08/07/2018	431	Objection to 420 Response to Court Order by Michael T. Cohn, Arnold Henriquez, Richard A. Sutherland, William R. Taylor <i>McTigue Law LLP's And Beins Axelrod, P.C.s Opposition To The Participation Of The</i>

		<i>Competitive Enterprise Institute In This Case.</i> (McTigue, J.) (Entered: 08/07/2018)
08/08/2018	432	Judge Mark L. Wolf: "It is hereby ORDERED that: 1. The Competitive Enterprise Institute's Center for Class Action Fairness's Motion for an Extension of Time to Supplement Its Motion to Participate (Dkt. 126) Pursuant to the Court's Order of July 31, 2018 and Memorandum in Support (Docket No. 419) is ALLOWED. 2. In view of the substantial submissions made on August 1, 2018, the August 9, 2018 hearing will begin at 1:30 p.m., rather than at 10:00 a.m. as previously ordered." ORDER entered granting (419) Motion for Extension of Time to File in case 1:11-cv-10230-MLW Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 08/08/2018)
08/08/2018	433	ELECTRONIC NOTICE Resetting Hearing on Motion *** AS TO TIME ONLY *** (302 in 1:11-cv-10230-MLW) MOTION for an Accounting, and for Clarification that the Master's Role has Concluded : Motion Hearing set for 8/9/2018 01:30 PM in Courtroom 10 before Judge Mark L. Wolf. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 08/08/2018)
08/08/2018	434	Objection to 357 Report of the Special Master by Labaton Sucharow LLP . (Lukey, Joan) (Entered: 08/08/2018)
08/08/2018	435	AFFIDAVIT in Support re 434 Objection by <i>Justin J. Wolosz</i> . (Attachments: # 1 Exhibit A, # 2 Exhibit B, # 3 Exhibit C, # 4 Exhibit D, # 5 Exhibit E, # 6 Exhibit F, # 7 Exhibit G, # 8 Exhibit H, # 9 Exhibit I, # 10 Exhibit J, # 11 Exhibit K, # 12 Exhibit L, # 13 Exhibit M, # 14 Exhibit N, # 15 Exhibit O, # 16 Exhibit P, # 17 Exhibit Q, # 18 Exhibit R, # 19 Exhibit S, # 20 Exhibit T, # 21 Exhibit U, # 22 Exhibit V, # 23 Exhibit W, # 24 Exhibit X, # 25 Exhibit Y)(Lukey, Joan) (Entered: 08/08/2018)
08/09/2018	436	MOTION to Seal <i>the Joint Motion to the Court</i> by Gerald E. Rosen. (Sinnott, William) (Entered: 08/09/2018)
08/09/2018	437	MOTION to Seal <i>the Joint Motion to the Court</i> by Gerald E. Rosen. (Sinnott, William) (Entered: 08/09/2018)
08/09/2018	440	Judge Mark L. Wolf: "This Motion is hereby ALLOWED and the submission, Docket No. 438, shall be sealed at least temporarily. Any future motions to seal, by any party, shall include a statement concerning why impoundment is justified." ENDORSED ORDER entered granting 436 Motion to Seal (Bono, Christine) (Entered: 08/09/2018)
08/09/2018	441	Judge Mark L. Wolf: "This Motion is hereby ALLOWED and the submission, Docket No. 439, shall be sealed at least temporarily. Any future motions to seal, by any party, shall include a statement concerning why impoundment is justified." ELECTRONIC ORDER entered granting 437 Motion to Seal (Bono, Christine) (Entered: 08/09/2018)

08/09/2018	444	NOTICE by Gerald E. Rosen <i>Special Master's Motion for Chambers Conference with the Court and All Counsel</i> (Sinnott, William) (Entered: 08/09/2018)
08/09/2018	450	Electronic Clerk's Notes for proceedings held before Judge Mark L. Wolf: Hearing re accounting and clarification of Special Master Role and CEI Motion to Intervene, held on 8/9/2018. (Court Reporter: Debra Joyce at joycedebra@gmail.com.)(Attorneys present: McEvoy, Sinnott, Kelly, Sharp, McTigue, Kravitz, Lukey, Fischer-Groban, Heimann, Halston, Smith, Sarko) (Bono, Christine) (Entered: 08/13/2018)
08/10/2018	445	Judge Mark L. Wolf: ORDER entered denying (302) Motion in case 1:11-cv-10230-MLW. See attached Order. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 08/10/2018)
08/10/2018	446	NOTICE by Thornton Law Firm LLP <i>Filing of Objections to Special Master's Report and Recommendations</i> (Attachments: # 1 Objections to Report and Recommendations, # 2 Ex. 1, # 3 Ex. 2, # 4 Ex. 3, # 5 Ex. 4, # 6 Ex. 5, # 7 Ex. 6, # 8 Ex. 7, # 9 Ex. 8, # 10 Ex. 9, # 11 Ex. 10, # 12 Ex. 11, # 13 Ex. 12, # 14 Ex. 13, # 15 Ex. 14, # 16 Ex. 15, # 17 Ex. 16, # 18 Ex. 17, # 19 Ex. 18, # 20 Ex. 19, # 21 Ex. 20, # 22 Ex. 21, # 23 Ex. 22, # 24 Ex. 23, # 25 Ex. 24, # 26 Ex. 25, # 27 Ex. 26)(Sharp, Joshua) (Entered: 08/10/2018)
08/13/2018	447	SEALED Transcript of Hearing held on August 9, 2018, before Judge Mark L. Wolf. Court Reporter Name and Contact Information: Debra Joyce at joycedebra@gmail.com Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Scalfani, Deborah) (Entered: 08/13/2018)
08/13/2018	448	EXCERPT Transcript of Hearing held on August 9, 2018, before Judge Mark L. Wolf. The Transcript may be purchased through the Court Reporter, viewed at the public terminal, or viewed through PACER after it is released. Court Reporter Name and Contact Information: Debra Joyce at joycedebra@gmail.com Redaction Request due 9/4/2018. Redacted Transcript Deadline set for 9/13/2018. Release of Transcript Restriction set for 11/13/2018. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Scalfani, Deborah) (Entered: 08/13/2018)
08/13/2018	449	NOTICE is hereby given that an official transcript of a proceeding has been filed by the court reporter in the above-captioned matter. Counsel are referred to the Court's Transcript Redaction Policy, available on the court website at http://www.mad.uscourts.gov/attorneys/general-info.htm Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Scalfani, Deborah) (Entered: 08/13/2018)
08/13/2018	451	RESPONSE TO COURT ORDER by Competitive Enterprise Institute (CEI) re 432 Order on Motion for Extension of Time to File,, 410 Memorandum & ORDER,,,, <i>Memorandum Amending its Motion to Participate as Guardian Ad Litem or Amicus</i> 126 . (Attachments: # 1

		Declaration of Theodore H. Frank, # 2 Exhibit A to Frank Declaration, # 3 Exhibit B to Frank Declaration, # 4 Exhibit C to Frank Declaration, # 5 Exhibit D to Frank Declaration, # 6 Exhibit E to Frank Declaration, # 7 Exhibit F to Frank Declaration, # 8 Declaration of Gary S. Peeples, # 9 Exhibit to Peeples Declaration)(Bednarz, M.) (Entered: 08/13/2018)
08/14/2018	452	Objection to 357 Report of the Special Master by Labaton Sucharow LLP <i>Second Supplemental</i> . (Lukey, Joan) (Entered: 08/14/2018)
08/14/2018	453	AFFIDAVIT in Support re 452 Objection by <i>Stuart M. Glass</i> . (Attachments: # 1 Exhibit A, # 2 Exhibit B, # 3 Exhibit C, # 4 Exhibit D, # 5 Exhibit E)(Lukey, Joan) (Entered: 08/14/2018)
08/16/2018	454	NOTICE by Gerald E. Rosen <i>Special Master's Response to Court's August 10, 2018 Order</i> (Attachments: # 1 Exhibit 1, # 2 Exhibit 2, # 3 Exhibit 3, # 4 Exhibit 4, # 5 Exhibit 5, # 6 Exhibit 6, # 7 Exhibit 7, # 8 Exhibit 8, # 9 Exhibit 9, # 10 Exhibit 10, # 11 Exhibit 11, # 12 Exhibit 12, # 13 Exhibit 13, # 14 Exhibit 14, # 15 Exhibit 15, # 16 Exhibit 16, # 17 Exhibit 17, # 18 Exhibit 18, # 19 Exhibit 19, # 20 Exhibit 20, # 21 Exhibit 21, # 22 Exhibit 22, # 23 Exhibit 23, # 24 Exhibit 24, # 25 Exhibit 25, # 26 Exhibit 26, # 27 Exhibit 27, # 28 Exhibit 28, # 29 Exhibit 29, # 30 Exhibit 30, # 31 Exhibit 31, # 32 Exhibit 32, # 33 Exhibit 33, # 34 Exhibit 34, # 35 Exhibit 35, # 36 Exhibit 36, # 37 Exhibit 37, # 38 Exhibit 38, # 39 Exhibit 39, # 40 Exhibit 40, # 41 Exhibit 41, # 42 Exhibit 42, # 43 Exhibit 43, # 44 Exhibit 44, # 45 Exhibit 45, # 46 Exhibit 46, # 47 Exhibit 47, # 48 Exhibit 48, # 49 Exhibit 49, # 50 Exhibit 50, # 51 Exhibit 51, # 52 Exhibit 52, # 53 Exhibit 53, # 54 Exhibit 54, # 55 Exhibit 55, # 56 Exhibit 56, # 57 Exhibit 57, # 58 Exhibit 58, # 59 Exhibit 59, # 60 Exhibit 60, # 61 Exhibit 61, # 62 Exhibit 62, # 63 Exhibit 63, # 64 Exhibit 64, # 65 Exhibit 65, # 66 Exhibit 66, # 67 Exhibit 67, # 68 Exhibit 68, # 69 Exhibit 69, # 70 Exhibit 70, # 71 Exhibit 71, # 72 Exhibit 72, # 73 Exhibit 73, # 74 Exhibit 74, # 75 Exhibit 75, # 76 Exhibit 76, # 77 Exhibit 77, # 78 Exhibit 78, # 79 Exhibit 79, # 80 Exhibit 80, # 81 Exhibit 81, # 82 Exhibit 82, # 83 Exhibit 83, # 84 Exhibit 84, # 85 Exhibit 85, # 86 Exhibit 86, # 87 Exhibit 87, # 88 Exhibit 88, # 89 Exhibit 89, # 90 Exhibit 90, # 91 Exhibit 91, # 92 Exhibit 92, # 93 Exhibit 93, # 94 Exhibit 94, # 95 Exhibit 95, # 96 Exhibit 96, # 97 Exhibit 97, # 98 Exhibit 98, # 99 Exhibit 99, # 100 Exhibit 100, # 101 Exhibit 101, # 102 Exhibit 102, # 103 Exhibit 103, # 104 Exhibit 104, # 105 Exhibit 105, # 106 Exhibit 106, # 107 Exhibit 107, # 108 Exhibit 108, # 109 Exhibit 109, # 110 Exhibit 110, # 111 Exhibit 111, # 112 Exhibit 112, # 113 Exhibit 113, # 114 Exhibit 114, # 115 Exhibit 115, # 116 Exhibit 116, # 117 Exhibit 117, # 118 Exhibit 118, # 119 Exhibit 119, # 120 Exhibit 120, # 121 Exhibit 121, # 122 Exhibit 122, # 123 Exhibit 123, # 124 Exhibit 124, # 125 Exhibit 125, # 126 Exhibit 126, # 127 Exhibit 127, # 128 Exhibit 128, # 129 Exhibit 129, # 130 Exhibit 130, # 131 Exhibit 131, # 132 Exhibit 132, # 133 Exhibit 133, # 134 Exhibit 134, # 135 Exhibit 135, # 136 Exhibit 136, # 137 Exhibit 137, # 138 Exhibit 138, # 139 Exhibit 139, # 140 Exhibit 140, # 141 Exhibit 141, # 142 Exhibit 142, # 143 Exhibit 143, # 144 Exhibit 144, # 145

		Exhibit 145, # 146 Exhibit 146, # 147 Exhibit 147, # 148 Exhibit 148, # 149 Exhibit 149, # 150 Exhibit 150, # 151 Exhibit 151, # 152 Exhibit 152, # 153 Exhibit 153, # 154 Exhibit 154, # 155 Exhibit 155, # 156 Exhibit 156, # 157 Exhibit 157, # 158 Exhibit 158, # 159 Exhibit 159, # 160 Exhibit 160, # 161 Exhibit 161, # 162 Exhibit 162, # 163 Exhibit 163, # 164 Exhibit 164, # 165 Exhibit 165, # 166 Exhibit 166, # 167 Exhibit 167, # 168 Exhibit 168, # 169 Exhibit 169, # 170 Exhibit 170, # 171 Exhibit 171, # 172 Exhibit 172, # 173 Exhibit 173, # 174 Exhibit 174, # 175 Exhibit 175, # 176 Exhibit 176, # 177 Exhibit 177, # 178 Exhibit 178, # 179 Exhibit 179, # 180 Exhibit 180, # 181 Exhibit 181, # 182 Exhibit 182, # 183 Exhibit 183, # 184 Exhibit 184, # 185 Exhibit 185, # 186 Exhibit 186, # 187 Exhibit 187, # 188 Exhibit 188, # 189 Exhibit 189, # 190 Exhibit 190, # 191 Exhibit 191, # 192 Exhibit 192, # 193 Exhibit 193, # 194 Exhibit 194, # 195 Exhibit 195, # 196 Exhibit 196, # 197 Exhibit 197, # 198 Exhibit 198, # 199 Exhibit 199, # 200 Exhibit 200, # 201 Exhibit 201, # 202 Exhibit 202, # 203 Exhibit 203, # 204 Exhibit 204, # 205 Exhibit 205, # 206 Exhibit 206, # 207 Exhibit 207, # 208 Exhibit 208, # 209 Exhibit 209, # 210 Exhibit 210, # 211 Exhibit 211, # 212 Exhibit 212, # 213 Exhibit 213)(Sinnott, William) (Entered: 08/16/2018)
08/16/2018	455	RESPONSE TO COURT ORDER by Arnold Henriquez, Keller Rorhback L.L.P., Labaton Sucharow LLP, Lieff Cabraser Heimann & Bernstein, LLP, McTigue Law, LLP, Gerald E. Rosen, State Street Bank & Trust Company, State Street Global Markets, LLC, Thornton Law Firm LLP re 445 Order on Motion for Miscellaneous Relief . (Lukey, Joan) (Entered: 08/16/2018)
08/16/2018	456	MOTION for Extension of Time to August 21, 2018 to File <i>Motion to Strike</i> by Labaton Sucharow LLP.(Lukey, Joan) (Entered: 08/16/2018)
08/16/2018	457	AFFIDAVIT in Support re 456 MOTION for Extension of Time to August 21, 2018 to File <i>Motion to Strike</i> by <i>Justin J. Wolosz</i> . (Lukey, Joan) (Entered: 08/16/2018)
08/21/2018	458	MOTION to Strike <i>the Cover Memorandum to the Master's First Submission of Documents to Supplement the Record</i> by Labaton Sucharow LLP.(Lukey, Joan) (Entered: 08/21/2018)
08/21/2018	459	MEMORANDUM in Support re 458 MOTION to Strike <i>the Cover Memorandum to the Master's First Submission of Documents to Supplement the Record</i> filed by Labaton Sucharow LLP. (Lukey, Joan) (Entered: 08/21/2018)
08/28/2018	460	Judge Mark L. Wolf: "...[I]t is hereby ORDERED that: 1. The documents listed in the Report Pursuant to Paragraph 5(c) of the Court's August 10, 2018 Order (Docket No. 455), which are numbered 1 through 12, are UNSEALED. 2. Lieff's Motion to Impound One Exhibit (Docket No. 373) is ALLOWED. 3. Thornton's Motion to Impound (Docket No. 360) as modified by Thornton's Notice of Filing Objections (Docket No. 446) is ALLOWED. 4. Labaton's Motion for Extension of Time to File Motion to Strike (Docket No. 456) is ALLOWED. Labaton's Motion to

		Strike Cover Memorandum (Docket No. 458) is DENIED. Labaton may, by September 7, 2018, file a response to the Cover Memorandum. 5. The Master and the Lawyers shall confer and, by September 6, 2018, report, jointly if possible but separately if necessary, on whether they have agreed to a proposal to resolve some or all of the issues in dispute in this matter, or request additional time to do so. After reviewing this submission, the court will, if necessary, establish a schedule for the Master to respond to the objections to the Report and for the Lawyers to submit replies. 6. CEI's Motion for Leave to Participate as Guardian Ad Litem for the Class (Docket No. 126) shall remain under advisement." ORDER entered granting (360) Motion to Seal; granting (373) Motion ; granting (456) Motion for Extension of Time to File; denying (458) Motion to Strike in case 1:11-cv-10230-MLW Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 08/28/2018)
08/28/2018	461	Judge Mark L. Wolf: "...[I]t is hereby ORDERED that: 1. Any objection to the issuance of such an order, and the reasons for it, shall be filed by September 7, 2018. 2. If one or more objections are filed, the Master shall respond by September 17, 2018." ORDER entered. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 08/28/2018)
09/05/2018	462	MOTION to Withdraw as Attorney by Labaton Sucharow LLP.(Glass, Stuart) (Entered: 09/05/2018)
09/06/2018	463	NOTICE by Gerald E. Rosen <i>Joint Response to Court's August 28, 2018 Order</i> (Sinnott, William) (Entered: 09/06/2018)
09/06/2018	464	RESPONSE TO COURT ORDER by Thornton Law Firm LLP . (Sharp, Joshua) (Entered: 09/06/2018)
09/07/2018	465	Judge Mark L. Wolf: ORDER entered. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 09/07/2018)
09/18/2018	466	RESPONSE TO COURT ORDER by Lieff Cabraser Heimann & Bernstein, LLP re 461 Order, . (Heimann, Richard) (Entered: 09/18/2018)
09/18/2018	467	RESPONSE TO COURT ORDER by Thornton Law Firm LLP <i>Response to Proposed Order Regarding Additional Funds for Special Master.</i> (Sharp, Joshua) (Entered: 09/18/2018)
09/18/2018	468	NOTICE by Gerald E. Rosen <i>Special Master's Response to Court's September 7, 2018 Order</i> (Sinnott, William) (Entered: 09/18/2018)
09/20/2018	469	Letter/request (non-motion) from William F. Sinnott, Counsel to the Special Master . (Sinnott, William) (Entered: 09/20/2018)
09/21/2018	470	Judge Mark L. Wolf: "...[I]t is hereby ORDERED that: 1. By October 2, 2018: (a) The Master, Labaton, and counsel for the ERISA class shall file, for the public record, their agreement for a proposed resolution

		concerning matters relating to Labaton and/or to counsel for the ERISA class. If they believe there is a valid basis to seal limited information included in the submission(s), they shall file a motion to seal, see L.R. 7.2, and a redacted version of the submission(s) for the public record. (b) The Master may respond to the objection of Lieff and Thornton to possibly sharing responsibility with Labaton for the proposed additional \$750,000 payment to fund the Master's work. 2. Lieff and Thornton shall, by October 9, 2018, submit any additional information or argument in support of their objections to possibly sharing responsibility for the proposed payment. 3. A hearing to address pending issues and to schedule future events shall be held on October 15, 2018, at 2:00 p.m. Lawrence Sucharow, Esq., of Labaton and George Hopkins, Executive Director of Arkansas Teachers Retirement System, shall attend." ORDER entered. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 09/21/2018)
09/21/2018	471	ELECTRONIC NOTICE of Hearing. Scheduling Conference set for 10/15/2018 02:00 PM in Courtroom 10 before Judge Mark L. Wolf. See Order 470 Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 09/21/2018)
09/25/2018	472	Judge Mark L. Wolf: "...[I]t is hereby ORDERED that the attached Cover Memorandum, Docket No. 423 (under seal), is UNSEALED and made part of the public record." ORDER entered. (Attachments: # 1 Cover Memorandum)Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 09/25/2018)
09/25/2018	473	MOTION to Continue the hearing currently scheduled for October 15, 2018 to October 18, 2018 or later by Lieff Cabraser Heimann & Bernstein, LLP.(Chiplock, Daniel) (Entered: 09/25/2018)
09/25/2018	474	Opposition re 473 MOTION to Continue the hearing currently scheduled for October 15, 2018 to October 18, 2018 or later filed by Labaton Sucharow LLP. (Lukey, Joan) (Entered: 09/25/2018)
09/26/2018	475	Judge Mark L. Wolf: "As the court is not available on October 29 or November 1, 2018, this motion is hereby DENIED. Mr. Heimann may participate by telephone if Mr. Fineman attends the hearing." ENDORSED ORDER entered denying 473 Motion to Continue (Bono, Christine) (Entered: 09/26/2018)
10/01/2018	478	MOTION by Gerald E. Rosen <i>Special Master's Motion to Appear in Court at October 15, 2018 Hearing</i> (Sinnott, William) Modified on 10/3/2018 to indicate correct event type. "NOTICE" changed to "MOTION". (Bono, Christine). (Entered: 10/01/2018)
10/02/2018	479	Joint MOTION for Extension of Time <i>to Extend the Deadline for Filing the Proposed Partial Resolution of Issues for the Court's Consideration</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 10/02/2018)
10/03/2018	480	Judge Mark L. Wolf: "ALLOWED. The Master shall, by October 9,

		2018, file a further report." ENDORSED ORDER entered granting 479 Motion for Extension of Time (Bono, Christine) (Entered: 10/03/2018)
10/03/2018	481	Judge Mark L. Wolf: "ALLOWED." ENDORSED ORDER entered granting 478 Motion (Bono, Christine) (Entered: 10/03/2018)
10/05/2018	482	MOTION for Extension of Time to October 11, 2018 to Object to Sharing in Additional Proposed Payment to Special Master by Lief Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP. (Heimann, Richard) (Entered: 10/05/2018)
10/08/2018	483	Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 482 Motion for Extension of Time (Bono, Christine) (Entered: 10/08/2018)
10/10/2018	484	MOTION by Gerald E. Rosen <i>Assented to Motion for Filing Late the Proposed Partial Resolution of Issues for the Court's Consideration</i> (Sinnott, William) Modified on 10/10/2018 to indicate correct event type. "NOTICE" changed to "MOTION". (Bono, Christine). (Entered: 10/10/2018)
10/10/2018	485	NOTICE by Gerald E. Rosen <i>Special Master's Supplement to His Report and Recommendations and Proposed Partial Resolution of Issues for the Court's Consideration</i> (Attachments: # 1 Exhibit A)(Sinnott, William) (Entered: 10/10/2018)
10/11/2018	486	NOTICE by Gerald E. Rosen <i>Special Master's Response to Objections of Lief Cabraser and Thornton Law Firm to Sharing Responsibility with Labaton for Payment of an Additional \$750,000</i> (Sinnott, William) (Entered: 10/11/2018)
10/11/2018	487	Judge Mark L. Wolf: "ALLOWED. However, any future motion(s) for an extension by any party shall be filed sufficiently in advance of the deadline to permit compliance if the motion is denied." ENDORSED ORDER entered granting 484 Motion (Bono, Christine) (Entered: 10/11/2018)
10/11/2018	488	Judge Mark L. Wolf: "It is hereby ORDERED that the Competitive Enterprise Institute shall, by October 12, 2018, state whether it wishes to participate in the October 15, 2018 hearing in person or, if necessary, by telephone." ORDER entered. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 10/11/2018)
10/11/2018	489	<i>Informational</i> Letter/request (non-motion) from George Hopkins . (Hoopes, Thomas) (Entered: 10/11/2018)
10/11/2018	490	Objection by Lief Cabraser Heimann & Bernstein, LLP - <i>Objection to Sharing Responsibility With Labaton Sucharow LLP For the Proposed Additional Payment to the Special Master, And To Any Further Payments Absent A Full And Final Accounting.</i> (Heimann, Richard) (Entered: 10/11/2018)
10/11/2018	491	RESPONSE TO COURT ORDER by Thornton Law Firm LLP <i>Supplemental Response to Proposed Order Regarding Additional Funds</i>

		<i>for Special Master.</i> (Sharp, Joshua) (Entered: 10/11/2018)
10/12/2018	492	RESPONSE TO COURT ORDER by Competitive Enterprise Institute (CEI) re 488 Order, . (Frank, Theodore) (Entered: 10/12/2018)
10/15/2018	493	Electronic Clerk's Notes for proceedings held before Judge Mark L. Wolf: Scheduling Conference held on 10/15/2018. Parties shall order transcript on an expedited basis. Next hearing on pending issues and scheduling shall take place 11/7/2018 at 10:00 AM. Separate Order shall issue. (Court Reporter: Kelly Mortellite at mortellite@gmail.com.) (Attorneys present: Sinnott, McEvoy, McTigue, Wolosz, Kelly, Kravitz, Paine, Halston, Sharp, Fineman, Keller, Axelrod, Canty, Heimann, Frank) (Bono, Christine) (Entered: 10/16/2018)
10/16/2018	494	Judge Mark L. Wolf: ORDER entered. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 10/16/2018)
10/17/2018	495	ELECTRONIC NOTICE OF RESCHEDULING (AS TO TIME ONLY). Hearing set per Order 494 for 11/7/2018 02:00 PM in Courtroom 10 before Judge Mark L. Wolf. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 10/17/2018)
10/18/2018	496	Transcript of Hearing held on October 15, 2018, before Judge Mark L. Wolf. The Transcript may be purchased through the Court Reporter, viewed at the public terminal, or viewed through PACER after it is released. Court Reporter Name and Contact Information: Kelly Mortellite at mortellite@gmail.com Redaction Request due 11/8/2018. Redacted Transcript Deadline set for 11/19/2018. Release of Transcript Restriction set for 1/16/2019. (Scalfani, Deborah) (Entered: 10/18/2018)
10/18/2018	497	NOTICE is hereby given that an official transcript of a proceeding has been filed by the court reporter in the above-captioned matter. Counsel are referred to the Court's Transcript Redaction Policy, available on the court website at http://www.mad.uscourts.gov/attorneys/general-info.htm (Scalfani, Deborah) (Entered: 10/18/2018)
10/18/2018	498	RESPONSE TO COURT ORDER by Labaton Sucharow LLP re 494 Order <i>Submitting Declaration of Michael Canty</i> . (Attachments: # 1 Exhibit 1 - Declaration of Michael Canty, # 2 Exhibit A, # 3 Exhibit B, # 4 Exhibit C)(Lukey, Joan) (Entered: 10/18/2018)
10/22/2018	499	Letter/request (non-motion) from Hon. Gerald E. Rosen (Ret.), Special Master . (Sinnott, William) (Entered: 10/22/2018)
10/24/2018	500	Joint MOTION for Extension of Time <i>for Filing Special Master's Memorandum in Support of His Supplement to His Report and Recommendations and Proposed Partial Resolution of Issues</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 10/24/2018)
10/25/2018	501	RECEIPT: Receipt # 740006 for monies received on 10/24/2018 in amount of \$ 1,000,000.00, re: (90 in 1:12-cv-11698-MLW) Order.

		Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Adam, Lucien) (Entered: 10/25/2018)
10/25/2018	502	Judge Mark L. Wolf: ELECTRONIC ORDER entered granting (500) Motion for Extension of Time in case 1:11-cv-10230-MLW. No further extension will be granted. Responses by Lieff Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP, and, if it wishes, the Competitive Enterprise Institute, shall be filed by 12:00 noon on November 5, 2018. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 10/25/2018)
10/25/2018	503	NOTICE by Gerald E. Rosen <i>Special Master's Report Concerning Remaining Objections Raised by Lieff Cabraser Heimann & Bernstein and The Thornton Law Firm</i> (Sinnott, William) (Entered: 10/25/2018)
10/25/2018	504	RESPONSE TO COURT ORDER by Thornton Law Firm LLP re 494 Order . (Sharp, Joshua) (Entered: 10/25/2018)
10/25/2018	505	RESPONSE TO COURT ORDER by Lieff Cabraser Heimann & Bernstein, LLP re 494 Order . (Heimann, Richard) (Entered: 10/25/2018)
10/25/2018	506	RESPONSE TO COURT ORDER by Labaton Sucharow LLP re 494 Order <i>Second Submission of Declarations</i> . (Attachments: # 1 Exhibit 1 - Declaration of Christopher J. Keller, # 2 Exhibit 2 - Declaration of Eric J. Belfi)(Lukey, Joan) (Entered: 10/25/2018)
10/26/2018	507	MOTION for Leave to Appear Pro Hac Vice for admission of Gary S. Peeples Filing fee: \$ 100, receipt number 0101-7383642 by Competitive Enterprise Institute (CEI). (Attachments: # 1 Declaration of Gary S. Peeples)(Bednarz, M.) (Entered: 10/26/2018)
10/30/2018	508	Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 507 Motion for Leave to Appear Pro Hac Vice Added Gary S. Peeples. Attorneys admitted Pro Hac Vice must register for electronic filing if the attorney does not already have an ECF account in this district. To register go to the Court website at www.mad.uscourts.gov. Select Case Information, then Electronic Filing (CM/ECF) and go to the CM/ECF Registration Form. (Montes, Mariliz) (Entered: 10/30/2018)
10/30/2018	509	MEMORANDUM OF LAW by Keller Rorhback L.L.P., McTigue Law, LLP, Zuckerman Spaeder, LLP to 485 Notice (Other). (Gerber, Laura) (Entered: 10/30/2018)
10/30/2018	510	MEMORANDUM OF LAW by Labaton Sucharow LLP to 485 Notice (Other). (Attachments: # 1 Affidavit of Support by Justin J. Wolosz, # 2 Exhibit A)(Lukey, Joan) (Entered: 10/30/2018)
10/30/2018	511	NOTICE by Gerald E. Rosen <i>Special Master's Memorandum in Support of His Supplement to His Report and Proposed Partial Resolution of Issues for the Court's Consideration</i> (Attachments: # 1 Exhibit A) (Sinnott, William) (Entered: 10/30/2018)
11/02/2018	512	RESPONSE TO COURT ORDER by Labaton Sucharow LLP re 494

		Order <i>Supplemental Declaration of Michael P. Canty</i> . (Attachments: # 1 Affidavit of Michael Canty)(Lukey, Joan) (Entered: 11/02/2018)
11/05/2018	513	<i>Response to the Proposed Partial Resolution of Issues</i> Response by Lief Cabraser Heimann & Bernstein, LLP to 485 Notice (Other) . (Heimann, Richard) (Entered: 11/05/2018)
11/05/2018	514	<i>Response to the Proposed Partial Resolution of Issues</i> Response by Thornton Law Firm LLP to 485 Notice (Other) . (Sharp, Joshua) (Entered: 11/05/2018)
11/05/2018	515	<i>Response to the Proposed Partial Resolution</i> Response by Competitive Enterprise Institute (CEI) to 485 Notice (Other) . (Bednarz, M.) (Entered: 11/05/2018)
11/05/2018	516	Judge Mark L. Wolf: "...[I]t is hereby ORDERED that: 1. Judge Brown shall attend the hearing on November 7, 2018, at 2:00 p.m. He shall be prepared to discuss the Cover Memorandum to the Special Master's First Submission of Documents to Supplement the Record (Docket No. 423). 2. Judge Holderman is no longer ordered to attend the hearing on November 7, 2018, at 2:00 p.m." ORDER entered. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW (Bono, Christine) (Entered: 11/05/2018)
11/07/2018	517	Electronic Clerk's Notes for proceedings held before Judge Mark L. Wolf: Scheduling Conference and hearing on pending issues held on 11/7/2018. Exhibits 1 - 10 marked (#1, D.E. 423; #2, D.E. 423-5; #3 D.E. 423-8; #4, D.E. 423-109; #5, D.E. 423-10; #6, D.E. 423-12; #7 D.E. 423-13; #8, D.E. 423-14; #9, D.E. 423-17; and #10, D.E. 423-174.) Separate Order shall issue. (Court Reporter: Kelly Mortellite at mortellite@gmail.com.)(Attorneys present: Sinnott, McEvoy, Lukey, Wolosz, Kelly, Sharp, Heimann, Bednarz, McTigue, Paine, Kravitz, Gerber, Halston) Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 11/08/2018)
11/08/2018	518	Judge Mark L. Wolf: ORDER entered. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 11/08/2018)
11/13/2018	519	Transcript of Hearing held on November 7, 2018, before Judge Mark L. Wolf. The Transcript may be purchased through the Court Reporter, viewed at the public terminal, or viewed through PACER after it is released. Court Reporter Name and Contact Information: Kelly Mortellite at mortellite@gmail.com Redaction Request due 12/4/2018. Redacted Transcript Deadline set for 12/14/2018. Release of Transcript Restriction set for 2/11/2019. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Scalfani, Deborah) (Entered: 11/13/2018)
11/13/2018	520	NOTICE is hereby given that an official transcript of a proceeding has been filed by the court reporter in the above-captioned matter. Counsel are referred to the Court's Transcript Redaction Policy, available on the

		court website at http://www.mad.uscourts.gov/attorneys/general-info.htm Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Scalfani, Deborah) (Entered: 11/13/2018)
11/19/2018	521	NOTICE by Thornton Law Firm LLP <i>Notice of All Parties' Availability for Hearing</i> (Sharp, Joshua) (Entered: 11/19/2018)
11/20/2018	522	MEMORANDUM OF LAW by Competitive Enterprise Institute (CEI) to 518 Order. (Attachments: # 1 Declaration of M. Frank Bednarz) (Bednarz, M.) (Entered: 11/20/2018)
11/20/2018	523	NOTICE by Gerald E. Rosen <i>Special Master's Further Response to the Court's Directives at the November 7, 2018 Hearing and in its November 8, 2018 Order</i> (Sinnott, William) (Entered: 11/20/2018)
11/20/2018	524	NOTICE by Gerald E. Rosen <i>Special Master's Partially Revised Report & Recommendations Submitted in Response to Lieff Cabraser Heimann & Bernstein's Objections</i> (Sinnott, William) (Entered: 11/20/2018)
11/20/2018	525	NOTICE by Gerald E. Rosen <i>Special Master's Partially Revised Report & Recommendations Submitted in Response to Thornton Law Firm's Objections</i> (Attachments: # 1 Exhibit A, # 2 Exhibit B)(Sinnott, William) (Entered: 11/20/2018)
11/20/2018	526	EXHIBIT re 523 Notice (Other) <i>Exhibits A-C to Special Master's Further Response to the Court's Directives at the November 7, 2018 Hearing and in its November 8, 2018 Order</i> by Gerald E. Rosen. (Attachments: # 1 Exhibit B, # 2 Exhibit C)(Sinnott, William) (Entered: 11/20/2018)
11/30/2018	527	RESPONSE TO COURT ORDER by Labaton Sucharow LLP re 494 Order . (Attachments: # 1 Exhibit 1 - Declaration of Eric J. Belfi, # 2 Exhibit 2 - Declaration of Christopher J. Keller)(Lukey, Joan) (Entered: 11/30/2018)
12/17/2018	528	MOTION to Unseal Document <i>Motion For Partial Unsealing of Evan Hoffman's Deposition</i> by Thornton Law Firm LLP.(Sharp, Joshua) (Entered: 12/17/2018)
12/17/2018	529	Judge Mark L. Wolf: ENDORSED ORDER entered granting 528 Motion to Unseal Document (Bono, Christine) (Entered: 12/17/2018)
12/18/2018	530	NOTICE by Thornton Law Firm LLP re 525 Notice (Other) <i>Sur-Reply in Support of Objections to the Special Master's Report and Recommendations</i> (Attachments: # 1 Affidavit of Evan Hoffman, # 2 Affidavit of Emily Harlan, # 3 Affidavit of Joshua Sharp, # 4 Affidavit of Brianna Nassif - PART 1, # 5 Affidavit of Brianna Nassif - PART 2, # 6 Affidavit of Brianna Nassif - PART 3, # 7 Affidavit of Brianna Nassif - PART 4)(Sharp, Joshua) (Entered: 12/18/2018)
12/18/2018	531	RESPONSE TO COURT ORDER by Labaton Sucharow LLP re 518 Order . (Attachments: # 1 Exhibit 1 - Un-Redacted Document)(Lukey, Joan) (Entered: 12/18/2018)

12/18/2018	532	MEMORANDUM OF LAW by Labaton Sucharow LLP, Lief Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP. (Attachments: # 1 Affidavit of William B. Rubenstein, # 2 Exhibit 1 - Declaration of Brian T. Fitzpatrick)(Lukey, Joan) (Entered: 12/18/2018)
12/18/2018	533	Objection to 524 Notice (Other) by Lief Cabraser Heimann & Bernstein, LLP , to the <i>Special Master's Partially Revised Report and Recommendations</i> . (Attachments: # 1 Affidavit of Richard M. Heimann) (Heimann, Richard) (Entered: 12/18/2018)
12/18/2018	534	Response by Lief Cabraser Heimann & Bernstein, LLP to 522 Memorandum of Law by CEI Propounding an Alternative Fee Award; and in Response to the Court's Inquiry Regarding Lief Cabraser's Hourly Rates. (Heimann, Richard) (Entered: 12/18/2018)
12/28/2018	535	Response by Keller Rorhback L.L.P. to 530 Notice (Other), <i>Thornton Law Firm LLP's Sur-Reply in Support of Its Objections to the Special Master's Report and Recommendations</i> . (Gerber, Laura) (Entered: 12/28/2018)
01/08/2019	536	RESPONSE TO COURT ORDER by Labaton Sucharow LLP re 518 Order <i>Submission of Phase I Report of the Honorable Garrett E. Brown, Jr. (Ret.)</i> . (Attachments: # 1 Hon. Garrett E. Brown Phase I Report, # 2 Exhibit A, # 3 Exhibit B, # 4 Exhibit C, # 5 Exhibit D, # 6 Exhibit E, # 7 Exhibit F, # 8 Exhibit G, # 9 Exhibit H, # 10 Exhibit I, # 11 Exhibit J) (Lukey, Joan) (Entered: 01/08/2019)
02/06/2019	539	RESPONSE TO COURT ORDER by Labaton Sucharow LLP re 518 Order <i>Submission of Amended Phase I Report of the Honorable Garrett E. Brown, Jr. (Ret.)</i> . (Attachments: # 1 Exhibit 1 - Amended Phase I Report, # 2 Exhibit K to Amended Phase I Report)(Lukey, Joan) (Entered: 02/06/2019)
04/22/2019	540	MOTION to Substitute Party or Alternatively, to Allow Withdrawal of <i>Competitive Enterprise Institute and Participation of Hamilton Lincoln Law Institute</i> by Competitive Enterprise Institute (CEI).(Bednarz, M.) (Entered: 04/22/2019)
05/17/2019	541	Judge Mark L. Wolf: "A hearing will begin on June 24, 2019, at 10:00 a.m., and continue as necessary on June 25 and June 26, 2019, to address objections by Lief Cabraser Heimann & Bernstein, LLP ("Lief") and Thornton Law Firm LLP ("Thornton") to the Special Master's Report and Recommendations, and other pending issues. The court intends to issue another order with an agenda for that hearing." ORDER entered. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 05/17/2019)
05/17/2019	542	ELECTRONIC NOTICE of Hearing.Hearing set for 6/24/2019 - 6/26/2019 10:00 AM in Courtroom 10 before Judge Mark L. Wolf. See 541 . Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Bono, Christine) (Entered: 05/17/2019)

05/31/2019	543	Judge Mark L. Wolf: ORDER entered. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Loret, Magdalena) (Entered: 05/31/2019)
05/31/2019	544	Judge Mark L. Wolf: ORDER entered. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Loret, Magdalena) (Entered: 05/31/2019)
06/07/2019	545	MOTION for Clarification re 543 Order <i>Regarding Participation by Center for Class Action Fairness</i> by Competitive Enterprise Institute (CEI). (Attachments: # 1 Affidavit M. Frank Bednarz, # 2 Exhibit A, # 3 Exhibit B)(Bednarz, M.) (Entered: 06/07/2019)
06/11/2019	546	MOTION for Leave to Call Additional Witness at the June 24-26 Hearing by Labaton Sucharow LLP, Lieff Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP.(Heimann, Richard) (Entered: 06/11/2019)
06/13/2019	547	Judge Mark L. Wolf: ELECTRONIC ORDER entered granting (540) Motion to Substitute Party in case 1:11-cv-10230-MLW Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Loret, Magdalena) (Entered: 06/13/2019)
06/13/2019	548	Judge Mark L. Wolf: ELECTRONIC ORDER entered granting (545) Motion for Clarification re (543 in 1:11-cv-10230-MLW) Order in case 1:11-cv-10230-MLW Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Loret, Magdalena) (Entered: 06/13/2019)
06/13/2019	549	Judge Mark L. Wolf: ORDER entered re (546 in 1:11-cv-10230-MLW) MOTION for Leave to Call Additional Witness at the June 24-26 Hearing filed by Lieff Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP, Labaton Sucharow LLP Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Loret, Magdalena) (Entered: 06/13/2019)
06/17/2019	550	AFFIDAVIT of Brian T. Fitzpatrick in Support re 546 MOTION for Leave to Call Additional Witness at the June 24-26 Hearing filed by Arkansas Teacher Retirement System. (Attachments: # 1 Exhibit 1 - CV of Brian T. Fitzpatrick)(Heimann, Richard) (Entered: 06/17/2019)
06/18/2019	551	MOTION for Leave to File <i>Amicus Curiae response in opposition</i> by Hamilton Lincoln Law Institute. (Attachments: # 1 Proposed Amicus Brief)(Bednarz, M.) (Entered: 06/18/2019)
06/19/2019	552	Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 551 Motion for Leave to File Document; Counsel using the Electronic Case Filing System should now file the document for which leave to file has been granted in accordance with the CM/ECF Administrative Procedures. Counsel must include - Leave to file granted on (date of order)- in the caption of the document. (Loret, Magdalena) (Entered: 06/19/2019)

06/19/2019	553	Opposition re 546 MOTION for Leave to Call Additional Witness at the June 24-26 Hearing <i>and Alternative Motion to Require Compliance with Rule 26 (leave to file granted on June 19, 2019)</i> filed by Hamilton Lincoln Law Institute. (Bednarz, M.) (Entered: 06/19/2019)
06/20/2019	554	Judge Mark L. Wolf: ORDER entered denying (546) Motion for Leave to Call Additional Witness at the June 24-26 Hearing in case 1:11-cv-10230-MLW. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Loret, Magdalena) (Entered: 06/20/2019)
06/21/2019	555	Judge Mark L. Wolf: ORDER entered re: Hearing scheduled to begin 6/24/2019. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Loret, Magdalena) (Entered: 06/21/2019)
06/24/2019	557	Electronic Clerk's Notes for proceedings held before Judge Mark L. Wolf: Evidentiary Hearing re: Objections to Special Master's Report and Recommendations and related issues held on 6/24/2019. Special Master Rosen, J. present. Brown, J. present. Witnesses sequestered. Award of Attorneys' Fees vacated. Exhibits A-F marked for identification. Hearing continued to 6/25/19 at 10:30 a.m. Parties directed to order transcript. (Court Reporter: Debra Joyce at joycedebra@gmail.com.)(Attorneys present: Rosen, J., McEvoy, Sinnott, McDonnell, Kravitz, Smith, McTigue, Gerber, Sarko, Bednarz, Paine, Lukey, Wolosz, Kelly, Sharp, Heimann, Chiplock, Halston) Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Loret, Magdalena) (Entered: 06/27/2019)
06/25/2019	556	ELECTRONIC NOTICE OF RESCHEDULING***AS TO TIME ONLY***Hearing re: Objections to Special Master's Report and Recommendations and other pending issues, set for 6/25/2019 at 10:30 AM in Courtroom 10 before Judge Mark L. Wolf. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW (Loret, Magdalena) (Entered: 06/25/2019)
06/25/2019	558	Electronic Clerk's Notes for proceedings held before Judge Mark L. Wolf: Evidentiary Hearing re: Objections to Special Master's Report and Recommendations and related issues held on 6/25/2019. Special Master Rosen, J. present. Brown, J. present. Exhibits 1-9 admitted. Witnesses Garrett Bradley and Evan Hoffman called. Witnesses sworn and give testimony. Hearing continued to 6/26/19. (Court Reporter: Debra Joyce at joycedebra@gmail.com.)(Attorneys present: Rosen, J., McEvoy, Sinnott, McDonnell, Kravitz, McTigue, Gerber, Sarko, Bednarz, Lukey, Wolosz, Kelly, Sharp, Heimann, Chiplock) Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Loret, Magdalena) (Entered: 06/27/2019)
06/26/2019	559	Electronic Clerk's Notes for proceedings held before Judge Mark L. Wolf: Evidentiary Hearing re: Objections to Special Master's Report and Recommendations and related issues held on 6/26/2019. Special Master Rosen, J. present. Brown, J. present. Exhibits 10-19 admitted. Witnesses Eric Belfi, Christopher Keller, Michael Thornton, Michael Lesser, Michael Bradley called. Witnesses sworn and give testimony. Garrett

		Brown, J. offers testimony re: his Phase I Report and Amended Phase I Report. Parties have until 7/10/19 to make any supplemental filings. (Court Reporter: Kelly Mortellite at mortellite@gmail.com.)(Attorneys present: Rosen, J., McEvoy, Sinnott, McDonnell, Kravitz, McTigue, Gerber, Sarko, Bednarz, Lukey, Wolosz, Kelly, Sharp, Heimann, Chiplock) Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Loret, Magdalena) (Entered: 06/27/2019)
06/26/2019	571	Exhibit and Witness List from hearings held on 6/24/19, 6/25/19 and 6/26/19. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Loret, Magdalena) (Entered: 07/03/2019)
06/27/2019	560	Transcript of Hearing held on June 24, 2019, before Judge Mark L. Wolf. The Transcript may be purchased through the Court Reporter, viewed at the public terminal, or viewed through PACER after it is released. Court Reporter Name and Contact Information: Debra Joyce at joycedebra@gmail.com Redaction Request due 7/18/2019. Redacted Transcript Deadline set for 7/29/2019. Release of Transcript Restriction set for 9/25/2019. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Scalfani, Deborah) (Entered: 06/27/2019)
06/27/2019	561	NOTICE is hereby given that an official transcript of a proceeding has been filed by the court reporter in the above-captioned matter. Counsel are referred to the Court's Transcript Redaction Policy, available on the court website at http://www.mad.uscourts.gov/attorneys/general-info.htm Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Scalfani, Deborah) (Entered: 06/27/2019)
06/27/2019	562	Response by Labaton Sucharow LLP, Lieff Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP to Court's Request Regarding Fee Award Calculation. (Attachments: # 1 Exhibit A - Added to Customer Class Counsels' Share)(Wolosz, Justin) (Entered: 06/27/2019)
06/27/2019	563	RESPONSE TO COURT ORDER by Keller Rorhback L.L.P., McTigue Law, LLP, Zuckerman Spaeder, LLP . (Sarko, Lynn) (Entered: 06/27/2019)
06/28/2019	564	Judge Mark L. Wolf: ORDER entered. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW (Loret, Magdalena) (Entered: 06/28/2019)
07/01/2019	565	Transcript of Hearing - Day Two held on June 25, 2019, before Judge Mark L. Wolf. The Transcript may be purchased through the Court Reporter, viewed at the public terminal, or viewed through PACER after it is released. Court Reporter Name and Contact Information: Debra Joyce at joycedebra@gmail.com Redaction Request due 7/22/2019. Redacted Transcript Deadline set for 8/1/2019. Release of Transcript Restriction set for 9/30/2019. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Scalfani, Deborah) (Entered: 07/01/2019)
07/01/2019	566	Transcript of Hearing - Day Three held on June 26, 2019, before Judge

		Mark L. Wolf. The Transcript may be purchased through the Court Reporter, viewed at the public terminal, or viewed through PACER after it is released. Court Reporter Name and Contact Information: Kelly Mortellite at mortellite@gmail.com Redaction Request due 7/22/2019. Redacted Transcript Deadline set for 8/1/2019. Release of Transcript Restriction set for 9/30/2019. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Scalfani, Deborah) (Entered: 07/01/2019)
07/01/2019	567	NOTICE is hereby given that an official transcript of a proceeding has been filed by the court reporter in the above-captioned matter. Counsel are referred to the Court's Transcript Redaction Policy, available on the court website at http://www.mad.uscourts.gov/attorneys/general-info.htm Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Scalfani, Deborah) (Entered: 07/01/2019)
07/02/2019	568	MOTION for Extension of Time to <i>Submit Memoranda</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 07/02/2019)
07/02/2019	569	Judge Mark L. Wolf: ELECTRONIC ORDER entered granting (568) Motion for Extension of Time to submit memoranda on the implications of the June 24, 25 and 26 hearings to July 17, 2019 in case 1:11-cv-10230-MLW. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Loret, Magdalena) (Entered: 07/02/2019)
07/02/2019	570	Amended MOTION for Extension of Time to <i>Submit Memoranda</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 07/02/2019)
07/03/2019	572	Judge Mark L. Wolf: ELECTRONIC ORDER entered granting (570) Amended Motion for Extension of Time to submit memoranda on the implications of the June 24, 25 and 26, 2019 hearings to July 17, 2019 in case 1:11-cv-10230-MLW Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Loret, Magdalena) (Entered: 07/03/2019)
07/03/2019	573	Joint MOTION for Clarification re 569 Order on Motion for Extension of Time, <i>and if Needed, for an Extension of Time to Submit Memoranda in Response to the Court's June 28, 2019 Order 564</i> by Hamilton Lincoln Law Institute, Keller Rorhback L.L.P., Labaton Sucharow LLP, Lief Cabraser Heimann & Bernstein, LLP, McTigue Law, LLP, Thornton Law Firm LLP, Zuckerman Spaeder, LLP.(Wolosz, Justin) (Entered: 07/03/2019)
07/03/2019	574	Judge Mark L. Wolf: ELECTRONIC ORDER entered granting (573) Motion for Clarification in case 1:11-cv-10230-MLW. ALLOWED. The extension of time to July 17, 2019 shall also apply to Moving Counsel. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Loret, Magdalena) Modified on 7/3/2019 (Garvin, Brendan). (Entered: 07/03/2019)
07/16/2019	575	MOTION to Seal Document <i>Motion to File Documents Ex Parte and Under Seal As Exhibits to Response to June 28, 2019 Court Order</i> by Thornton Law Firm LLP.(Sharp, Joshua) (Entered: 07/16/2019)

07/16/2019	576	Judge Mark L. Wolf: ELECTRONIC ORDER entered granting (575) Motion to Seal Document in case 1:11-cv-10230-MLW. ALLOWED, without prejudice to possible future unsealing in response to a motion to unseal or if the court determines that sealing is not justified. In any event, redacted copies shall be filed for the public record, if possible. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Loret, Magdalena) (Entered: 07/16/2019)
07/17/2019	577	RESPONSE TO COURT ORDER by Lieff Cabraser Heimann & Bernstein, LLP re 564 Order . (Attachments: # 1 Declaration of Daniel P. Chiplock, # 2 Exhibit A - Corrected Lodestar, # 3 Exhibit B - Agency Lodestar Assumption)(Heimann, Richard) (Entered: 07/17/2019)
07/17/2019	578	RESPONSE TO COURT ORDER by Thornton Law Firm LLP re 564 Order . (Attachments: # 1 Exhibit A [REDACTED], # 2 Exhibit B [REDACTED])(Sharp, Joshua) (Additional attachment(s) added on 7/22/2019: # 3 Exhibit A (unredacted version), # 4 Exhibit B (unredacted version)) (Montes, Mariliz). (Entered: 07/17/2019)
07/17/2019	579	RESPONSE TO COURT ORDER by Labaton Sucharow LLP <i>Submission of Labaton Sucharow LLP in Response to the Court's June 28, 2019 Order.</i> (Lukey, Joan) (Entered: 07/17/2019)
07/17/2019	580	RESPONSE TO COURT ORDER by Keller Rorhback L.L.P., McTigue Law, LLP, Zuckerman Spaeder, LLP re 564 Order . (Attachments: # 1 Exhibit A, # 2 Exhibit B, # 3 Exhibit C)(Sarko, Lynn) (Entered: 07/17/2019)
07/17/2019	581	DECLARATION re 579 Response to Court Order <i>Transmittal Declaration of Justin J. Wolosz in Support of the Submission of Labaton Sucharow LLP in Response to the Court's June 28, 2019 Order</i> by Labaton Sucharow LLP. (Attachments: # 1 Exhibit 1)(Wolosz, Justin) (Entered: 07/17/2019)
07/17/2019	582	MEMORANDUM in Support re 575 MOTION to Seal Document <i>Motion to File Documents Ex Parte and Under Seal As Exhibits to Response to June 28, 2019 Court Order</i> filed by Gerald E. Rosen. (Sinnott, William) (Entered: 07/17/2019)
07/17/2019	583	RESPONSE TO COURT ORDER by Hamilton Lincoln Law Institute re 564 Order . (Attachments: # 1 Declaration of M. Frank Bednarz, # 2 Exhibit 1-43 of Bednarz Decl., # 3 Exhibit 44 - Transcript from ATRS v. Bankrate, Inc., # 4 Exhibit 45 - NYCF letter and fee grid)(Bednarz, M.) (Entered: 07/17/2019)
07/18/2019	584	MOTION for Leave to File <i>Supplemental Exhibit</i> by Hamilton Lincoln Law Institute. (Attachments: # 1 Stephen J. Choi, Jessica Erickson & A.C. Pritchard, Working Hard or Making Work? Plaintiffs Attorneys Fees in Securities Fraud Class Actions)(Bednarz, M.) (Entered: 07/18/2019)
07/19/2019	585	Opposition re 584 MOTION for Leave to File <i>Supplemental Exhibit</i> filed by Lieff Cabraser Heimann & Bernstein, LLP. (Heimann, Richard)

		(Entered: 07/19/2019)
07/30/2019	586	MOTION for Leave to File <i>Response to July 17 Submission by Labaton Sucharow LLP (ECF No. 579)</i> by Lieff Cabraser Heimann & Bernstein, LLP. (Attachments: # 1 Exhibit A - Proposed Response)(Heimann, Richard) (Entered: 07/30/2019)
08/05/2019	587	Opposition re 586 MOTION for Leave to File <i>Response to July 17 Submission by Labaton Sucharow LLP (ECF No. 579)</i> in Response to the Court's June 28, 2019 Order filed by Labaton Sucharow LLP. (Lukey, Joan) (Entered: 08/05/2019)
08/16/2019	588	MOTION for Leave to File <i>Supplemental Memorandum</i> by Thornton Law Firm LLP. (Attachments: # 1 Exhibit Proposed Memorandum) (Sharp, Joshua) (Entered: 08/16/2019)
12/26/2019	589	Judge Mark L. Wolf: ORDER entered. It is hereby ORDERED that Labaton Sucharow LLP shall, pursuant to paragraphs 13 and 14 of the March 8, 2019 Order (Docket No. 173), pay to the Clerk of the United States District Court for the District of Massachusetts an additional \$50,000, by January 6, 2020. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Loret, Magdalena) (Entered: 12/26/2019)
02/27/2020	590	<p>Judge Mark L. Wolf: ORDER entered. MEMORANDUM AND ORDER.</p> <p>It is hereby ORDERED that:</p> <ol style="list-style-type: none"> 1. The Proposed Resolution of Labaton's Objections to the Special Master's Report (Dkt. No. 485) is DENIED. 2. After hearings and considering <i>de novo</i> all objections to the Master's Findings of Fact and Conclusions of Law, including Labaton's, the Master's Report and Recommendation (Dkt. No. 357) is ADOPTED in part, REJECTED in part, and MODIFIED in the manner described in this Memorandum and Order. See Fed. R. Civ. P. 53(f). More specifically, \$60,000,000 is awarded to counsel for plaintiffs as reasonable fees and expenses. From the \$60,000,000 a total of \$22,202,131.25 shall be paid to Labaton; a total of \$13,261,908.10 shall be paid to Thornton; a total of \$15,233,397.53 shall be paid to Lieff; a total of \$3,978,152.18 shall be paid to Keller Rohrback; a total of \$3,439,775.42 shall be paid to McTigue; and a total of \$3,298,598.55 shall be paid to Zuckerman Spaeder. 3. Service Awards shall be paid as follows: \$15,000 to ATRS, and \$10,000 to each of the six ERISA Plaintiffs, Arnold Henriquez, Michael T. Cohn, William R. Taylor, Richard A. Sutherland, The Andover Companies Employee Savings and Profit Sharing Plan, and James Pehoushek-Stangeland. 4. This matter is RESUBMITTED to the Master. The Master shall, by


		<p>March 23, 2020:</p> <p>(a) Consult Class Counsel, ERISA Counsel, and CCAF, and report concerning whether notice to the class of new awards that have been ordered is legally required or appropriate. If the Master or anyone consulted is of the view that notice to the class should be given, the Master shall submit a proposed form of notice.</p> <p>(b) Report how he proposes to manage the implementation of this Order, including the required recovery from Labaton, Thornton, and Lieff of fees previously awarded, and the reallocation of them to other counsel and the class.</p> <p>(c) Identify and provide advice on any other issues relevant to the implementation of this Order.</p> <p>5. Labaton and Thornton shall, by March 11, 2020, provide to the Clerk of the United States District Court for the District of Massachusetts an additional \$250,000 each to pay past and future reasonable fees and expenses of the Master and any firm, organization, or individual assisting him.</p> <p>6. The Clerk shall send this Memorandum and Order to the Massachusetts Board of Bar Overseers for whatever action, if any, it deems appropriate. Upon request, the Clerk shall provide the Board any documents in the public record of this case. The Board of Bar Overseers may move for the unsealing of sealed documents. The Board shall report its final actions to the court. (Attachments: # 1 Exhibit A) Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Montes, Mariliz) (Entered: 02/27/2020)</p>
02/27/2020	591	<p>Set Deadlines: Per paragraph 5 of the Order: By 3/11/2020 Labaton and Thornton to provide the USDC-MA, with an additional \$250,000. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Montes, Mariliz) (Entered: 02/27/2020)</p>
03/12/2020	592	<p>MOTION for Leave to File <i>Motion for an Extension of Time to File Fee Request</i> by Hamilton Lincoln Law Institute. (Attachments: # 1 Proposed Motion for an Extension of Time to File Fee Motion, # 2 Declaration of M. Frank Bednarz, # 3 Exhibit 1 - "Labaton's Political Donations Line Up With Pursuit of Client, Records Show", # 4 Exhibit 2 - Campaign Financial Contribution Report, # 5 Exhibit 3 - Campaign Financial Contribution Report)(Bednarz, M.) (Entered: 03/12/2020)</p>
03/23/2020	593	<p>MOTION for Extension of Time to <i>Submit Response to Court's February 27, 2020 Memorandum and Order</i> by Gerald E. Rosen. (Sinnott, William) (Entered: 03/23/2020)</p>
03/24/2020	594	<p>Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 593 Motion for Extension of Time to Submit Response to Court's February 27, 2020 Memorandum and Order by Gerald E. Rosen. Response shall</p>

		be due on 4/7/20. (Loret, Magdalena) (Entered: 03/24/2020)
03/26/2020	595	MEMORANDUM in Opposition re 592 MOTION for Leave to File <i>Motion for an Extension of Time to File Fee Request</i> filed by Labaton Sucharow LLP, Lief Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP. (Lukey, Joan) (Entered: 03/26/2020)
03/26/2020	596	NOTICE OF APPEAL as to 590 Memorandum & ORDER,,,,,,,,,,,,, by Lief Cabraser Heimann & Bernstein, LLP Filing fee: \$ 505, receipt number 0101-8172379 Fee Status: Not Exempt. NOTICE TO COUNSEL: A Transcript Report/Order Form, which can be downloaded from the First Circuit Court of Appeals web site at http://www.ca1.uscourts.gov MUST be completed and submitted to the Court of Appeals. Counsel shall register for a First Circuit CM/ECF Appellate Filer Account at http://pacer.psc.uscourts.gov/cmecf. Counsel shall also review the First Circuit requirements for electronic filing by visiting the CM/ECF Information section at http://www.ca1.uscourts.gov/cmecf. US District Court Clerk to deliver official record to Court of Appeals by 4/15/2020. (Heimann, Richard) (Entered: 03/26/2020)
03/27/2020	597	Certified and Transmitted Abbreviated Electronic Record on Appeal to US Court of Appeals re 596 Notice of Appeal. (Paine, Matthew) (Entered: 03/27/2020)
03/27/2020	598	USCA Case Number 20-1365 for 596 Notice of Appeal filed by Lief Cabraser Heimann & Bernstein, LLP. (Paine, Matthew) (Entered: 03/27/2020)
04/07/2020	599	RESPONSE TO COURT ORDER by Gerald E. Rosen re 590 Memorandum & ORDER,,,,,,,,,,,,, . (Attachments: # 1 Exhibit A, # 2 Exhibit B)(Sinnott, William) (Entered: 04/07/2020)
04/09/2020	600	<i>Objections and Response</i> by Lief Cabraser Heimann & Bernstein, LLP to 599 Response to Court Order <i>Special Master's Report and Recommendations</i> . (Heimann, Richard) (Entered: 04/09/2020)
04/13/2020	601	Judge Mark L. Wolf: ORDER entered. It is hereby ORDERED that: 1. Lief shall, by April 20, 2020, move for a stay pending appeal and file a supporting memorandum addressing the Hilton factors. 2. Any response shall be filed by April 27, 2020. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Loret, Magdalena) (Entered: 04/13/2020)
04/14/2020	602	Reset Deadlines: Motion to Stay and supporting memo due by 4/20/2020; Responses due by 4/27/2020. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Montes, Mariliz) (Entered: 04/14/2020)
04/15/2020	603	RESPONSE TO COURT ORDER by Lief Cabraser Heimann & Bernstein, LLP re 601 Order, . (Heimann, Richard) (Entered: 04/15/2020)

04/16/2020	604	MOTION for Leave to File <i>Supplemental Report</i> by Gerald E. Rosen. (Attachments: # 1 Exhibit A)(Sinnott, William) (Entered: 04/16/2020)
04/22/2020	605	Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 604 Special Master's Motion for Leave to File Supplemental Report. Counsel using the Electronic Case Filing System should now file the document for which leave to file has been granted in accordance with the CM/ECF Administrative Procedures. Counsel must include - Leave to file granted on (date of order)- in the caption of the document. (Loret, Magdalena) (Entered: 04/22/2020)
04/22/2020	606	RESPONSE TO COURT ORDER by Gerald E. Rosen re 605 Order on Motion for Leave to File, <i>Special Master's Supplemental Report in Response to Court's February 27 Order</i> . (Attachments: # 1 Exhibit A, # 2 Exhibit B, # 3 Exhibit C, # 4 Exhibit D)(Sinnott, William) (Entered: 04/22/2020)
04/23/2020	607	Response by Gerald E. Rosen to 601 Order, <i>Response to Loeff Cabraser Heimann & Bernstein's Response to April 13, 2020 Court Order</i> . (Sinnott, William) (Entered: 04/23/2020)
04/27/2020	608	MOTION for Leave to File <i>Reply to Loeff Cabraser</i> by Hamilton Lincoln Law Institute. (Attachments: # 1 Proposed Amicus Reply to Loeff Cabraser's Filings)(Bednarz, M.) (Entered: 04/27/2020)
04/27/2020	609	Judge Mark L. Wolf: ELECTRONIC ORDER entered granting 608 Hamilton Lincoln Law Institute's Motion for Leave to File Reply to Loeff Cabraser. Counsel using the Electronic Case Filing System should now file the document for which leave to file has been granted in accordance with the CM/ECF Administrative Procedures. Counsel must include - Leave to file granted on (date of order)- in the caption of the document. (Loret, Magdalena) (Entered: 04/27/2020)
04/28/2020	610	Response by Hamilton Lincoln Law Institute to 609 Order on Motion for Leave to File, 600 Response, 603 Response to Court Order . (Bednarz, M.) (Entered: 04/28/2020)
06/18/2020	611	Judge Mark L. Wolf: ORDER entered. MEMORANDUM AND ORDER. The court hereby: 1. REQUESTS that the First Circuit suspend the July 9, 2020 date for the filing of a response to Loeff's appeal, allow counsel retained by this court to file an appearance by that date, and establish a new briefing schedule after retained counsel files its appearance. 2. ORDERS that this Memorandum and Order be transmitted forthwith to the Clerk of the First Circuit. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW (Loret, Magdalena) (Entered: 06/18/2020)
06/19/2020	612	Supplemental Record on Appeal transmitted to US Court of Appeals re 596 Notice of Appeal. Document included: ECF No. 611 Memorandum and Order. (Pacho, Arnold) (Entered: 06/19/2020)
06/25/2020	613	Judge Mark L. Wolf: ORDER entered. MEMORANDUM AND ORDER. It is hereby ORDERED that: 1. CCAF's Motion for an

		Extension of Time to File Motion for Attorneys' Fees Award (Dkt. No. 592-1) is ALLOWED. 2. By July 7, 2020, the Master shall confer with Customer Class Counsel and ERISA counsel, and submit: a. A motion for approval of the plan for distribution he proposes and, in an editable word-processing format, a proposed Order for the distribution of the remainder of the settlement fund that is consistent with this Memorandum and Order; and b. A proposed notice to the class, in an editable word-processing format, that is consistent with this Memorandum and Order and the Master's proposed plan of distribution. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW (Loret, Magdalena) (Entered: 06/25/2020)
06/25/2020	614	Set Deadlines: Per 613 Order: Master shall confer with Customer Class Counsel and ERISA counsel by 7/7/2020 and submit: a. A motion for approval of the plan for distribution he proposes and, in an editable word-processing format, a proposed Order for the distribution of the remainder of the settlement fund that is consistent with this Memorandum and Order; and b. A proposed notice to the class, in an editable word-processing format, that is consistent with this Memorandum and Order and the Master's proposed plan of distribution. (Montes, Mariliz) (Entered: 06/25/2020)
06/29/2020	615	Judge Mark L. Wolf: MEMORANDUM AND ORDER. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW (Loret, Magdalena) (Entered: 06/29/2020)
06/29/2020	616	Supplemental Record on Appeal transmitted to US Court of Appeals re 596 Notice of Appeal Documents included: ECF No. 615 (Paine, Matthew) (Entered: 06/29/2020)
07/07/2020	617	ORDER of USCA as to 596 Notice of Appeal filed by Lieff Cabraser Heimann & Bernstein, LLP. This is an appeal from the February 27, 2020 Memorandum and Order of the district court concerning the conduct of certain law firms and modifying the previously-approved award of attorney fees. Upon review, it appears that this court may not have jurisdiction to consider the appeal because the order in question may not be final. 28 U.S.C. § 1291. Accordingly, the parties to the appeal are ordered to show cause within thirty days of the date of this order why this appeal should not be dismissed for lack of jurisdiction. The briefing schedule is stayed pending this court's receipt of responses. (Paine, Matthew) (Entered: 07/07/2020)
07/07/2020	618	RESPONSE TO COURT ORDER by Gerald E. Rosen re 613 Memorandum & ORDER,,, . (Attachments: # 1 Exhibit A, # 2 Exhibit B, # 3 Exhibit C)(Sinnott, William) (Entered: 07/07/2020)
07/09/2020	619	Judge Mark L. Wolf: MEMORANDUM AND ORDER. It is hereby ORDERED that: 1. The Special Master's Motion for Approval of the Plan for Distribution of Remainder of Settlement Fund (Dkt. No. 618,

		Ex. A) is ALLOWED. Therefore, the remainder of the settlement fund shall be distributed on the schedule proposed by the Master (Dkt. No. 618, Ex. A, Attachment 1), which is attached hereto as Exhibit 2. 2. Any objection or comment concerning the form of Notice to the class attached hereto as Exhibit 1 shall be filed by 3:00 p.m. on July 10, 2020. 3. Unless revised by the court, the Master shall insert the dates for objections and the hearing on any objections, and send to the class as promptly as possible the form of Notice attached hereto as Exhibit 1. The Master shall then report to the court the resulting dates for objections and the hearing on any objections. (Attachments: # 1 Exhibit 1: Notice, # 2 Exhibit 2: Payment Schedule) Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Loret, Magdalena) (Entered: 07/09/2020)
07/10/2020	620	Set Deadlines: Re. 619 Order: Objection (if any) due by 7/10/2020, by 3:00 PM. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Montes, Mariliz) (Entered: 07/10/2020)
07/10/2020	621	NOTICE of Withdrawal of Appearance by M. Frank Bednarz <i>of Gary S. Peeples</i> (Bednarz, M.) (Entered: 07/10/2020)
07/10/2020	622	RESPONSE TO COURT ORDER by Hamilton Lincoln Law Institute re 619 Memorandum & ORDER,,,, <i>Regarding the Form of Notice.</i> (Bednarz, M.) (Entered: 07/10/2020)
07/10/2020	623	Judge Mark L. Wolf: ORDER entered. (Attachments: # 1 Exhibit 1: Notice) Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Loret, Magdalena) (Entered: 07/10/2020)
07/24/2020	624	RESPONSE TO COURT ORDER by Gerald E. Rosen re 623 Order . (Sinnott, William) (Entered: 07/24/2020)
07/31/2020	625	Letter from UMB Services advising the Court that UMB is not affiliated with Arnold Henriquez and thus have received in error, the notice of further proceedings issued by Judge Mark L. Wolf. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW (Montes, Mariliz) (Entered: 08/04/2020)
08/27/2020	626	ELECTRONIC NOTICE of Hearing. This hearing will be conducted by video conference. Counsel of record will receive a video conference invite at the email registered in CM/ECF. If you have technical or compatibility issues with the technology, please notify the session's courtroom deputy as soon as possible. Access to the hearing will be made available to the media and public. In order to gain access to the hearing, you must sign up at the following address: https://forms.mad.uscourts.gov/courtlist.html . For questions regarding access to hearings, you may refer to the Court's general orders and public notices available on www.mad.uscourts.gov or contact media@mad.uscourts.gov .


		Hearing on Objections concerning the form of Notice to the class regarding the Special Master's Motion for Approval of the Plan for Distribution of Remainder of Settlement Fund set for 9/22/2020 10:00 AM before Judge Mark L. Wolf. (Loret, Magdalena) (Entered: 08/27/2020)
09/01/2020	 627	MOTION for Disbursement of Funds / <i>Plaintiffs' Motion for Authorization to Distribute to Eligible ERISA and Public & Other Class Members</i> by Arkansas Teacher Retirement System.(Goldsmith, David) (Entered: 09/01/2020)
09/01/2020	628	MEMORANDUM in Support re 627 MOTION for Disbursement of Funds / <i>Plaintiffs' Motion for Authorization to Distribute to Eligible ERISA and Public & Other Class Members</i> filed by Arkansas Teacher Retirement System. (Goldsmith, David) (Entered: 09/01/2020)
09/01/2020	629	DECLARATION re 627 MOTION for Disbursement of Funds / <i>Plaintiffs' Motion for Authorization to Distribute to Eligible ERISA and Public & Other Class Members / Declaration of Eric J. Miller on behalf of A.B. Data, Ltd.</i> by Arkansas Teacher Retirement System. (Attachments: # 1 Exhibit 1, # 2 Exhibit 2, # 3 Exhibit 3, # 4 Exhibit 4, # 5 Exhibit 5, # 6 Exhibit 6)(Goldsmith, David) (Entered: 09/01/2020)
09/01/2020	630	Proposed Document(s) submitted by Arkansas Teacher Retirement System. Document received: [Proposed] Order Authorizing Distribution to Eligible ERISA and Public & Other Class Members. (Goldsmith, David) (Entered: 09/01/2020)
09/03/2020	631	USCA Judgment as to 596 Notice of Appeal filed by Lief Cabraser Heimann & Bernstein, LLP. Appellant Lief Cabraser Heimann & Bernstein, LLP, seeks review of the district court's February 27 Memorandum and Order in which the court reduced a previously approved fee award, while contemplating further proceedings. It appearing that the order lacked finality, this court issued an order to show cause why the appeal should not be dismissed for lack of jurisdiction. Lief has responded, explaining that, at the time the order issued, it believed that the order was final, despite the fact that the order expressly contemplated further proceedings. Nonetheless, Lief appears to agree that the jurisdictional question is ambiguous. And, we note that the district court appears to have simultaneously treated its order as both final and non-final; that is, the court sought to retain counsel to file a brief in this court in support of its order and at the same time has issued several post-fee orders, the cumulative effect of which may well be to alter the fee ruling. In light of the latter point and having reviewed the record and Lief's submission, we dismiss the appeal without prejudice for lack of an appealable order. See <i>Garcia-Rubiera v. Fortuno</i>, 727 F.3d 102, 116 (1st Cir. 2013) ("[a] ruling on attorneys' fees is definitive 'where a dollar-specific order for attorneys' fees has been entered and further action on the main

		<p>case will not require! revisiting that order.'" (Citing In re Nineteen Appeals Arising Out of San Juan Dupont Plaza Hotel Fire Litig., 982 F.2d 603, 609 n.10 (1st Cir. 1992))).</p> <p>(Paine, Matthew) (Entered: 09/04/2020)</p>
09/09/2020	632	<p>Judge Mark L. Wolf: ORDER entered Authorizing Distribution to Eligible ERISA and Public and Other Class Members. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW (Loret, Magdalena) (Entered: 09/09/2020)</p>
09/11/2020	633	<p>NOTICE of Withdrawal of Appearance by David Copley (Copley, David) (Entered: 09/11/2020)</p>
09/14/2020	634	<p>Judge Mark L. Wolf: ORDER entered. It is hereby ORDERED that, by September 17, 2020: 1. The Special Master shall: a) Consult the Claims Administrator, report whether any objections have been received and, if so, file them with the court; b) File a memorandum identifying any issues to be addressed at the September 22, 2020 hearing and stating his views on them; and c) File a proposed Order to be entered after the September 22, 2020 hearing. 2. Any law firm, other organization, or individual which wishes to have its views considered shall file a memorandum identifying and addressing any issues for possible discussion at the September 22, 2020 hearing. Associated Cases: 1:11-cv-12049-MLW, 1:11-cv-10230-MLW, 1:12-cv-11698-MLW (Loret, Magdalena) (Entered: 09/14/2020)</p>
09/15/2020	635	<p>ELECTRONIC NOTICE OF RESCHEDULING ***AS TO TIME ONLY***.</p> <p>This hearing will be conducted by video conference. Counsel of record will receive a video conference invite at the email registered in CM/ECF. If you have technical or compatibility issues with the technology, please notify the session's courtroom deputy as soon as possible.</p> <p>Access to the hearing will be made available to the media and public. In order to gain access to the hearing, you must sign up at the following address: https://forms.mad.uscourts.gov/courtlist.html.</p> <p>For questions regarding access to hearings, you may refer to the Court's general orders and public notices available on www.mad.uscourts.gov or contact media@mad.uscourts.gov.</p> <p>Hearing on Objections concerning the form of Notice to the class regarding the Special Master's Motion for Approval of the Plan for Distribution of Remainder of Settlement Fund re-set for 9/22/2020 02:00 PM before Judge Mark L. Wolf. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW (Loret, Magdalena) (Entered: 09/15/2020)</p>
09/17/2020	636	<p>RESPONSE TO COURT ORDER by Gerald E. Rosen re 634 Order,,. (Attachments: # 1 Exhibit A, # 2 Exhibit B)(Sinnott, William) (Entered: 09/17/2020)</p>


		09/17/2020)
09/18/2020	637	Set Deadlines: Per 634 Order, Special Master to file memorandum and proposed Order by 9/17/2020. Any other firm, organization or individual wishing to have its views considered may also file memorandum by 9/17/2020. Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Montes, Mariliz) Modified on 9/21/2020 (Montes, Mariliz). (Entered: 09/18/2020)
09/19/2020	638	RESPONSE TO COURT ORDER by Lieff Cabraser Heimann & Bernstein, LLP re 634 Order,,, . (Heimann, Richard) (Entered: 09/19/2020)
09/21/2020	639	RESPONSE TO COURT ORDER by Keller Rorhback L.L.P., Zuckerman Spaeder, LLP re 637 Set/Reset Motion and R&R Deadlines/Hearings, 636 Response to Court Order, 634 Order,,, . (Gerber, Laura) (Entered: 09/21/2020)
09/21/2020	640	RESPONSE TO COURT ORDER by Hamilton Lincoln Law Institute re 637 Set/Reset Motion and R&R Deadlines/Hearings, 636 Response to Court Order, 634 Order,,, 638 Response to Court Order . (Bednarz, M.) (Entered: 09/21/2020)
09/22/2020	641	Electronic Clerk's Notes for proceedings held before Judge Mark L. Wolf: Hearing regarding Objections concerning the form of Notice to the class regarding the Special Master's Motion for Approval of the Plan for Distribution of Remainder of Settlement Fund held on 9/22/2020 via videoconference. Parties present argument. Court takes matter under advisement. Hamilton Lincoln Law Institute shall file its Motion for Attorneys Fees and Appointment as Guardian Ad Litem by 10/7/20. Special Master and other parties shall respond by 10/20/20. Reply by Hamilton, if any, shall be made by 10/27/20. Parties shall order the transcript on an expedited basis. (Court Reporter: Kelly Mortellite at mortellite@gmail.com.)(Attorneys present: McEvoy, McDonnell, Heimann, Chiplock, Lieff, Gerber, Sarko, Lukey, Kelly, Sharp, Canty, Paine, Kravitz, Bednarz, McTigue) Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Loret, Magdalena) (Entered: 09/22/2020)
09/23/2020	642	Transcript of Video Conference Hearing held on 09/22/2020, before Judge Mark L. Wolf. COA Case No. 20-1365. The Transcript may be purchased through the Court Reporter, viewed at the public terminal, or viewed through PACER after it is released. Court Reporter Name and Contact Information: Kelly Mortellite at mortellite@gmail.com. Redaction Request due 10/14/2020. Redacted Transcript Deadline set for 10/26/2020. Release of Transcript Restriction set for 12/22/2020. (Coppola, Katelyn) (Entered: 09/23/2020)
09/23/2020	643	NOTICE is hereby given that an official transcript of a proceeding has been filed by the court reporter in the above-captioned matter. Counsel

		are referred to the Court's Transcript Redaction Policy, available on the court website at http://www.mad.uscourts.gov/attorneys/general-info.htm (Coppola, Katelyn) (Entered: 09/23/2020)
09/24/2020	644 644	MOTION for Leave to File <i>Motion in Response to Court's September 22, 2020 Hearing</i> by Gerald E. Rosen. (Attachments: # 1 Exhibit A)(Sinnott, William) (Entered: 09/24/2020)
09/25/2020	645	MANDATE of USCA as to 596 Notice of Appeal filed by Lief Cabraser Heimann & Bernstein, LLP. Appeal 596 Terminated (Paine, Matthew) (Entered: 09/26/2020)
09/29/2020	646	Judge Mark L. Wolf: ORDER entered. MEMORANDUM AND ORDER. It is hereby ORDERED that: 1. HLLI shall, by October 7, 2020, file: (a) a motion for an award of attorneys' fees, one or more affidavits and a memorandum in support of the motion, see Feb. 27, 2020 Mem. & Order (Dkt. No. 590) at 33-40; and, if it wishes, (b) a renewed motion to be appointed guardian ad litem for the class. 2. Any responses to HLLI's motions shall be filed by October 20, 2020. 3. Any reply shall be filed by October 27, 2020. (Attachments: # 1 Exhibit A: Revised Payment Plan)Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Loret, Magdalena) (Entered: 09/29/2020)
09/29/2020	647	MOTION for Attorney Fees by Hamilton Lincoln Law Institute. (Attachments: # 1 Declaration of M. Frank Bednarz in Support of Motion)(Bednarz, M.) (Entered: 09/29/2020)
10/05/2020	648	RESPONSE TO COURT ORDER by Lief Cabraser Heimann & Bernstein, LLP re 646 Memorandum & ORDER,, . (Heimann, Richard) (Entered: 10/05/2020)
10/07/2020	649	MOTION to Appoint Guardian ad Litem <i>for the class</i> by Hamilton Lincoln Law Institute.(Bednarz, M.) (Entered: 10/07/2020)
10/20/2020	650	Opposition re 647 MOTION for Attorney Fees filed by Labaton Sucharow LLP, Lief Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP. (Sharp, Joshua) (Entered: 10/20/2020)
10/20/2020	651	Opposition re 649 MOTION to Appoint Guardian ad Litem <i>for the class</i> filed by Labaton Sucharow LLP, Lief Cabraser Heimann & Bernstein, LLP, Thornton Law Firm LLP. (Heimann, Richard) (Entered: 10/20/2020)
10/20/2020	652	RESPONSE to Motion re 647 MOTION for Attorney Fees , 649 MOTION to Appoint Guardian ad Litem <i>for the class</i> filed by Gerald E. Rosen. (Sinnott, William) (Entered: 10/20/2020)
10/27/2020	653	REPLY to Response to 647 MOTION for Attorney Fees filed by Hamilton Lincoln Law Institute. (Bednarz, M.) (Entered: 10/27/2020)
10/27/2020	654	REPLY to Response to 649 MOTION to Appoint Guardian ad Litem <i>for the class</i> filed by Hamilton Lincoln Law Institute. (Bednarz, M.) (Entered: 10/27/2020)

12/30/2020	655	NOTICE by Labaton Sucharow LLP, Thornton Law Firm LLP re 646 Memorandum & ORDER,, (Lukey, Joan) (Entered: 12/30/2020)
12/31/2020	656	Objection to 655 Notice (Other) by Keller Rorhback L.L.P., Zuckerman Spaeder, LLP . (Sarko, Lynn) (Entered: 12/31/2020)
01/04/2021	657	Judge Mark L. Wolf: ORDER entered re 655 Notice (Other) filed by Thornton Law Firm LLP, Labaton Sucharow LLP. It is hereby ORDERED that: 1. Class Counsel need not make the first payment into escrow on January 4, 2021. 2. Class Counsel shall be prepared to make the first payment into escrow on January 11, 2021, or soon after. (Loret, Magdalena) (Entered: 01/04/2021)
01/04/2021	658	RESPONSE TO COURT ORDER by Lieff Cabraser Heimann & Bernstein, LLP re 657 Order, . (Heimann, Richard) (Entered: 01/04/2021)
01/05/2021	659	NOTICE of Change of Address or Firm Name by William F. Sinnott (Sinnott, William) (Entered: 01/05/2021)
01/05/2021	660	NOTICE of Change of Address or Firm Name by Elizabeth J. McEvoy (McEvoy, Elizabeth) (Entered: 01/05/2021)
01/07/2021	661	RESPONSE TO COURT ORDER by Gerald E. Rosen re 657 Order, . (Sinnott, William) (Entered: 01/07/2021)
01/19/2021	662	Judge Mark L. Wolf: ORDER entered granting (647) Motion for Attorneys' Fees; denying (649) Motion to Appoint Guardian ad Litem in case 1:11-cv-10230-MLW. It is hereby ORDERED that: 1. CCAF's Motion for Attorneys' Fee Award (Dkt. No. 647) is ALLOWED. CCAF is awarded \$60,690, to be paid from the common fund in two installments, on the dates stated in Exhibit 1 hereto. 2. Class Counsel shall make payments into escrow on the dates, and in the amounts, stated in Exhibit 1. 3. Distributions from the funds escrowed by Class Counsel shall be made on the dates, and in the amounts, stated in Exhibit 1. 4. Lieff shall file its appeal and motion to stay in this court by January 27, 2021. 5. Final Judgment concerning the award of attorneys' fees shall enter in accordance with the February 27, 2020 Memorandum and Order (Dkt. No. 590) and, with regard to CCAF, this Order. 6. CCAF's Renewed Motion to be Appointed Guardian Ad Litem for the Class (Dkt. No. 649) is DENIED without prejudice. (Attachments: # 1 Exhibit 1: Second Revised Payment Plan) Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW, 1:21-cv-10067-MLW(Loret, Magdalena) (Entered: 01/19/2021)
01/19/2021	663	Judge Mark L. Wolf: ORDER entered. FINAL JUDGMENT CONCERNING ATTORNEYS' FEES AND SERVICE AWARDS.Associated Cases: 1:11-cv-10230-MLW, 1:11-cv-12049-MLW, 1:12-cv-11698-MLW(Montes, Mariliz) (Entered: 01/19/2021)
01/26/2021	664	NOTICE OF APPEAL as to 663 Judgment, 590 Memorandum &

		ORDER,,,,,,,,,,,,, 662 Order on Motion for Attorney Fees,,,,, Order on Motion to Appoint Guardian/Attorney ad Litem,,,,, by Lieff Cabraser Heimann & Bernstein, LLP Filing fee: \$ 505, receipt number 0101-8607891 Fee Status: Not Exempt. NOTICE TO COUNSEL: A Transcript Report/Order Form, which can be downloaded from the First Circuit Court of Appeals web site at http://www.ca1.uscourts.gov MUST be completed and submitted to the Court of Appeals. Counsel shall register for a First Circuit CM/ECF Appellate Filer Account at http://pacer.psc.uscourts.gov/cmecf. Counsel shall also review the First Circuit requirements for electronic filing by visiting the CM/ECF Information section at http://www.ca1.uscourts.gov/cmecf. US District Court Clerk to deliver official record to Court of Appeals by 2/16/2021. (Heimann, Richard) (Entered: 01/26/2021)
01/26/2021	665	Certified and Transmitted Abbreviated Electronic Record on Appeal to US Court of Appeals re 664 Notice of Appeal. (Paine, Matthew) (Entered: 01/26/2021)
01/27/2021	666	USCA Case Number 21-1069 for 664 Notice of Appeal, filed by Lieff Cabraser Heimann & Bernstein, LLP. (Paine, Matthew) (Entered: 01/27/2021)
01/27/2021	667	MOTION to Stay <i>Execution on Judgment, Pending Appeal</i> by Lieff Cabraser Heimann & Bernstein, LLP.(Heimann, Richard) (Entered: 01/27/2021)
01/27/2021	668	MEMORANDUM in Support re 667 MOTION to Stay <i>Execution on Judgment, Pending Appeal</i> filed by Lieff Cabraser Heimann & Bernstein, LLP. (Heimann, Richard) (Entered: 01/27/2021)
01/27/2021	669	Judge Mark L. Wolf: ORDER entered re 667 MOTION to Stay Execution on Judgment, Pending Appeal filed by Lieff Cabraser Heimann & Bernstein, LLP. It is hereby ORDERED that: 1. By February 3, 2021, the Master shall respond to the Motion by filing a Memorandum and affidavit that address, among other things, whether Lieff is likely to be irreparably harmed if it prevails on appeal. See L. R. 7.1(b)(2). 2. By February 3, 2021, Labaton Sucharow LLP, The Thornton Law Firm, Keller Rohrback LLP, McTigue Law LLP, Zuckerman Spaeder LLP, and the Hamilton Lincoln Law Institute Center for Class Action Fairness ("CCAF") shall respond to the Motion if they wish to do so. 3. Any reply by Lieff shall be filed by 9:00 a.m. on February 8, 2021. (Loret, Magdalena) (Entered: 01/27/2021)
01/29/2021	 670	MOTION for Clarification re 662 Order on Motion for Attorney Fees,,,,, Order on Motion to Appoint Guardian/Attorney ad Litem,,,,, by Keller Rohrback L.L.P..(Sarko, Lynn) (Entered: 01/29/2021)
01/29/2021	671	MEMORANDUM in Support re 670 MOTION for Clarification re 662 Order on Motion for Attorney Fees,,,,, Order on Motion to Appoint Guardian/Attorney ad Litem,,,,, filed by Keller Rohrback L.L.P.. (Sarko,

		Lynn) (Entered: 01/29/2021)
02/02/2021	672	MOTION for Extension of Time to <i>Submit Response to Court's January 27, 2021 Order</i> by Gerald E. Rosen.(Sinnott, William) (Entered: 02/02/2021)
02/04/2021	673	Judge Mark L. Wolf: ORDER entered granting in part and denying in part 672 Motion for Extension of Time to Submit Response to Court's January 27, 2021 Order by Gerald E. Rosen. (Loret, Magdalena) (Entered: 02/04/2021)
02/05/2021	674	RESPONSE TO COURT ORDER by Thornton Law Firm LLP re 673 Order on Motion for Extension of Time . (Sharp, Joshua) (Entered: 02/05/2021)
02/05/2021	675	RESPONSE TO COURT ORDER by Labaton Sucharow LLP re 673 Order on Motion for Extension of Time . (Lukey, Joan) (Entered: 02/05/2021)
02/08/2021	676	RESPONSE to Motion re 667 MOTION to Stay <i>Execution on Judgment, Pending Appeal</i> , 670 MOTION for Clarification re 662 Order on Motion for Attorney Fees,,,,, Order on Motion to Appoint Guardian/Attorney ad Litem,,,,, filed by Hamilton Lincoln Law Institute. (Bednarz, M.) (Entered: 02/08/2021)
02/08/2021	677	RESPONSE TO COURT ORDER by Gerald E. Rosen re 669 Order,,, and to <i>Lieff Cabraser Heimann & Bernstein's Motion to Stay Execution on Judgment, Pending Appeal</i> . (Attachments: # 1 Exhibit A)(Sinnott, William) (Entered: 02/08/2021)
02/18/2021	678	REPLY to Response to 667 MOTION to Stay <i>Execution on Judgment, Pending Appeal</i> filed by Lieff Cabraser Heimann & Bernstein, LLP. (Heimann, Richard) (Entered: 02/18/2021)
03/01/2021	679	Judge Mark L. Wolf: ORDER entered. It is ORDERED that: 1. Class Counsel shall make payments into escrow on the dates, and in the amounts, stated in Exhibit 1. 2. Distributions from the funds escrowed by Class Counsel shall be made on the dates, and in the amounts, stated in Exhibit 1. (Attachments: # 1 Exhibit 1: Revised Payment Schedule) (Loret, Magdalena) (Entered: 03/01/2021)
03/12/2021	680	Judge Mark L. Wolf: MEMORANDUM AND ORDER entered denying 667 Motion to Stay Execution on Judgment, Pending Appeal by Lieff Cabraser Heimann & Bernstein, LLP. It is hereby ORDERED that the Motion of Lieff Cabraser Heimann & Bernstein, LLP for a Partial Stay of Execution on Judgment, Pending Appeal (Dkt. No. 667) is DENIED. However, the court will not order

		distribution of the funds escrowed by Lief until at least 14 days after the First Circuit decides any appeal of this decision by Lief. Lief shall, by March 22, 2021, state whether it intends to appeal this denial of its request for a stay. (Loret, Magdalena) (Entered: 03/12/2021)
03/18/2021	681	Judge Mark L. Wolf: MEMORANDUM AND ORDER. The court hereby REQUESTS that: (a) the First Circuit invite it to retain counsel to appear on behalf of the court as fiduciary for the class with regard to Lief's appeal of the February 27, 2020 Order and, if the First Circuit finds it desirable, concerning any appeal of the March 12, 2021 Order denying Lief's request for a stay pending appeal, cf. Fed. R. App. P. 21(b)(4); (b) provide a reasonable period of time for Mr. Brann to file an appearance and prepare; and (c) order an appropriate briefing schedule. (Loret, Magdalena) (Entered: 03/18/2021)
03/18/2021	682	Supplemental Record on Appeal transmitted to US Court of Appeals re 664 Notice of Appeal, Documents included: ECF Nos. 679, 680, and 681 (Paine, Matthew) (Entered: 03/18/2021)
03/18/2021	683	RESPONSE TO COURT ORDER by Lief Cabraser Heimann & Bernstein, LLP re 680 Order on Motion to Stay,, . (Heimann, Richard) (Entered: 03/18/2021)
03/22/2021	684	Judge Mark L. Wolf: ORDER entered. It is hereby ORDERED that the First Distribution to the Class and ERISA Counsel, including Lief's escrowed funds, shall, pursuant to the Second Revised Payment Plan in the March 1, 2021 Order attached hereto as Exhibit 1 (Dkt. No. 679-1), be made on April 9, 2021, and the Second Distribution to them shall be made on April 30, 2021. (Attachments: # 1 Exhibit 1: Second Revised Payment Plan)(Loret, Magdalena) (Entered: 03/22/2021)
04/02/2021	685	ORDER of USCA as to 664 Notice of Appeal, filed by Lief Cabraser Heimann & Bernstein, LLP (Paine, Matthew) The district court judge has requested that this court "invite it to retain counsel to appear on behalf of the court as fiduciary for the class" in this appeal. This court has the benefit of the district court's opinions, including its decision denying Lief Cabraser Heimann & Bernstein's motion to stay the decision that is presently on appeal. The district court's request is denied without prejudice to reconsideration by the panel that decides the merits. (Entered: 04/04/2021)
04/08/2021	 686	MOTION for an Accounting and for Clarification That the Special Masters Role Has Concluded by Lief Cabraser Heimann & Bernstein, LLP.(Heimann, Richard) (Entered: 04/08/2021)
04/08/2021	687	MEMORANDUM in Support re 686 MOTION for an Accounting and for Clarification That the Special Masters Role Has Concluded filed by Lief Cabraser Heimann & Bernstein, LLP. (Heimann, Richard)

		(Entered: 04/08/2021)
06/01/2021	688	MEMORANDUM OF LAW. This court will in a submission to the First Circuit request that the First Circuit: (a) invite it to retain counsel to appear on behalf of the court as fiduciary for the class with regard to Lief's appeal of this court's February 27, 2020 Memorandum and Order (1st Cir. Case No. 21-1069 Doc. No. 00117699985 at 91-252; D. Mass. Case No. 11-cv-10230, Dkt. No. 590); (b) provide a reasonable period of time for Mr. Brann to file an appearance and prepare; and (c) order an appropriate briefing schedule. (Loret, Magdalena) (Entered: 06/01/2021)

UNITED STATES DISTRICT COURT
DISTRICT OF MASSACHUSETTS

ARKANSAS TEACHER RETIREMENT SYSTEM, on)
behalf of itself and all others)
similarly situated,)
Plaintiff,)

) C.A. No. 11-10230-MLW

v.)

STATE STREET BANK AND TRUST COMPANY,)
Defendant.)

ARNOLD HENRIQUEZ, MICHAEL T. COHN,)
WILLIAM R. TAYLOR, RICHARD A.)
SUTHERLAND, and those similarly)
situated,)
Plaintiffs,)

) C.A. No. 11-12049-MLW

v.)

STATE STREET BANK AND TRUST COMPANY,)
Defendant.)

THE ANDOVER COMPANIES EMPLOYEE SAVINGS)
AND PROFIT SHARING PLAN, on behalf of)
itself, and JAMES PEHOUSHEK-STANGELAND)
and all others similarly situated,)
Plaintiffs,)

) C.A. No. 12-11698-MLW

v.)

STATE STREET BANK AND TRUST COMPANY,)
Defendant.)

MEMORANDUM CONCERNING LIEFF CABRASER HEIMANN & BERNSTEIN, LLP'S
APPEAL OF FEBRUARY 27, 2020 ORDER

WOLF, D.J.

June 1, 2021

On March 18, 2021, this court issued a Memorandum and Order asking the First Circuit to invite it, as a quasi-fiduciary for the class whose interests would otherwise be unrepresented, to respond to the appeal of Lieff Cabraser Heimann & Bernstein, LLP ("Lieff"), which had been seeking an increase of its attorneys' fee award from \$15,000,000 to about \$16,140,000 at the expense of the class. See Mar. 18, 2021 Mem. & Order (1st Cir. Case No. 21-1069, Doc. No. 00117719457, at 156-67; D. Mass. Case No. 11-cv-10230, Dkt. No. 681).¹ On April 2, 2021, the First Circuit denied

¹ In Lieff's 2020 appeal, Labaton Sucharow LLP ("Labaton") and Thornton Law Firm LLP ("Thornton") were identified as "Interested Parties - Appellees." See, e.g., Docket of 1st Cir. Case No. 20-1365. However, those firms filed a joint notice that they "[did] not intend to file any brief in response to the Opening Brief of Appellant Lieff Cabraser Heimann & Bernstein, LLP." July 1, 2020 Notice (1st Cir. Case No. 20-1365, Doc. No. 00117609510) at 2. In the current appeal, Labaton and Thornton are again listed as "Interested Parties - Appellees." However, there is no indication that they now intend to participate in the appeal. The only other "Appellees" identified in the caption are Keller Rohrbach L.L.P., McTigue Law LLP, and Zuckerman Spaeder LLP - three firms which were awarded attorneys' fees for representing ERISA clients in the underlying case and whose fee awards were increased in the February 27, 2020 decision that Lieff is appealing. The awards to them are not being challenged in Lieff's appeal. See Lieff May 20, 2021 App. Br. (1st Cir. Case No. 20-1069, Doc. No. 00117743420) at 2-3. There is no reason to believe the ERISA firms will participate in Lieff's appeal.

Although the Hamilton Lincoln Law Institute ("HLII") moved to be added as an appellee to the current appeal, see Feb. 19, 2021 Motion to Amend Caption (1st Cir. Case No. 21-1069, Doc. No. 00117707724) at 1-2, the First Circuit denied that motion without prejudice to refile if any party challenges the award of attorneys' fees to HLII, see Mar. 12, 2021 Order (1st Cir. Case No. 21-1069, Doc. No. 00117717477). In its current brief, Lieff

that request "without prejudice to reconsideration by the panel that decides the merits." Apr. 2, 2021 Order (1st Cir. Case No. 21-1069, Doc. No. 00117725504).

On May 14, 2021 Loeff filed the substance of its current First Circuit brief.² As it previously represented to this court it would, Loeff has presented the same issues in its pending appeal that it raised in the brief that it filed in the First Circuit in June 2020. See Loeff Jun. 9, 2020 App. Br. (Case No. 20-1365, Doc. No. 00117599876).

Therefore, the reasoning in this court's March 18, 2021 request that it be invited to retain counsel remains applicable. Thus, this court will reiterate that request in a submission to the First Circuit.

states that it "does not seek any readjustment of fees awarded to anyone else, including the Hamilton Lincoln Law Institute." Loeff May 20, 2021 App. Br. (1st Cir. Case No. 21-1069, Doc. No. 00117743420) at 3. In addition, this court denied without prejudice HLLI's motion to serve as Guardian Ad Litem for the class concerning Loeff's current appeal. See Jan. 19, 2021 Mem. & Order (D. Mass. Case No. 11-10230, Dkt. No. 662) at 22-25. This court also did not grant the request of the Master it had appointed to be authorized to defend the February 27, 2020 decision that is the subject of Loeff's appeal. See Jun. 18, 2020 Mem. & Order (D. Mass. Case No. 11-10230, Dkt. No. 611).

Therefore, it is foreseeable that unless this court is invited to retain counsel, there will be no response to Loeff's appeal, let alone a response representing the interests of the class.

² On May 20, 2021 Loeff filed a revised brief that corrected the caption.

There is a subtle but possibly significant difference between Lief's 2020 brief and its current brief which involves an issue that might not be evident to judges who are not already familiar with the voluminous record in this case or informed by the operation of the adversary process. More specifically, Lief's current First Circuit brief for the first time states that it is not seeking increased compensation in the amount of about \$1,140,000 at the expense of the class, but only from unclaimed funds, if any, after the class has been paid in full. As explained below, this revision of the relief requested raises the issue of whether Lief has standing to appeal, which might also be viewed as a question of mootness or ripeness. Therefore, the First Circuit may consider the issue of its jurisdiction relevant to this court's request that it be invited to retain counsel. The relief Lief seeks in its current appeal is in any event relevant to the on-going distribution of the common fund being supervised by this court.

Lief repeatedly stated to this court that it would contend on appeal that the additional compensation it was seeking should be at the expense of the class it represented rather than at the

expense of its co-"Class Counsel"³, Labaton and Thornton.⁴ In its 2020 First Circuit brief, Liefv took this position, in essence advocating that the court's \$60,000,000 fee award be increased to \$61,138,917, and that the common fund for the class be reduced by that amount. More specifically, Liefv wrote:

There is no appeal taken from the total award of fees except as to the order of [repayment of \$1,138,917] imposed on Appellant . . . Liefv Jun. 9, 2020 App. Br. (1st Cir. Case No. 20-1365, Doc. No. 00117599876) at 2.

There is no challenge to the overall reduction in the fees awarded, except as to the penalty assessed against Liefv . . . Id. at 33.

. . . Liefv does not challenge the reduced overall fee order to 20 percent of the class recovery, except to the

³ In submissions to this court, Labaton, Thornton, and Liefv regularly referred to themselves collectively as "Class Counsel."

⁴ Liefv explained to this court in 2020: "[o]ur appeal challenges the fee award that [this court] made to the class to the extent it imposes a loss to Liefv Cabraser. So our view would be, in the event that we prevail, it will be -- well, at the expense of the class, not of co-counsel." See Sept. 22, 2020 Tr. (D. Mass. Case No. 11-cv-10230, Dkt. No. 642) at 14-15. Liefv reiterated this in a memorandum in support of the stay pending appeal that it was requesting from this court, writing: "Liefv Cabraser challenges (as it did before) the Court's 20 percent attorneys' fee award insofar as it was arrived at by penalizing Liefv Cabraser, and will argue (as it did previously) that a reversal of the Court's findings and penalty as to Liefv Cabraser should necessarily result in a total attorneys' fee that is higher than 20 percent--not in an additional penalty or set of penalties against any other firm." Feb. 18, 2021 Liefv Reply in Support of Motion for Stay (D. Mass. Case No. 11-cv-10230, Dkt. No. 678) at 7. See also Mar. 12, 2021 Mem. & Order Denying Motion for a Stay Pending Appeal (1st Cir. Case No. 21-1069, Doc. No. 00117719457, at 99-155; D. Mass. Case No. 11-cv-10230, Dkt. No. 680) at 50; Mar. 18, 2021 Mem. & Order Regarding Retaining Counsel (1st Cir. Case No. 21-1069, Doc. No. 00117719457, at 156-67; D. Mass. Case No. 11-cv-10230, Dkt. No. 681) at 5-6.

extent necessary to offset the penalty assessed against Loeff . . . Id. at 55.

In its request for relief in its 2020 First Circuit brief, Loeff stated, in part, that appellants asked the First Circuit to "(c) vacate the portion of the order requiring payment of \$1,138,917 by Loeff and order the fee reduction to be adjusted accordingly." Id. at 61-62.

In contrast, in its current First Circuit brief, Loeff asserts that it seeks an additional \$1,138,917 in attorneys' fees only if there are any unclaimed funds remaining after the class is paid in full. More specifically, in that brief Loeff writes:

Loeff seeks to have its fee penalty reinstated from any unclaimed funds after the class is paid in full. This means that if all the funds are distributed and claimed, then there will be no further recovery for Loeff, even if this Court agrees that the lower court acted improperly. Loeff does not seek any readjustment of fees awarded to anyone else, including the Hamilton Lincoln Law Institute ("HLLI"). Loeff May 20, 2021 App. Br. (1st Cir. Case No. 20-1069, Doc. No. 00117743420) at 2-3.

If this Court agrees that the penalizing of Loeff was improper, Loeff will seek recovery of the clawed-back funds only if there is a surplus after the class distribution. No surplus, no reinstatement, even if this Court reverses the challenged rulings. Id. at 32. (emphasis added)

Appellants ask this Court to: . . .

c) Allow Loeff to recover \$1,138,917 from unclaimed class funds, if any. Id. at 60 (emphasis added).

Lieff's third and final prayer for relief - an order "[a]llow[ing] Lieff to recover \$1,138,917 from unclaimed class funds, if any" - does so. Id. at 60 (emphasis added).

"[A]ny person invoking the power of a federal court must demonstrate standing to do so." Virginia House of Delegates v. Bethune-Hill, 139 S.Ct. 1945, 1950 (2019). "The standing requirement therefore must be met by persons seeking appellate review, just as it must be met by persons appearing in courts of first instance." Id. at 1951 (internal quotations and citations omitted). "To cross the standing threshold, the litigant must explain how the elements essential to standing are met." Id.

One element of standing is that the appellant's alleged injury "is likely to be redressed by a favorable decision." Id. at 1950 (emphasis added) (citing Lujan v. Defenders of Wildlife, 504 U.S. 555, 560-61 (1992)); see also Duke Power Co. v. Carolina Environmental Study Group, Inc., 98 S.Ct. 2620, 2631 n.20 (1978) (appellants must "show[] that there is a 'substantial likelihood' that the relief requested will redress the injury claimed"). Therefore, Lieff must show that it is "likely, as opposed to merely speculative, that [its] injury will be redressed by a favorable decision." Friends of the Earth, Inc. v. Laidlaw Env'tl. Servs., 120 S.Ct. 693, 704 (2000) (emphasis added).

The First Circuit may find that Lieff's submissions to this court raise a material question concerning whether there will

ultimately be any unclaimed funds to compensate Lieff if it prevails in its appeal. In arguing to this court for a stay of the distribution of its escrowed funds pending appeal, Lieff wrote that:

[C]omplete settlement distributions—especially those involving settlement funds in the hundreds of millions of dollars—commonly take years, not months. . . . This is an iterative process that typically goes through several cycles until the settlement fund is completely exhausted or reaches the point (often, where the remaining fund consists of just several thousands of dollars) that future distributions are no longer worth more than the cost of processing.

Jan. 27, 2021 Mem. in Support of Motion for Stay (D. Mass. Case No. 11-cv-10230, Dkt. No. 668) at 13 (emphasis added). Similarly, Lieff later argued that while the distribution to the class would "almost certainly" be ongoing when the First Circuit decided its appeal, this "in no way suggests or guarantees . . . that \$1.14 million should be readily available to 'repay' Lieff Cabraser from the net settlement fund were the Firm to prevail in its appeal long after having had its funds included in the distribution pool."

Feb. 18, 2021 Lieff Reply in Support of Motion for Stay (D. Mass. Case No. 11-cv-10230, Dkt. No. 678) at 13.⁵

⁵ This court denied Lieff's Motion for Stay, in part, because Lieff "ha[d] not made a strong showing that funds transferred to the Administrator for distribution to the class will not be available for reimbursement if Lieff prevails on appeal." Mar. 12, 2021 Mem. & Order denying Motion for Stay (D. Mass. Case No. 11-cv-10230, Dkt. No. 680) at 50. In reaching that conclusion,

Therefore, the First Circuit may confront the issue of whether it is merely speculative that a decision favorable to Lief on appeal would redress the financial injury of which it complains. Operation of the usual adversary process could inform its decision concerning whether Lief's appeal is analogous to that in Ortiz-Gonzalez v. Fonovisa, 277 F.3d 59, 64-65 (1st Cir. 2002). In Ortiz-Gonzalez, the First Circuit stated that "[t]he duty of this court . . . is to decide actual controversies by a judgment which can be carried into effect." Id. (quoting Mills v. Green, 159 U.S. 651, 653 (1895)).⁶ In finding that the appellant, who had

this court decided only whether funds would likely be available to repay Lief at the conclusion of its appeal. This court did not address whether funds would be available to repay Lief almost \$1,140,000, or any significant amount, after all feasible distributions have been made to the class, which will be several years from now according to Lief. See Jan. 27, 2021 Mem. in Support of Motion for Stay (D. Mass. Case No. 11-cv-10230, Dkt. No. 668) at 13.

⁶ In Ortiz-Gonzalez, the First Circuit analyzed this issue as one of mootness, which is a jurisdictional question, because it was reviewing the district court's decision to dismiss the motion for attorneys' fees as moot. See 277 F.3d at 64-65. The question of mootness essentially extends the standing requirements through the duration of the case. See Becker v. Fed. Election Comm'n, 230 F.3d 381, 386 n.3 (1st Cir. 2000) ("a plaintiff must have a personal interest at stake throughout the litigation," and this interest is "assessed under the rubric of standing at the commencement of the case, and under the rubric of mootness thereafter").

It is not enough that a dispute was very much alive when suit was filed; the parties must continue to have a personal stake in the ultimate disposition of the lawsuit. There is [] no case or controversy, and a

seeks in its current appeal is in any event relevant to the ongoing distribution of the common fund being supervised by this court.

In view of the foregoing, this court will, in a companion submission to the First Circuit that incorporates this Memorandum, again request that it invite this court to retain counsel to represent it as quasi-fiduciary for the class and thus the interests of the class. As explained in its March 18, 2021 Memorandum and Order (1st Cir. Case No. 21-1069, Doc. No. 00117719457, at 156-67; D. Mass. Case No. 11-cv-10230, Dkt. No. 681), this court will retain Peter Brann, Esq., who was helpful to the First Circuit in Weinberger v. Great Northern Nekoosa, 925 F.2d 518, 525 & n.8 (1st Cir. 1991). Mr. Brann will be compensated by the Administrative Office of the United States Courts, rather than by the class.

As also explained in the March 18, 2021 Memorandum and Order, at 3, Liefv has stated that it would be a "good idea" for this court to retain counsel concerning Liefv's appeal and that it would not oppose the request to do so. Sept. 22, 2020 Tr. (D. Mass. Case No. 11-cv-10230, Dkt. No. 642) at 27; see also Oct. 20, 2020 Opposition of Customer Class Counsel to the Hamilton Lincoln Law Institute's Center for Class Action Fairness' Renewed Motion for Appointment as Guardian Ad Litem for the Class (D. Mass. Case No. 11-cv-10230, Dkt. No. 651) at 2 ("Liefv Cabraser has already stated

